



# **D4.2 SPIRIT PLATFORM (SECOND VERSION)**

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Authors	Vivien Helmut - Editor (DT), Jeroen van der Hooft, José Santos (IMEC), Nick Turay (EDD), Christoph Stielow (TSI), Peter Hofmann (DT-Sec), Sergio Tejeda Pastor (Fraunhofer), Hermann Hellwagner, Minh Nguyen, Shivi Vats (UNI-KLU), Peng Qian (SURREY)		
Reviewers	Tim Wauters (IMEC)		
Abstract	This report documents the integration activities of the project, with a focus on the actual software development of the second version of the SPIRIT system platform for supporting heterogeneous telepresence use case applications and components. This process is driven by the development and integration of a set of project-designated use cases, system validation and testing.		
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<sup>\*</sup> R: Document, report (excluding the periodic and final reports)

DEM: Demonstrator, pilot, prototype, plan designs



## SPIRIT | D4.2: SPIRIT Platform (Second Version) (V1.0)



DEC: Websites, patents filing, press & media actions, videos, etc.

DATA: Data sets, microdata, etc.

DMP: Data management plan

ETHICS: Deliverables related to ethics issues.

SECURITY: Deliverables related to security issues

OTHER: Software, technical diagram, algorithms, models, etc.





## **EXECUTIVE SUMMARY**

Telepresence can be seen as the next generation of communication applications that will significantly enrich the human-to-human and human-to-machine experience, blurring the boundaries between the physical and virtual worlds. Until now, telepresence solutions have been high-end, expensive, and quite conventional audio and video conferencing systems. However, the last decade has seen intensive research and development on VR/AR/XR technologies and applications that have significantly improved the state of the art.

The goal of the SPIRIT project is to realise Europe's first multi-site, interconnected framework dedicated for supporting the operation of heterogeneous collaborative telepresence applications at large scale through relevant technology innovations.

This report is a SPIRIT deliverable of the work package 4 "Platform Development, Integration and Validation". It presents the second version of the SPIRIT Platform. The document is based on the first version report extended by enhancements and improvements added to the first version of the SPIRIT platform.

The work mainly focuses on the actual software development of the SPIRIT system platform for supporting heterogeneous telepresence use case applications and also the integration of the platform with the distributed, interconnected network infrastructures underneath. This process is driven by the development and integration of a set of project-designated use cases.

The initial work consisted of integrating the partner components into a common testbed environment resulting in the first version of the SPIRIT platform, see D4.1 [1]. Based on this first version, a first Open Call was launched in early 2024, inviting third parties to realise their telepresence use cases experimentally.

The platforms and components described in this document, the SPIRIT Platform (Second Version) are available for the next Open Call, which will be announced end of 2024, inviting third parties to realise their telepresence use cases experimentally.

#### This report

- provides an overview of the SPIRIT testbed, in particular the local testbeds in Surrey and Berlin, e.g. the available 5G network infrastructure and the computing power of the Edge Cloud located there.
  - In the first version of the SPIRIT platform, only Surrey and Berlin were available as local testbeds, providing 5G network and edge cloud computing resources. These resources may not be sufficient for some computationally intensive and graphically complex many-to-many telepresence scenarios using volumetric video. For this reason, imec's Virtual Wall (Ghent) was included in the project as an additional testbed to enable open call applications with high computing requirements in a controlled networking environment.
  - A further expansion of the SPIRIT testbed is the secure interconnection of the local test beds in Berlin and Surrey, so that use cases can now be realised via the connected testbeds. This interconnection was successfully tested with the use case Avatar: "Real-Time Animation and Streaming of Realistic Avatars".
- lists partner components that were integrated into the testbeds during the project and documents the findings of this integration process.
  - Components that are independent of the testbed's infrastructure were deployed, validated, and tested in the local testbeds in Berlin and Surrey, e.g., the components enabling





- the use case Hologram: "Holographic Human-to-human Communication". However, the autonomous mobile robot, for example, is still only available in Berlin.
- covers the implementation of partner use cases, integration of the platform components, and the description of the necessary adjustments for a smooth integration into the testbeds, as well as a look ahead to how these components might find use in various scenarios, such as third-party applications.
  - For the Hologram use case, a USB device server component has been integrated into the test beds, which now also allows USB-only cameras to be connected to the Edge servers of a testbed.
  - The containerisation of components has been optimised to simplify the integration into other operational environments or testbeds.
  - The use case sections are concluded with an overview of their requirements from the D2.2 [2] report and the values realised in the testbeds.
- specifies an approach for deployment of Confidential Computing-protected VMs to ensure data owner's control of personal data.
- presents the subjective Quality of Experience (QoE) tests conducted by partners to assess the impact of quality, quality switching, viewing distance, and content characteristics on the perception of point clouds in AR environments.
  - Additionally, QoE assessments for project-specific use cases were performed using objective QoE estimation models.

There will be a final iteration of the SPIRIT platform, the results of which will be summarised in the report Deliverable D4.3 at the end of the project.





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## **ABBREVIATIONS**

**5G CPE** 5G Customer Premises Equipment

**5G NR** 5G New Radio

**5G SA** 5G Stand Alone

**5G/6GIC** 5G/6G Innovation Centre

**ACME** Automatic Certificate Management Environment

**ANOVA** Analysis of Variance

**API** Application programming interface

**ASA** Adaptive Security Appliance

**AR** Augmented Reality

**BBU** Broadband Unit

**CO** Confidential

CTC Common Test Conditions

**CSV** Comma-Separated Values

**C-V2X** Cellular Vehicle-to-Everything

**DCMS** Department for Digital, Culture, Media, and Sport

**DT** Deutsche Telekom

**EFI** Extensible Firmware Interface

**ESA** European Space Agency

**FoV** Field of View

**G-QP** Geometry Quantization Parameter

**GUI** Graphical User Interface

**HAS** HTTP Adaptive Streaming

**HEVC** High Efficiency Video Coding

**HPC** High-Performance Cluster

**HMD** Head-Mounted Display

**HSD** Honestly Significant Difference



**HW** Hardware

**ID** Identifier

IP Internet Protocol

ITU International Telecommunication Union

JANET Joint Academic Network

JISC Joint Information Systems Committee (UK)

**KVM** Kernel-based Virtual Machine

LTE Long-Term Evolution

MEC Multi-access Edge Computing

MOS Mean Opinion Score

MPEG Moving Picture Experts Group

MR Mixed Reality

MRTK Mixed Reality Toolkit

MSE Mean Squared Error

NAS Network Attached Storage

NTN Non-Terrestrial Network

OS Operating System

**OVA** Open Virtualization Appliance

**OVF** Open Virtualization Format

**OVMF** Open Virtual Machine Firmware

**PBKDF2** Password-Based Key Derivation Function 2

P2P Peer-To-Peer

PC Point cloud

**PCC** Point cloud compression

**PLCC** Pearson Linear Correlation Coefficient

**PLMN** Public Land Mobile Network

**PSP** Platform Secure Processor

**PU** Public





QCOW2 QEMU-Format Copy On Write 2

QMP Qemu Management Protocol

**QoE** Quality of Experience

**QP** Quantization Parameter

**RAM** Random Access Memory

RAN Radio Access Network

RAT Radio Access Technology

**RGB** Red Green Blue

**RE** Restricted

**REST** Representational State Transfer

**RMSE** Root Mean Squared Error

SA Standalone

SEV Secure Encrypted Virtualization

**SDK** Software Development Kit

**SDN** Software-Defined Networking

**SFU** Selective Forwarding Unit

STUN Session Traversal Utilities for NAT

SME Small and Medium Enterprises

**SNMP** Simple Network Management Protocol

SRCC Spearman's Rank Correlation Coefficient

**SSH** Secure Shell

**T-QP** Texture Quantization Parameter

**TAR** Tape Archive

TCB Trusted Computing Base

TCP Transmission Control Protocol

TMC2 Test Model Category 2

**3D** Three-dimensional

**TLS** Transport Layer Security



**2D** Two-dimensional

**UAV** Unmanned Aerial Vehicle

**UEFI** Unified Extensible Firmware Interface

**UI** User Interface

**UKRI** UK Research and Innovation

**URL** Unified Resource Location

**URLLC** Ultra-Reliable Low-Latency Communications

**UNI-KLU** University of Klagenfurt

VM Virtual Machine

VMM Virtual Machine Manager

**VPN** Virtual Private Network

VR Virtual Reality

WebRTC Web Real-Time Communication

YAML YAML Ain't Markup Language



## 1 INTRODUCTION

Telepresence can be seen as the next generation of communication applications that will significantly enrich the human-to-human and human-to-machine experience, blurring the boundaries between the physical and virtual worlds. Such systems are expected to fundamentally change the way people communicate and collaborate with each other in various sectors such as education, training, entertainment, retail, healthcare, manufacturing and many others. The further development of telepresence services will contribute significantly to increasing society's resilience to environmental disasters, boosting industrial productivity and improving energy efficiency, thanks to changes in people's lifestyles and work habits.

Until now, telepresence solutions have been high-end, expensive, and quite conventional audio and video conferencing systems. However, the last decade has seen intensive research and development on VR/AR/XR technologies and applications that have significantly improved the state of the art. This has led to interesting immersive telepresence and/or collaboration systems, some of which are still in the research stage. Due to their complexity, cost, data compression, and bandwidth requirements, these solutions have not scaled yet.

The mission of the SPIRIT project is to put real-time immersive telepresence into practise by researching, integrating, and further developing state-of-the-art immersive telepresence technologies, components and platforms to create Europe's first multi-site and interconnected framework able to support the operation of heterogeneous collaborative telepresence applications at large scale.

#### 1.1 PURPOSE OF THE DOCUMENT

This report is the second version of the tested and validated SPIRIT platform with all currently available components. It is one part of a bundle of deliverables providing insight in the project's use cases, requirements, architecture, available components, upcoming enablers, and the integrated SPIRIT platform:

- D2.2 "Use Case Requirements, System Architecture and Interface Definition (Second Version)" [2]
- ⇒ D3.2 "Innovation Platform Enablers (Second Version)" [3]
- ⇒ D4.2 "SPIRIT Platform (Second Version)" [4]

On the one hand it reflects the ongoing work within the project, especially the tasks:

- → Platform development implement and/or enhance all platform components and interfaces.
- **Technical integration and validation** − integrate the components of the different project partners to form an overall platform demonstrator.
- Use case development and integration ensures the development of the project-designated use cases and their integration with the underlying platform available.
- User experience evaluation and usability validation provide and test quality of experience (QoE) metrics and procedures to assess immersive telepresence solutions and support of Open Call experiments.





On the other hand, it provides the applicants of the Open Calls with insights regarding the components available to enhance their use cases, and identify complementary components to the SPIRIT platform, which they may want to provide to the overall SPIRIT goal.

There will be a final iteration of the SPIRIT platform, the results of which will be summarised in the report Deliverable D4.3 at the end of the project. Further enhancements and improvements, as well as their tests and validations will be documented in this report.

#### 1.2 STRUCTURE OF THE DOCUMENT

The sections of the report at hand are organised in the following manner:

The chapter 2 "Testbeds", provides an overview of the testbeds in Surrey and Berlin, e.g. the available 5G network infrastructure and the computing power of the EdgeCloud located there. A third testbed, the Virtual Wall, has been added to the project infrastructure to enable resource intensive experiments in the SPIRIT platform on many-to-many tele-conferencing scenarios and innovations.

The chapter 3 "Platform components" lists partner components that were integrated into the testbeds during the project and documents the findings of this integration process.

The chapter 4 "Implemented Use Cases" covers the implementation of project-specific use cases, which are described in detail in [2]. On the one hand, the integration of the platform components into the use cases and the description of the necessary adjustments for a smooth integration into the testbeds are provided and, on the other hand, a look ahead to how these components might find use in various scenarios, such as third-party applications, is presented.

The chapter 5 "Security Development and Technical Integration" specifies an approach for the deployment of Confidential Computing-protected virtual machines (VMs) on bare metal servers (either on-premise or in the cloud) where private keys for managing VMs never leave the data owner's control and specifically do not need to be uploaded to cloud provider systems. Appendix A is a manual to set up and make use of the Confidential Computing infrastructure.

The chapter 6 "Quality of Experience Evaluation" presents the subjective tests conducted by partners to assess the impact of quality, quality switching, viewing distance, and content characteristics on the perception of point clouds in AR environments. The output of this work includes (i) a platform for subjective quality assessment in AR environments, (ii) a dataset of rating scores that can be used for training and validating future QoE models as well as the results (findings) of the subjective tests that produced these rating scores, and (iii) a machine learning based QoE model. In appendix B, the Subjective Test platform is described. Additionally, the results of QoE assessments for project-specific use cases using objective QoE estimation models can be found in this chapter.

The chapter 7 "Conclusions" concludes the document.



## 2 TESTBEDS

This section provides an overview of the testbeds in Surrey and Berlin, e.g. the available 5G network infrastructure and the computing power of the EdgeCloud located there. It also provides information on imec's Virtual Wall infrastructure, which will be used for resource intensive many-to-many conferencing scenarios.

## 2.1 SURREY

## 2.1.1 General Information on University of Surrey Testbed

The UK site facility is managed by the 5G/6G Innovation Centre at the University of Surrey, in Guildford (Surrey). The testbed offers 5G infrastructure including campus-wide 4G/ Long-Term Evolution (LTE)and 5G/New Radio (NR) based radio access network, virtualised 4G and 5G core network, managed Software-Defined networking (SDN) and fibre external connectivity, as well as Unmanned Aerial Vehicle (UAV) and satellite systems.

The testbed supports domestic (UK) projects as well as European and International projects. Recent examples thereof are European Space Agency (ESA) 5G-TINA and SUNRISE 5G Pilot, Department for Digital, Culture, Media, and Sport (DCMS) Flex5G and FONRC TUDOR and UK Research and Innovation (UKRI) UK India (UKI-FNI). Small and medium enterprises (SMEs) are also in a partnership with the centre, in order to use the testbed to test different aspects of 5G-and-beyond technologies, such as Non-Terrestrial Network (NTN) communications, high accuracy time synchronization, network slicing, management and orchestration, flexible network functions disaggregation and energy efficiency.



FIGURE 1: 5G TESTBED IN UNIVERSITY OF SURREY

The 5G/6GIC site offers a multi-Radio Access Technology (RAT) radio access network covering the University Surrey campus over four square kilometres and several off-campus locations where coverage is provided. It features both outdoor and indoor base radio units, supporting a mix of 4G/LTE and 5G/NR technologies, as shown in Figure 1. These base stations are used to showcase both 5G standalone (SA) and non-standalone (NSA) mobile network deployment





scenarios and are currently used to support projects and research activities requiring traditional Radio Access Network (RAN) deployments.

#### 2.1.2 Testbed Infrastructure

In the 5G testbed, the outdoor coverage consists of 40 LTE sites with 23 centralised BBUs hosted in 5G/6GIC, supporting 2.6GHz band 28 and 38 for a total of 120 cells. Furthermore, there are 3 outdoor NR sites in the 3.5GHz band n78 for a total of 9 cells and another 3 sites in the 3.7GHz band n77 consisting of 3 cells, with respectively, 3 and 2 centralised BBUs hosted in machine rooms in 5G/6GIC. Concerning infrastructure, as shown in Figure 2, the UK trial network hosts two machine rooms hosting physical computing infrastructure and broadband units (BBUs) for both 4G and 5G, as well as both hardware and software-defined networking equipment. The UK trial network is interconnected with several UK and international sites via JISC's JANET network, as well as via a satellite link. Finally, the UK trial network features additional equipment such as connected autonomous vehicles for C-V2X scenarios, UAV systems for scenarios of emergency pop-up networking, a prototype URLLC integrated setup used to demonstrate low latency use cases of up to 6K users.

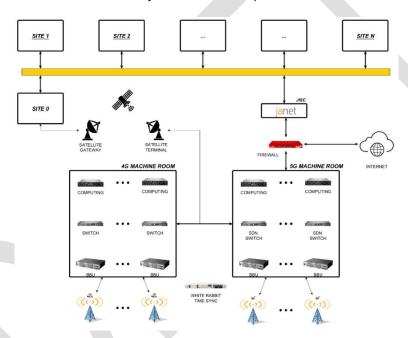


FIGURE 2: INFRASTRUCTURE AND CONNECTIVITY

Regarding the Holographic server and client connecting via 5g network, Figure 3: Holographic server and client connected via 5G network displays their hardware and software components. At left side, the holographic server application is deployed on 5G MEC server which is a Dell Precision 7960 XCTO base machine. In this machine, it has two Nvidia GeForce RTX A6000 video card with 48 GB memory, a system memory at 256GB and three hard drive disks. On this machine, Windows 11 Pro for workstations is installed with the CUDA library to provide hardware acceleration to the application server software. On system containers like Ubuntu can be enabled in the embedded containers, with same ability to access video card resources. This machine is directly connected to 5G network, and the 10G network interface can effectively receive raw hologram frame from clients. At the client side, two Dell Alienware R15 PCs are deployed with livescan3d client application to fetch raw hologram frame from camera. The CPU is Intel Core i9 13900KF 24 cores. The video card is NVIDIA GeForce RTX 4090 with 24GB memory. The system memory is 32 GB and hard disk spaces is 1TB + 1TB. With the



local connectivity to 5G Customer Premises Equipment (5G CPE), the raw data can be streamed to 5G MEC server.

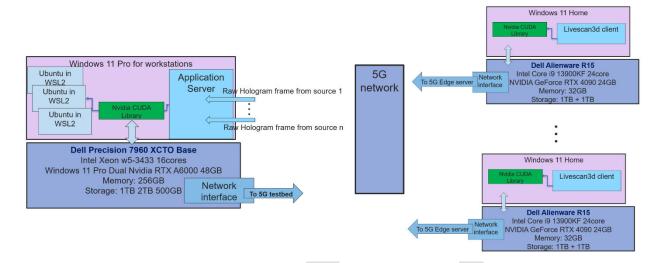


FIGURE 3: HOLOGRAPHIC SERVER AND CLIENT CONNECTED VIA 5G NETWORK

#### 2.1.3 Onsite Use Cases

During the SPIRIT Project the University of Surrey has worked together with the other SPIRIT partners to bring the use case "multi-source live teleportation with MEC support" to the testbed and make them available for open call participants. Detailed information can be found in in the use case descriptions of D2.2 "Use Case Requirements, System Architecture and Interface Definition (Second Version)" [2].

Continuous collaboration with other SPIRIT partners during the SPIRIT project has enabled the delivery of additional use cases and contributions to the Surrey testbed, as well as facilitating their availability for open call participants. These are:

- ⇒ Real-Time Animation and Streaming of Realistic Avatars
- Holographic Human-to-Human Communications
- Network aware Kubernetes Scheduler

#### 2.1.4 Onsite Collaborative Opportunities

There are two ways to collaborate with Surrey's 5G testbed.

- 1) Deploy a container-based application at a specific 5G core slice with a MEC server to utilize the GPU resources, and 5G access and a public internet connection.
- 2) Deploy a standalone application and just use the 5G connectivity for the user to access the public internet.

For the first case, an executable application server program can be deployed in one of the containers. The user device (e.g., 5G phone or HoloLens with 5G phone tethering) of the application which is the content receiver then can connect to 5G radio or another public Internet





address to exchange application messages and receive application data from the container server.

In the second case, one or multiple devices can access the public Internet through the 5G radio network and can therefore connect to any remote application server deployed at the public internet.

Furthermore, to aid collaborative efforts as well as testing, SPIRIT project participants will be able to leverage the testbed facilities both remotely and onsite. In furtherance of this, additional computing resources including but not limited to VMs, GPUs and client devices(which include intel realsense D435(i) depth camera, Azure Kinect DK depth camera, and 5G compatible smartphones) have been made available. External devices can also be supported following relevant compatibility validation.





#### 2.3 BERLIN



FIGURE 4: LOCATION OF DEUTSCHE TELEKOM TESTBED

#### 2.3.1 General Information Deutsche Telekom Testbed

The Deutsche Telekom 5G Testbed is located in Berlin, Germany at the "Siemensstadt Square" district where Deutsche Telekom collaborates with the Werner von Siemens Centre and various other partners coming from industrial, public and educational sectors. The research factory is situated in the same old building as the siemens dynamo factory, which started its production in the early 1900s and is still active up to today. Many different partners experiment and cooperate on innovative topics right next to the actual factory. We are looking into a wide range of topics, including new and innovative manufacturing techniques, advanced transportation and mobility solutions, the shift towards more sustainable energy sources and many other interesting areas.



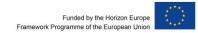
FIGURE 5: LOCATION OF TESTBED IN BERLIN



FIGURE 6: LAB FLOOR WERNER VON SIEMENS CENTER

#### 2.3.2 Testbed Infrastructure

Together with the SPIRIT Project, Deutsche Telekom is opening its Future Factory for partners. The site offers an area of around 500 m² outdoor space and 1000 m² indoor space. The indoor area as well as the outdoor area is covered by a private 5G Standalone Network. The 5G





network is configured for the 3.7- 3.8 GHz industrial spectrum and has been approved by the German Federal Network Agency. The virtualized 5G core supports the 3GPP standard up to Release 16. The indoor space is also partly covered with WIFI5 and WIFI6 and can be used to connect devices that aren't capable of 5G. Computing power is provided by an edge server that is located on premise and is connected via fibre to the 5G Network. The server runs containerized applications in a Kubernetes cluster. There are two Nvidia T4 16GB Graphic Cards, 96 GB of RAM and 24 Cores (3 x Intel(R) Xeon(R) Gold 6226R CPU @ 2.90GHz) available in the cluster that are being shared between all tenants.

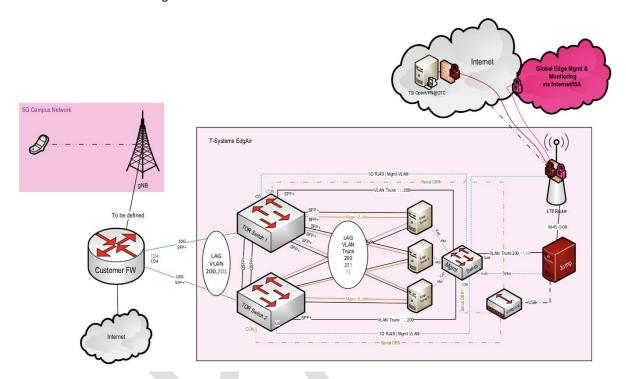


FIGURE 7: NETWORK INFRASTRUCTURE

#### 2.3.3 Onsite Use Cases

During the SPIRIT Project the Deutsche Telekom has worked together with the other SPIRIT partners to bring three contributions and use cases to the testbed and make them available for open call participants:

- Real-Time Animation and Streaming of Realistic Avatars
- Holographic Human-to-Human Communications
- Network aware Kubernetes Scheduler

Furthermore the "Distributed Steering of Autonomous Mobile Robots (AMRs)" use-case is exclusively available in the Deutsche Telekom Testbed. Detailed descriptions of these use cases can be found in the use case descriptions of D2.2 [2].

### 2.3.4 Onsite Collaborative Opportunities

Participants can deploy their software on our local edge cloud. To do so they get a dedicated access to the Rancher UI, our Kubernetes management platform, to remotely deploy and manage their applications in the cluster. The Platform is accessible from the internet and gives partners the opportunity to deploy their software without the need of being onsite. The Platform





uses multitenancy for ensuring both privacy and security. For this we use namespaces, which are divided into Rancher projects. Each project contains users divided by access levels within the project (we can configure an individual set of permissions or choose from predefined: Owner, Member, Readonly). Access to the storage is also possible only within the namespace\project.

Also, for each project we can limit the allocation of resources (CPU, RAM, etc.).

After identifying that excessive CPU and RAM usage by some partners led to resource shortages and the subsequent shutdown of the cluster, consumption limits have been implemented to prevent future disruptions and maintain system stability. These limits are configured per namespace in the Kubernetes cluster and can also be changed briefly for the purpose of experimentation.

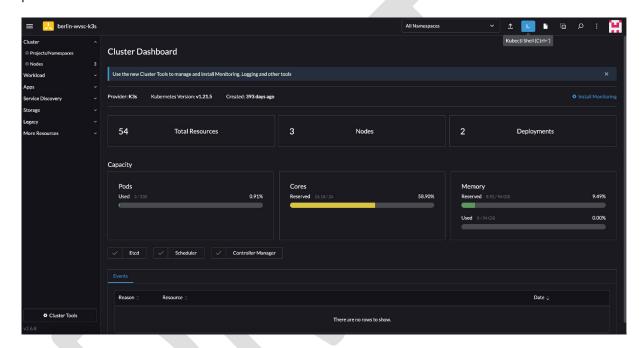


FIGURE 8: KUBERNETES MANAGEMENT PLATFORM

There is a managed firewall active inside the cluster, which is why network traffic needs to be approved and configured.

New network hardware has been installed at the Berlin Testbed that allows us to configure project-specific domains. As part of this setup, we have created a SPIRIT-specific domain, see Figure 9. Within the SPIRIT domain, we plan to configure different VLANs for OpenCall subscribers to allow separation of partners and use cases. These VLANs can be tailored to the requirements of the OpenCall participants. In addition, a management process has been introduced to document the network topology, allowing a clearer overview and easier management in the future.



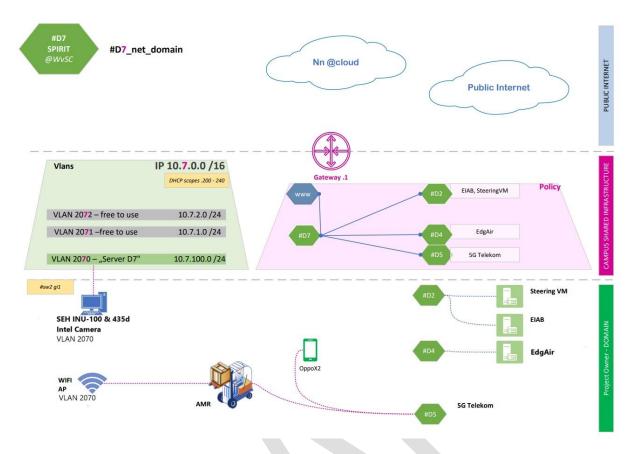


FIGURE 9: SPIRIT NETWORK DOMAIN

For testing and collaboration, participants of the SPIRIT project will have the possibility to make appointments for onsite visits. In general, except when otherwise stipulated, Participants are supposed to bring their own devices to the testbed to test their use case. We offer three ways to connect these devices to our network:

- ⇒ 5G: We will provide a SIM Card that is configured for the local 5G network
- ⇒ WIFI: We help connecting to an access-controlled WiFi
- LAN: We will provide an ethernet port to connect to the cluster

The 5G Standalone (5GSA) Network now supports an expanded range of devices, allowing a broader variety of experiments to be carried out for open call participants. Two 5G Oppo phones have successfully been connected to 5GSA and are available for Open Call participants. In addition to the smartphones, iPads have been introduced as compatible end-user devices capable of utilizing the 5GSA network. Moreover, a USB-Device-Server has been tested with Ericsson allowing USB devices to connect to the edge server over the testbed's network, providing even greater flexibility and accessibility.

As not all 5G devices are able to connect to our private 5G network, you can find a list of officially supported devices on this website:

https://hardware.iot.telekom.com/Hardware/Applications?id=44

We have tested more devices than those on the list and are also open to testing further devices, but the devices on the list are those that are officially supported by our 5G network.





#### 2.4 INTERCONNECTION OF SURREY AND BERLIN TESTBEDS

In the course of the SPIRIT project, the collaborative efforts of the project partners enabled the interconnection of the testbeds to further enhance the use cases by facilitating the integration and validation of interconnected use cases. In this regard, an IPSec tunnel was established between both testbeds, enabling the routing of relevant traffic between both test beds. Further collaborative validation efforts were conducted to provide sufficient determination of the interconnection.

#### **Ping Test**

Networking validation efforts include an initial ping test between both endpoints. This was conducted multiple times from both testbeds. From the Figure 10, it is evident that the ping tests were successful, indicating that communication between both testbeds was stable. Additional validation efforts such as possible delay and throughput tests are being explored.



FIGURE 10: INTERCONNECTION PING TEST

#### **Interconnected Use Cases**

Building on the successful validation tests, collaboration between the SPIRIT project partners facilitated the establishment of an integrated interconnected use case. In this context, the real-time animation and streaming of realistic avatars was integrated to utilize the interconnection. The server was deployed at the Surrey testbed and the client was setup at the Berlin testbed. Further collaborative efforts from partners assisted in the configuration of the server and client connections, allowing the client in Berlin to connect to server which was started at the Surrey testbed. This allowed for the exchange of relevant audio and video data, allowing for real time viewing of the avatar and as such the establishment of the interconnected real-time animation and streaming of realistic avatars use case. Figure 11 depicts the interconnected use case setup.



FIGURE 11: INTERCONNECTED USE CASE SETUP





#### 2.5 IMEC'S VIRTUAL WALL INFRASTRUCTURE

In case the Surrey or Berlin testbed may not provide sufficient resources for some computationally intensive and graphically complex many-to-many telepresence scenarios using volumetric video, imec's Virtual Wall (Ghent) was included in the project as an additional testbed to enable open call applications with high computing requirements in a controlled networking environment.

#### 2.5.1 General Information on imec's Virtual Wall Testbed

The Virtual Wall is a large-scale testbed hosted in the iGent building on Ghent University's Ardoyen campus, for advanced networking, distributed software, cloud, big data and scalability research and testing.

The testbed contains 550+ bare metal and GPU servers which are fully configurable both in terms of their software installation (choice of operating systems, drivers, applications, etc.) as well as how their network interfaces are physically interconnected. The nodes can be assigned different functionalities ranging from terminal, server, network node, and impairment node. The nodes can be connected to test boxes for wireless terminals, generic test equipment, simulation nodes (for combined emulation and simulation) etc.

The testbed was integrated in a worldwide federation through Fed4FIRE, a European initiative that provides a large-scale federated testbed infrastructure for experimentation in the field of Future Internet research<sup>1</sup>.

#### 2.5.2 Testbed Infrastructure



FIGURE 12: SERVER RACK IN IMEC'S VIRTUAL WALL INFRASTRUCTURE.

Full documentation of the Virtual Wall is available online<sup>2</sup>, including all technical details of the available nodes (e.g., in terms of memory and RAM). To access the testbed, any researcher



<sup>1</sup> https://portal.fed4fire.eu/

<sup>&</sup>lt;sup>2</sup> https://doc.ilabt.imec.be/ilabt/virtualwall/



can simply log in through their research institution<sup>3</sup>. They can then use the jFed tool<sup>4</sup> to create and manage their experiments. Among others, this tool allows to:

- Select specific hardware nodes or virtual machines;
- Select the preferred operating system;
- Request public IPv4 addresses;
- Enable network emulation (e.g., fixed bandwidth capacity between two nodes);
- Connect through SSH.

Rspec files are used to request, manifest and advertise experiments. These files are structured XML files that contain, among others, a list of nodes (e.g., bare-metal servers or virtual machines), the type of image on each of these nodes, etc.

Once the experiment has been set up, experimentation can begin. Nodes are interconnected through 1 Gb/s links, meaning that relatively high bandwidth use cases can be considered. Tasks can be run from the command line but can also be scheduled as needed. The jFed GUI can be used to follow up on the experiment's status, extend the experiment's reservation, etc.

#### 2.5.3 Onsite Use Cases

Because of the number of available hardware nodes and high-capacity (and configurable) network connections, the Virtual Wall is particularly suitable for experiments that need scale and network adaptivity.

In the SPIRIT project, the testbed is used for many-to-many scenarios in teleconferencing, linked to the use case:

⇒ Holographic Human-to-Human Communications



<sup>4</sup> https://jfed.ilabt.imec.be/





## 3 PLATFORM COMPONENTS

The section lists partner components that were integrated into the testbeds during the project and documents the findings of this integration process.

#### 3.1 LIVE MULTI-SOURCE HOLOGRAPHIC STREAMING

This section is about the components supporting applications using live teleporting people from remote internet locations to a common virtual space of the audience such that the audience can have the immersive and multisensory perception that everyone is located in the common physical scene.

## 3.1.1 Deployment of the Frame Render and Synchronisation Function

There are two key components for enabling a multi-source live volumetric streaming application: a frame synchronization function and a RESTful interface to accept runtime parameter settings and queries (as shown in the orange block in Figure 13). The frame synchronization function is responsible for rendering holographic frames from multiple independent sources. For frames from different sources, the MEC server can distinguish them based on their source IP addresses and record their timestamps separately. Based on a predefined synchronization threshold (e.g., 30ms) and the real-time timing of each source, the MEC server can perform operations such as pairing, buffering, and discarding of multi-source frames to ensure that the synthesized frame exhibits optimal performance. Meanwhile, this frame synchronization function can also be configured through RESTful interfaces. The frame synchronization threshold and required key performance metrics (e.g., throughput, playback latency) can be set using the POST method and gueried using the GET method through an authorized network management interface. Figure 13 displays the application of the frame synchronization function on Surrey's testbed. The rendering function can directly call the NVIDIA Compute Unified Device Architecture (CUDA) library to utilize the graphic card resources of the platform, enabling efficient computation and display of multi-source frames on this platform.

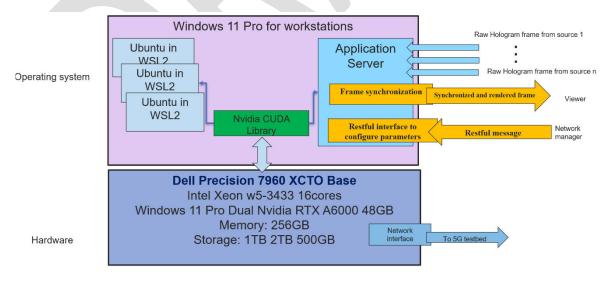


FIGURE 13: DEPLOYMENT OF FRAME PRODUCTION AND SYNCHRONIZATION FUNCTION ON SURREY'S PLATFORM



#### 3.1.2 Validation

Figure 14 shows a captured frame from a demonstration of Surrey live multi-source holographic platform with the frame render and synchronization function. There are two sources located at location A and B, with dedicated cameras to capture different people in a live streaming manner. The virtual space in the middle is the real-time screen projected from the edge server. It shows the merged and synchronized frame, which can validate the efficacy of the remote production and frame synchronization function.



FIGURE 14: VALIDATION OF THE FRAME PRODUCTION AND SYNCHRONIZATION FUNCTION ON SURREY'S PLAT-FORM

The frame synchronization mechanism features certain key parameters which are critical to its implementation. These parameters are the synchronisation window ( $\Delta$ ) and the Frame paring approximation threshold ( $\gamma$ ) [5]. Additional details relating to these parameters and other key terminology are provided below. Detailed text on the mechanism can be found in D3.2.

Synchronisation window ( $\Delta$ ): The tolerable window relevant to multiple sources that allows for the arrival of late or delayed frames.

Frame paring approximation threshold ( $\gamma$ ): The threshold that provides an additional level of approximation to pair the frames which have sufficiently small-time offset between their timestamps together.

Fresh frames: Frames with the same timestamp that arrive at the server within the synchronisation window ( $\Delta$ ) from all connected sources.

Half fresh frames: If a frame from one of the sources does not arrive within the synchronisation window, instead of discarding the frame, the system pairs a previously cached frame with the waiting frame.

Dropped frames - Frames that arrive at the server which are neither fresh nor half-fresh frames are dropped, and are not used. [5]



Figure 15 depicts the frame distribution with the Frame paring approximation threshold ( $\gamma$ ) at a stringent value of 10ms and a varying synchronisation window from 5ms to 50ms. From the figure, It is clear that in the consideration of the synchronisation window at 5 ms and 10 ms, the distribution of half fresh frames is quite similar to the fresh frames. This is due to the rather stringent nature of synchronisation window in such scenarios, guaranteeing the limited wating period for frames from other sources. After the expiration of the synchronisation window, the waiting frame searches and pairs with a qualified cached frame based on the approximation threshold. However, when considering a more lenient window of 50 ms, the proportion of fresh frames is only around 60%. This pattern is associated with the reduced FPS at the receiver side, as one of the sources is 50ms away. As such, it is evident that stringent  $\gamma$  coupled with the reduced FPS affects the performance of the application.

#### Approximation Threshold 10ms Fresh Half fresh Dropped 100 80 Frames (%) 60 40 20 0 5 20 10 30 40 50 $\Delta$ (ms)

FIGURE 15: FRAME DISTRIBUTION AT  $\gamma = 10 \text{ms}$ 

Figure 16 depicts the frame distribution with the Frame paring approximation threshold ( $\gamma$ ) at a rather lenient value of 50ms and a synchronisation window which varies from 5ms to 50ms. When compared to the strict case of  $\gamma$  =10ms, it is evident that the distribution of fresh frames increases significantly. This pattern is attributed to the reduced sensitivity to the synchronisation window, due to the relaxation of  $\gamma$ . This is further reflected with slight fresh frames increases for higher values of  $\Delta$  like 40 ms and 50 ms.

Additional details on the mechanisms as well as further results can be found in [5].

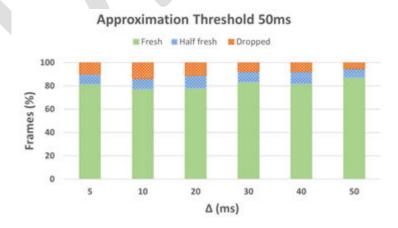


FIGURE 16: FRAME DISTRIBUTION AT γ =50ms





#### **Platform Deployment**

Following explorative efforts, the multisource platform was deployed and integrated on the Surrey testbed. Building on this, the validation of the server can be detailed below.

Figure 17 depicts a cut section of the server panel showing the server in an inactive state. From the figure, it is evident that the server has not been started an as such, is not in an active state.

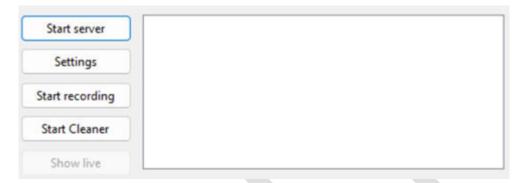


FIGURE 17: SECTION OF THE SERVER PANEL DURING AN INACTIVE SESSION

By clicking on the start sever button, the server is initialised and moves from an inactive state to an active state, enabling it to receive and transmit relevant data, facilitating live multi-source holographic communication. This is shown in Figure 18.

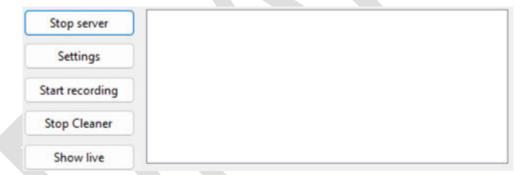


FIGURE 18: SECTION OF THE SERVER PANEL DURING AN ACTIVE SESSION

From the figure, it is evident that the server has been started and is in an active state. However, whilst the server is active, no connections have been made to it as such, a complete live multisource session has not begun.

## KinectServer.StartServer:516|Starting server

FIGURE 19: SERVER ACTIVE STATE

Figure 19 further indicates that the server is now in an active state. This notice further confirms that the server has been successfully deployed within the testbed.

Figure 20 depicts the server during an active mutli-source session. Here, two unique sources (source 1 and 2) are connected to the server simultaneously. The server maintains these connections be continuously making relevant frame requests to the unique producers/sources, whilst delivering the aggregated content to the relevant receiver.





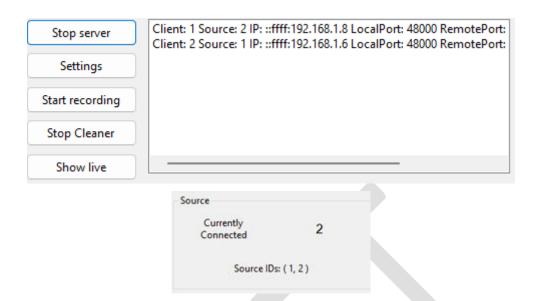


FIGURE 20: SECTION OF THE SERVER PANEL DURING A COMPLETE ACTIVE MULTI-SOURCE SESSION

After the conclusion of the live session, clicking the stop server button terminates the session, closing all connections and rendering the server inactive. At this stage, the server no longer requests or transmits any relevant data and cannot be connected to. Figure 21 provides further confirmation of the inactive server state.

KinectServer.StopServer:729|Stopping server

FIGURE 21: SERVER INACTIVE STATE





## 3.3 NETWORK-AWARE RESOURCE SCHEDULER (DIKTYO)

To avoid monolithic architectures, telepresence solutions typically consist of multiple individual microservices or components, in the form of containers, that together represent a service function chain. In order to ensure that the deployments of such chains still meet the latency and throughput requirements of the application, resource management and orchestration platforms should be made aware of the network characteristics as well as of computational resource usage. Vanilla Kubernetes (K8s) deployments do not take networking characteristics into account and primarily focus on CPU and RAM optimization.

The Diktyo network-aware scheduler has been developed to alleviate this problem, as described in more detail in deliverable D3.1. It has been proven to improve network throughput considerably for several benchmarking applications.

Diktyo supports easy deployment in a Kubernetes (K8s) cluster as an additional scheduler in the system without needing to remove the default scheduler. A helm-chart has been developed to automatically deploy all Diktyo components, available here:

https://github.com/diktyo-io/helm-chart/tree/main.

Documentation with required steps and verification that all pods are running properly as seen in Figure 22 is provided. Different versions of Diktyo exist since K8s v1.24 in the K8s scheduling community available as different releases:

https://github.com/kubernetes-sigs/scheduler-plugins/releases.

The Diktyo scheduler can be configured with different parameters as shown in Figure 23. Additional information on how to deploy additional schedulers in K8s clusters can be found in the K8s scheduling community:

https://github.com/kubernetes-sigs/scheduler-plugins/blob/master/doc/install.md

\$ kubectl get deploy -n diktyo						
NAME	READY	UP-TO-DATE	AVAILABLE	AGE		
appgroup-controller	1/1	1	1	22s		
diktyo-scheduler	1/1	1	1	22s		
networktopology-controller	1/1	1	1	22s		
scheduler-plugins-controller	1/1	1	1	22s		

FIGURE 22: DIKTYO SCHEDULER RUNNING PROPERLY



Parameter	Description	Default	
scheduler.name	Scheduler name	diktyo-scheduler	
scheduler.image	Scheduler image	registry.k8s.io/scheduler-plugins/kube- scheduler:v0.26.7	
scheduler.leaderElect	Scheduler leaderElection	false	
scheduler.weight	Scheduler Weight (Score plugin)	5	
scheduler.replicaCount	Scheduler replicaCount	1	
controller.name	Controller name	scheduler-plugins-controller	
controller.image	Controller image	registry.k8s.io/scheduler- plugins/controller:v0.26.7	
controller.replicaCount	Controller replicaCount	1	
appGroupController.name	appGroupController name	appgroup-controller	
appGroupController.image	appGroupController image	jpedro1992/appgroup-controller:v1.0.3-alpha	
appGroupController.replicaCount	appGroupController replicaCount	1	
networkTopologyController.name	networkTopologyController name	networktopology-controller	
networkTopologyController.image	networkTopologyController image	<pre>jpedro1992/networktopology-controller:v1.0.3- alpha</pre>	
networkTopologyController.replicaCount	networkTopologyController replicaCount	1	
olugins.namespaces	Plugins namespaces by default	["default"]	
olugins.weightsName	Plugins weightsName by default	"NetperfCosts"	
plugins.networkTopologyName	Plugins networkTopologyName by default	"nt-cluster"	

FIGURE 23: DIKTYO SCHEDULER CONFIGURATION PARAMETERS

# 3.3.1 Integration with CloudNativeLab

During the SPIRIT project, Diktyo has been successfully integrated into the CloudNativeLab at IMEC-IDLab:

https://practicum.cloudnativelab.ilabt.imec.be/.

CloudNativeLab is a testbed for experimenting with K8s and cloud native technologies. With only a few clicks, experimenters can spin up their own K8s cluster in the IMEC-IDLab data center (Figure 24 and Figure 25).

Diktyo has been integrated into K8s clusters created with CloudNativeLab and can be used to deploy applications in the system by changing the *schedulerName* field in deployment files (Figure 26) as shown in the K8s documentation:

https://kubernetes.io/docs/tasks/extend-kubernetes/configure-multiple-schedulers/.



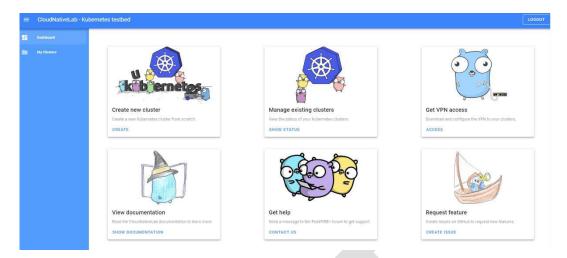


FIGURE 24: CLOUDNATIVELAB MAIN DASHBOARD



FIGURE 25: CLOUDNATIVELAB K8S CLUSTER CREATION PAGE



FIGURE 26: EXAMPLE THAT SPECIFIES A SCHEDULER FOR POD DEPLOYMENT





# 3.3.2 Integration with Berlin Testbed

During the SPIRIT project, integration efforts have taken place to test and validate Diktyo in the T-Systems testbed. The integration has been challenging since the T-Systems testbed currently supports K8s v1.21 and the most recent version of Diktyo is available for K8s v1.26. The mismatch of K8s API versions has hindered the complete integration of the most recent version of Diktyo in the T-Systems testbed.

Nonetheless, an older version of Diktyo (v1.22) has been currently deployed and successfully integrated into the T-Systems testbed, being able to deploy microservices in the system and providing the required functionality to support experimenters with network-awareness when scheduling application containers.

# 3.3.3 Integration with Surrey Testbed

The recent version of Diktyo has been successfully integrated in Surrey based on the described helm chart. Example applications such as Online Boutique (link below) have been deployed in Surrey to confirm that Diktyo is able to deploy pods in the system as an additional Kubernetes scheduler.

https://github.com/GoogleCloudPlatform/microservices-demo





#### 3.5 HOLOGRAPHIC HUMAN-TO-HUMAN COMMUNICATION

The second version of the SPIRIT platform aims to support human-to-human communication use cases for immersive telepresence applications, as described in D2.2 [2]. In addition, within the SPIRIT project the goal is to incorporate innovative platform enablers presented in D3.2 [3] to enhance the immersive telepresence experience.

Prior to exploring the technical considerations of the holographic human-to-human communication use case it is crucial to emphasize the profound significance of capturing authentic experiences.

For example, in professional settings, holographic communication transforms collaborative endeavors by offering lifelike depictions of remote participants. The immersive nature of 3D representation fosters a collaborative environment where individuals can share ideas, engage in discussions, and collaborate on projects as if they were physically co-located. The ability to visualise the spatial arrangement of team members enhances the collaborative process, possibly contributing to more innovative and human-centric outcomes.

Within the SPIRIT project, the components of the application platform supporting holographic human-to-human communications by Ericsson are implemented into the network testbeds provided by DT and UoS. The procedure mainly involves the integration of the components primarily responsible for data processing into the network testbeds.

This process entails importing the producer application into a high-performance cluster (HPC) detailed in Section 2, while maintaining the consumer application on a mobile end device.

Leveraging the computational capabilities of an HPC available at the testbeds facilitates expedited data processing by the producer application software, reducing the end-to-end latency. This approach is particularly significant for digital human-to-human communication use cases with real-time requirements.

The required hardware components of the application platform will be provided to third parties, this includes:

- Depth camera
- HPC
- WebRTC Component(s)
- 5G Android mobile phone
- AR glasses

Other components that may be required to extend the application platform to support additional use cases must be provided by third parties.

The following sections describe the platform components provided by Ericsson and highlights some aspects of the integration procedure that are needed to implement the holographic human-to-human communications use case for the second version of the SPIRIT platform.

#### 3.5.1 Common Prerequisites for Integration





Initially, it is essential to establish a common set of requirements for both the application platform and the network testbed for the integration procedure. The network testbed is designed to facilitate the deployment and execution of applications within a container-based environment. This environment enables seamless connectivity between diverse containers and provides access to tangible resources like GPU cards and computational power.

The producer application is available in two formats, namely as container and as stand-alone executable. The choice of offering the software as container is motivated by containers ensuring consistent, portable, and efficient software deployment. They encapsulate applications and dependencies, facilitating easy scaling, versioning, and streamlined management across diverse environments. The orchestration of these containers is managed by a Kubernetes Cluster. A 5G network environment is in place to establish connections between SPIRIT platform devices, such as mobile phones, within a controlled network scenario.

# 3.5.2 Compatibility Test of Client Devices

The consumer application is provided as an Android application. To integrate the consumer application, the software is downloaded and installed on a mobile end device equipped with a SIM card. The SIM card is provided by DT or UoS and allows to connect the mobile end device to a controlled 5G test network within their respective testbeds. It is noteworthy that the mobile end device must meet certain compatibility criteria. This requires knowledge about the public land mobile network (PLMN) identification number of the testbed and compatibility with augmented reality (AR) glasses, more specifically the XReal Light glasses used to develop and test the application. The latter criterion necessarily requires an Android operating system.

A range of Android test devices was available for SPIRIT to assess connectivity with the 5G environment within the testbed and compatibility with the holographic communication consumer application that makes use of the AR glasses. In our compatibility tests for suitable client devices, the mobile end devices Oppo Find X2 Pro and Samsung S20 were identified as suitable consumer application devices as they satisfy the criteria for the AR glasses and network testbeds. It is important to note, that the use of other phones is also possible. However, mobile phones provided by third parties need to meet the test criteria. Therefore, we suggest selecting mobile end devices in consultation with Ericsson and DT/UoS to provide seamless integration of mobile end devices that have not been tested with the platform.

#### 3.5.3 Integration Efforts

The holographic human-to-human communications use case requires a depth camera, which is the source to capture the media and spatial information of an object of interest. In this case the object of interest is a human face or torso. The data obtained from the camera is used by the producer application to compute 3D representations in the form of holograms, that are streamed to the consumer application once a WebRTC connection has been set up exchanging meta data in signalling, as described in D3.2 [6].

It is crucial to highlight that the depth camera only provides a USB 3.0 port and does not offer a network interface. Hence, a solution that bridges the connection between the depth camera and the Edge Cloud system is needed. Two solutions have been considered:

1. Solution using a dedicated device server, as seen in Figure 27:



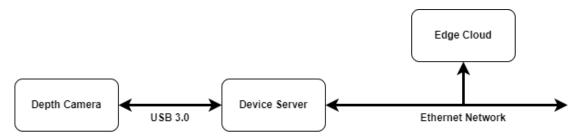


FIGURE 27: CONNECTIVITY BETWEEN DEPTH CAMERA AND EDGE CLOUD WITH A DEVICE SERVER 5

The device server provides two USB 3.0 interfaces that are compatible with the depth camera hardware. In addition, the device server offers a network interface based on the IEEE 802.3 (1000BaseT, 100BaseTX, and 10 BaseT) standard, which can be used to connect the Edge cloud to the device server. The hardware and the pre-installed software of the device server enable a data connection between the depth camera and Edge Cloud system without additional implementation efforts on the application or testbed side.

In addition, the device server comes with additional features such as freely selectable power supply between 12 V and 48 V, integrated change-over relay with automatic or event-controlled switching in the event of defined status changes (e.g. power or network failure) or manual switching via web browser, and numerous notification options in the event of faults (via SNMP (Simple Network Management Protocol) traps, e-mail and relay control). However, one disadvantage of this solution is the additional cost of procuring this hardware.

#### 2. Solution using a Raspberry Pi:

The setup for the Raspberry Pi solution is similar to the set up proposed in Figure 27. The difference is that the dedicated device server is exchanged by a Raspberry Pi computer. Hence, this solution approach can be seen as more cost-effective solution with lower costs but higher integration complexity.

The idea is that the Raspberry Pi serves as a USB/Ethernet data converter that bridges a connection between the depth camera via the USB 3.0 interface and the Edge Cloud system via the Ethernet interface. This approach follows a client-server architecture, where the Raspberry Pi is the server and the HPC is the client. However, this approach requires the implementation of a sophisticated communication mechanism in order to minimise the additional latency caused by the Raspberry Pi, given its relatively weak computing power of the Raspberry Pi.

To initialize a connection between the client and server, both need to detect each other. To do so the server listens on a "start" signal. To start the acquisition of data using the depth camera, the client sends a "start" signal to the IP address of the server. Upon receiving the "start" signal a TCP connection between client and server is established, RGB and Depth frames are captured and synchronized using the implemented capture pipeline on the server. Subsequently, the frames are resized and sent in smaller chunks to the client in order to comply with TCP transmission requirements.





#### 3.5.3.1 Device Server

For the deployment at DT the device server solution was determined to be the more robust solution for the use case, as presented in Figure 27. For the deployment at UoS no device server is needed since the testbed supports USB 3.0 interfaces making it compatible with the depth camera in use.

Internally, the device server creates a virtual USB interface within the cloud by mapping the ethernet interface to a virtual USB interface through the USB driver of the operating system. The process is managed by the USB-to-Network (UTN)-manager firmware. Figure 28 depicts the described behavior.

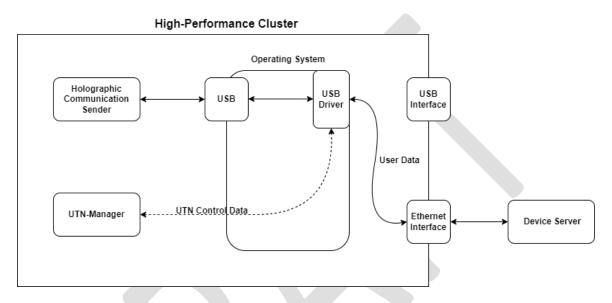


FIGURE 28: DEVICE SERVER FIRMWARE

The device server comes with several firmware that needs to be installed on the host system.

For the deployment at DT the installation of the UTN manager on a Linux OS without a graphical interface is conducted, i.e., the installation for the minimal UTN manager version which can be found here<sup>6</sup>.

The firmware consists of three installation components which must be installed in the right order to comply with their dependencies.

- 1. driver
- 2. service
- 3. clitool

Before installing the tools, the requirements given in Table 1 must be met.

<sup>&</sup>lt;sup>6</sup> https://www.seh-technology.com/services/downloads/industrial/inu-100.html





TABLE 1: UTN MANAGER REQUIREMENTS

Requirement	Version (recommended)
Linux kernel	2.6.32 >
glibc	2.15 >
OpenSSL	1.0.1 >
Dynamic Kernel Module Support (DKMS)	

In addition, the logged user must have root access, i.e., can use the command sudo.

Once the requirements are met, the headers of the host system kernel must be installed. Here, one must make sure that the version numbers of the kernel and headers match exactly. Otherwise, a version conflict can occur preventing the successful installation of the firmware tools.

sudo apt -get install linux-headers- 'uname-r'

Next, the downloaded tools must be installed, which can be done using the dpkg.

sudo dpkg -i <tool package>

Using the above steps, the The UTN manager (minimal) version was installed successfully.

## 3.5.4 Additional Components

The HPC is linked to both the controlled 5G network environment and the Internet. In scenarios where peers are situated in distinct networks, Internet access becomes imperative for initiating the WebRTC connection.

In this particular use case, the signalling, and Session Traversal Utilities for NAT (STUN) servers are located in DT's 5G test network. Instead, they reside in an Ericsson network that is openly accessible to the peer devices participating in the use case. Nevertheless, upon request, there is the possibility of exploring the deployment of signalling and STUN instances within the 5G network testbed to mitigate delays in the connection set up procedure.

During the integration process, it was finally decided to host the signalling server within the DT testbed, since the testbed does not offer connectivity to Ericsson's data centre without additional effort. For UoS the signalling server remains at Ericsson's network, as the UoS testbed does offer internet connectivity without additional effort.

#### 3.5.5 Deployment

The application platform consists of the following code components





- Main
- Server
- lib/

Here, *main* includes the WebRTC client and the *server* is the WebRTC signaling server necessary to create a data channel between the WebRTC clients. The lib directory includes important helper functions to run the application.

# 3.5.5.1 Platform (Producer) Modes

The platform producer application can be run in different modes depending on the given environment specification. Here, one can differentiate between two modes, namely mode 1 and mode 2. Table 2 provides an overview of the mode and the specific hardware requirements.

TABLE 2:PLATFORM MODES AND PRODUCER HARDWARE REQUIREMENTS

Mode	Hardware
Mode 1	High-Performance Cluster Depth Camera
Mode2	High-Performance Cluster Depth Camera Device Server

The mode is determined by whether the producer application is to be operated with a live recording or a recorded stream, i.e. whether a depth camera is to be used. In addition, the modes consider whether the HPC on which the sender application is running supports a USB 3.0 interface or requires a device server that converts the USB 3.0 data traffic from the depth camera into IP data traffic to be compatible with the HPC. This requires that the HPC supports at least an Ethernet interface.

For the deployment at DT the platform runs in mode 2 and for UoS the platform runs in mode 2.

# 3.5.5.2 Platform (Consumer Setups)

Figure 29 presents the (consumer) setups deployed at DT and UoS.



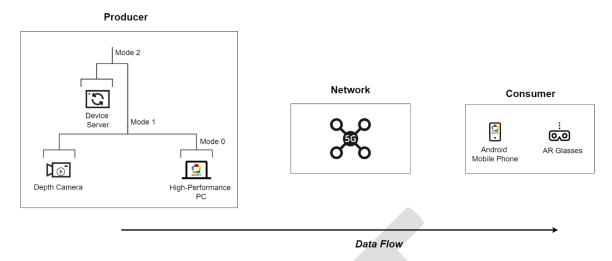


FIGURE 29: HOLOGRAPHIC COMMUNICATION SPIRIT SETUP

As can be seen, the producer application is hosted on a high-performance PC. The SPIRIT setup supports each of the producer modes presented previously. At the receiver side the consumer application runs on a mobile phone connected to AR glasses. The producer side and consumer side are connected via a 5G network for the deployment at DT and UoS.

Table 3 summarises the setup and the receiver hardware requirements. It should be noted that the total hardware setup is given by considering the hardware requirements on the producer side presented in Table 2.

TABLE 3: SPIRIT HOLO SETUP AND CONSUMER HARDWARE REQUIREMENTS

Setup	Hardware
SPIRIT	Mobile Phone
	AR Glasses

#### 3.5.6 Validation

The validation steps described next refer to functional tests of crucial components of the application platform to confirm the successful integration within a testbed.

#### **Producer Application as Executable**

The binary is validated within a cloud environment that hosts a VM.

After transferring the binary directory to the HPC and starting the holographic communication sender binary, the output looks like in Figure 30 and Figure 31.



FIGURE 30: VALIDATION OF HOLOGRAPHIC COMMUNICATION APPLICATION AS BINARY (INITIAL PHASE)

```
enerator: Inter-Frame Time (Frame 41) - 0.029
Producer 722704: Encoding Time (Frame 29) - 0.356 s
Consumer: WebRTC buffered amount [bytes]: 0
Consumer: WebRTC channel send interval (Frame 29) - 3.119 s
Producer 722080: Encoding Time (Frame 28) - 0.398 s
Consumer: WebRTC buffered amount [bytes]: 0
Consumer: WebRTC channel send interval (Frame 28) - 0.018 s
Generator: Inter-Frame Time (Frame 42) - 0.030 s
Producer 722220: Encoding Time (Frame 30) - 0.349 s
Consumer: WebRTC buffered amount [bytes]: 0
Consumer: WebRTC channel_send interval (Frame 30) - 0.010 s
Generator: Inter-Frame Time (Frame 43) - 0.041 s
Producer 722427: Encoding Time (Frame 31) - 0.351 s
Consumer: WebRTC buffered amount [bytes]: 0
Consumer: WebRTC channel send interval (Frame 31) - 0.032 s
Generator: Inter-Frame Time (Frame 44) - 0.023 s
Generator: Inter-Frame Time (Frame 45) - 0.030 s
Producer 722842: Encoding Time (Frame 32) - 0.360 s
Consumer: WebRTC buffered amount [bytes]: 0
Consumer: WebRTC channel send interval (Frame 32) - 0.047 s
Generator: Inter-Frame Time (Frame 46) - 0.033 s
Producer 723049: Encoding Time (Frame 33) - 0.381 s
Consumer: WebRTC buffered amount [bytes]: 0
Consumer: WebRTC channel send interval (Frame 33) - 0.044 s
```

FIGURE 31: VALIDATION OF HOLOGRAPHIC COMMUNICATION APPLICATION AS BINARY (FINAL PHASE)

The first figure illustrates the start-up phase of the holographic communication sender, where the generator, producer, and consumer instances are initialized. During this phase, signaling data is exchanged between clients via the signaling server using Session Description Protocol (SDP) messages. The second figure depicts the final phase of the holographic communication sender application. At this stage, the consumer component functions as the WebRTC sender, transmitting 3D data to the receiver through an established WebRTC data channel.





The terminal output confirms the successful deployment of the holographic communication system, including the sender, receiver, and signaling applications.

# **Producer Application as Container**

The container is validated within an HPC environment that hosts a VM.

After pulling the image and creating a compose yaml when using *sudo docker images*, the docker engine should display the pulled images similar to Figure 32.

```
        ubuntu@ericsson-device-server:~$ sudo docker images

        REPOSITORY
        TAG
        IMAGE ID
        CREATED
        SIZE

        cturnic/dt
        librealsense
        9bb4abe2bf79
        7 weeks ago
        1.45GB

        librealsense
        v2.55.1
        9bb4abe2bf79
        7 weeks ago
        1.45GB

        eturnic/dt
        <non>>
        3269c4206108
        7 weeks ago
        1.42GB

        eturnic/dt
        python-producer-live
        c5950d999193
        2 months ago
        1.6GB

        ubuntu@ericsson-device-server:~$
        1.6GB
```

FIGURE 32: VALIDATION OF DOCKER IMAGE PULL

Next, the holographic communication sender application is started. The receiver and signaling application are started on a separate machine.

Figure 33 and Figure 34 show terminal output.

FIGURE 33: VALIDATION OF HOLOGRAPHIC COMMUNICATION APPLICATION WITHIN A CONTAINER (INITIAL PHASE)





```
Consumer: WebRTC buffered amount [bytes]: 0
server-1
         | Consumer: WebRTC channel send interval (Frame 28) - 3.235 s
server-1
         | Consumer: WebRTC buffered amount [bytes]: 0
         | Consumer: WebRTC channel_send interval (Frame 29) - 0.004 s
server-1
         | Consumer: WebRTC buffered amount [bytes]: 0
         | Consumer: WebRTC channel send interval (Frame 30) - 0.032 s
         | Consumer: WebRTC buffered amount [bytes]: 0
server-1
         | Consumer: WebRTC channel send interval (Frame 31) - 0.001 s
         | Consumer: WebRTC buffered amount [bytes]: 0
         | Consumer: WebRTC channel_send interval (Frame 32) - 0.034 s
         | Consumer: WebRTC buffered amount [bytes]: 0
         | Consumer: WebRTC channel_send interval (Frame 33) - 0.055 s
server-1
         | Consumer: WebRTC buffered amount [bytes]: 0
         | Consumer: WebRTC channel send interval (Frame 34) - 0.055 s
server-1
         | Consumer: WebRTC buffered amount [bytes]: 0
         | Consumer: WebRTC channel send interval (Frame 36) - 0.067 s
         Consumer: WebRTC buffered amount [bytes]: 0
          Consumer: WebRTC channel_send interval (Frame 37) - 0.024 s
           Consumer: WebRTC buffered amount [bytes]: 0
server-1
           Consumer: WebRTC channel send interval (Frame 38) - 0.037 s
           Consumer: WebRTC buffered amount [bytes]: 0
```

FIGURE 34: VALIDATION OF HOLOGRAPHIC COMMUNICATION APPLICATION WITHIN A CONTAINER (FINAL PHASE)

The first figure shows the start-up phase of the holographic communication sender. Here the generator, producer, and consumer instances are initiated. In addition, the signaling data is exchanged between the clients through the signaling server in the form of session description protocol (SDP) messages. The second figure shows the final phase of the holographic communication sender application. Here, the consumer part of the sender application acts WebRTC sender transmitting the 3D data to the receiver through an established WebRTC data channel.

The terminal output indicates the successful deployment of the holographic communication application, i.e., sender, receiver, and signaling application.

#### **Device Server**

It is important to note that the firmware of the device server must be installed on the host OS. In the case of a container, installing the firmware within the container is not sufficient. This is because the UTN manager must communicate to the kernel of the OS within the host system, which is hardly possible from inside a container.

#### **Device Server - Matching Versions Numbers of Linux Kernels and Headers**

To verify the matching version numbers of kernel and headers, the following commands are used

- Kernel Version: uname -r
- Kernel Headers: sudo apt list –installed | grep linux-headers

The result of the terminal output is depicted in Figure 35. As seen, the kernel and headers show a matching version number marked in green.





```
ubuntugericsson-device-server:~$ uname -r
5.4.0-186-generic
ubuntugericsson-device-server:~$ sudo apt list --installed | grep linux-headers

WARNING: apt does not have a stable CLI interface. Use with caution in scripts.

linux-headers-5.4.0-182-generic/focal-updates, focal-security, now 5.4.0-182.202 amd64 [installed]
linux-headers-5.4.0-182/focal-updates, focal-security, now 5.4.0-182.202 all [installed, automatic]
linux-headers-5.4.0-186-generic/focal-updates, focal-security, now 5.4.0-186.206 amd64 [installed, automatic]
linux-headers-5.4.0-198-generic/focal-updates, focal-security, now 5.4.0-193.213 amd64 [installed, automatic]
linux-headers-5.4.0-193-generic/focal-updates, focal-security, now 5.4.0-193.213 all [installed, automatic]
linux-headers-5.4.0-193/focal-updates, focal-security, now 5.4.0.193.191 amd64 [installed]
linux-headers-virtual/focal-updates, focal-security, now 5.4.0.193.191 amd64 [installed]
linux-headers-virtual/focal-updates, focal-security, now 5.4.0.193.191 amd64 [installed, automatic]
ubuntugericsson-device-server:~$
```

FIGURE 35: VALIDATION OF MATCHING LINUX KERNEL AND HEADERS

#### **Device Server - Connected USB Devices**

After successful installation of the UTN manager, the VM should recognise the camera device as USB device. To verify this, the *Isusb* command can be used within the terminal. The result is shown in Figure 36. The figure shows that the VM recognises the depth camera connected to the device server.

```
ubuntu@ericsson-device-server:~$ lsusb
Bus 014 Device 001: ID 1d6b:0003 Linux Foundation 3.0 root hub
Bus 013 Device 001: ID 1d6b:0002 Linux Foundation 2.0 root hub
Bus 012 Device 001: ID 1d6b:0003 Linux Foundation 3.0 root hub
Bus 011 Device 001: ID 1d6b:0002 Linux Foundation 2.0 root hub
Bus 009 Device 001: ID 1d6b:0002 Linux Foundation 2.0
Bus 010 Device 001: ID 1d6b:0003 Linux Foundation 3.0 root hub
Bus 008 Device 001: ID 1d6b:0003 Linux Foundation 3.0 root hub
Bus 007 Device 001: ID 1d6b:0002 Linux Foundation 2.0 root hub
Bus 006 Device 001: ID 1d6b:0003 Linux Foundation 3.0 root hub
Bus 005 Device 001: ID 1d6b:0002 Linux Foundation
Bus 004 Device 006: ID 8086:0b07 Intel Corp. Intel(R) RealSense(TM) Depth Camera 435
                    ID Ideb:0003 Linux Foundation 3.0
              0.011
    004 Device
Bus 003 Device 001: ID 1d6b:0002 Linux Foundation 2.0 root hub
Bus 002 Device 001: ID 1d6b:0003 Linux Foundation 3.0 root hub
Bus 001 Device 002: ID 0627:0001 Adomax Technology Co., Ltd QEMU USB Tablet
Bus 001 Device 001: ID 1d6b:0002 Linux Foundation 2.0 root hub
ubuntu@ericsson-device-server:~$
```

FIGURE 36: VALIDATION OF DEPTH CAMERA AND CLOUD CONNECTIVITY

#### **Device Server - Functionality**

The device server comes with various functionalities. One important feature is the ability to activate and deactivate the camera remotely, which can also be automated. For further information on the device server, we recommend referring to the device server manual, which can be found here<sup>7</sup>.

First, it is verified that the device server and the attached depth camera are visible by using the UTN manager command as seen in Figure 37.

• utnm -c "getlist 10.7.100.10"

<sup>&</sup>lt;sup>7</sup> https://www.seh-technology.com/services/downloads/industrial/inu-100.html





FIGURE 37: VALIDATION OF DEVICE SERVER LIST FUNCTIONALITY

As expected, the device server displays the connected depth camera, otherwise the *Isub* command in the previous validation step would not have displayed the depth camera. However, it can be noted that depth camera is not activated indicated by the state tab in the terminal.

To turn on the camera one can either use the *connect* or *autoconnect* method. We recommend the *autoconnect* method, which activates the depth camera automatically when the device server it booted up.

- utnm -c "activate 10.7.100.10 2"
- utnm -c "set autoconnect=true 10.7.100.10 2"

The second term after the IP address of the device server indicates the desired USB port, in this case USB port 2. The result after activating the depth camera and using the list command of the device server is depicted in Figure 38.

```
ubuntu@ericsson-device-server:~$ utnm -c "set autoconnect=true 10.7.100.10 2"

Command was executed successfully.
ubuntu@ericsson-device-server:~$ utnm -c "getlist 10.7.100.10"

Port VID PID Manufacturer Product

2 0x8086 0x0b07 Intel(R) RealSense(TM) Depth Camera 435 Intel(R) RealSense(TM) Depth Camera 435

Port State Owner Class Port name

2 Activated ericsson-device-server 0x00

ubuntu@ericsson-device-server:~$
```

FIGURE 38: VALIDATION OF DEVICE SERVER ACTIVATE FUNCTIONALITY

As it can be seen, the state of the depth camera changed to activated. It should be noted that activate does not mean that the camera starts streaming but rather that the camera interface is ready to be used by the cloud through the device server.

In summary, the device server was successfully validated when installing the firmware directly on the host machine or the VM on top.

#### **3.5.7 Summary**

To summarise, the application platform supporting the holographic communication use case is successfully deployed at DT and UoS.





The holographic communication application has been successfully integrated into the testbeds of DT and UoS.

To integrate the producer application into the testbed of DT, additional hardware in the form of a device server is needed to connect the depth camera on the producer side to the HPC hosting the producer application. The additional hardware mainly serves the purpose of relaying USB data from a depth camera to an IP network where the HPC resides. For UoS there is no need for an additional device server since the HPC and the depth camera both support a common interface in the form of USB 3.0.

The consumer application integration demands the use of a mobile phone that can be connected to the network testbed using a SIM card provided by the testbed owners, namely Dt and UoS. In addition, the mobile phone hosting the consumer application must be supported by the corresponding AR glasses of this use case.

Table 4 highlights important specifications of the implemented use case:

TABLE 4: USE CASE: HOLOGRAPHIC COMMUNICATION SPECIFICATIONS

	Holographic Communications		
Users	Producer: a user who is captured by a depth camera. The captured information is used to generate a digital 3D representation which is streamed to a receiving consumer.		
	Consumer: a user who receives, processes, and displays the human 3D representation of the user on the producer side.		
Network	Real-time communication peer-to-peer network (WebRTC)		
Network Access	Wireless, e.g., Wi-Fi / 5G		
	Wired, e.g., Ethernet		
Components	Depth Camera (Intel RealSense): capture of media and spatial information.		
	(Device Server: relay instance between camera and cloud.)		
	HPC (Windows/Linux): generation and encoding of meshes for each RGB-D frame and transmission of the data.		
	Mobile phone (Android): receiving, decoding, and rendering of data.		
	AR glasses (XReal Light): displaying of 3D object to a user.		

The use case includes two users engaged in digital communications. The user on the producer side provides data to be processed and ultimately transmitted to a receiver on the consumer side for the presentation of volumetric content, manifested as a human hologram on AR glasses.

For data exchange, users establish a real-time communication peer-to-peer (P2P) connection employing WebRTC, as described in D3.2 [3]. The essential devices required for leveraging the presented application platform can be supplied by the respective application platform or testbed provider. This provision aims to facilitate smooth development, integration, and testing of innovations by third parties during the first Open Call. The use of other components by third parties is possible but needs to be considered in collaboration with Ericsson and the testbed providers, i.e. DT and UoS.



# 3.5.8 Extension: many-to-many conferencing through imec's Virtual Wall

In D3.2, imec's demonstrator for many-to-many video delivery is presented. This demonstrator has first been validated in a local demo setup. For scalability purposes, the setup has been rebuilt on imec's Virtual Wall and made accessible for external parties.

We recreated our local demo setup on the Virtual Wall using the star-shaped network shown in Figure 39. Five nodes are available with public IPv4 address: four client nodes and one server node. All clients are connected to the server through a dedicated switch, which allows for advanced network emulation using traffic control. This allows to dynamically change the available bandwidth, network latency and jitter, packet loss, etc.

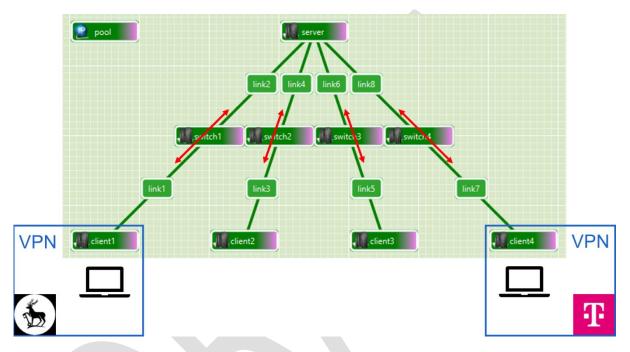


FIGURE 39: EXPERIMENTAL SETUP USING JFED HOSTED ON IMEC'S VIRTUAL WALL INFRASTRUCTURE

Fed4FIRE uses Rspec files to create, manage and share experiments. Figure 40 shows part of the Rspec file, which corresponds to the experimental setup in Figure 39. Different elements specify the requested nodes and the links between them, the requested OS, etc.

Because hardware specifications on the Virtual Wall are limited, our aim is to make sure that remote high-tier machines, equipped with a powerful GPU and an external HMD, can be used to run the experiments. These devices can connect through VPN, since a custom VPN is set up through OpenVPN on each of the client nodes. Since all nodes have a public IP address, remote machines (belonging to e.g., one user at Surrey and one user at Berlin) can easily connect to the local network.

The selective forwarding unit (SFU) described in D3.2 [3] is hosted on the server node, so that clients can connect over WebRTC. While these clients can technically connect over any interface (including the one used for public access), they are forced to set up a connection over the local network. This way, the impact of emulated network conditions on the system performance can be examined. The demo's dashboard (see Figure 41) is also made available on the server node and can be accessed through its public IPv4 address.



```
<?xml version='1.0'?
<rspec xmlns="http://www.geni.net/resources/rspec/3" type="request" generated_by="jFed RSpec</pre>
Editor" generated="2024-08-16T10:07:17.984+02:00" xmlns:emulab="http://www.protogeni.net/resources
/rspec/ext/emulab/1" xmlns:delay="http://www.protogeni.net/resources/rspec/ext/delay/1" xmlns:
jfed-command="http://jfed.iminds.be/rspec/ext/jfed-command/1" xmlns:client="http://www.protogeni.net/resources/rspec/ext/client/1" xmlns:jfed-ssh-keys="http://jfed.iminds.be/
rspec/ext/jfed-ssh-keys/1" xmlns:jfed="http://jfed.iminds.be/rspec/ext/jfed/1" xmlns:sharedvlan="
XMLSchema-instance" xsi:schemaLocation="http://www.geni.net/resources/rspec/3 http://www.geni.net/
resources/rspec/3/request.xsd ">
  <emulab:routable_pool client_id="pool" component_manager_id="</pre>
  urn:publicid:IDN+wall2.ilabt.iminds.be+authority+cm" count="6" type="any" x="150.0" y="150.0"/>
  <node client_id="server" exclusive="true" component_manager_id="</pre>
  urn:publicid:IDN+wall2.ilabt.iminds.be+authority+cm">
    <sliver_type name="raw-pc">
      <disk_image name="urn:publicid:IDN+wall2.ilabt.iminds.be+image+emulab-ops:UBUNTU20-64-STD"/>
    <location xmlns="http://jfed.iminds.be/rspec/ext/jfed/1" x="450.0" y="150.0"/>
    <interface client id="server:if0">
    <interface client_id="server:if1">
      <ip address="192.168.3.2" netmask="255.255.255.0" type="ipv4"/>
  <node client id="client1" exclusive="true" component manager id="</pre>
    <location xmlns="http://jfed.iminds.be/rspec/ext/jfed/1" x="150.0" y="450.0"/>
      <ip address="192.168.0.1" netmask="255.255.255.0" type="ipv4"/>
  urn:publicid:IDN+wall2.ilabt.iminds.be+authority+cm">
      <disk_image name="urn:publicid:IDN+wall2.ilabt.iminds.be+image+emulab-ops:UBUNTU20-64-STD"/>
    <location xmlns="http://jfed.iminds.be/rspec/ext/jfed/1" x="300.0" y="300.0"/>
      <ip address="192.168.0.2" netmask="255.255.255.0" type="ipv4"/>
    <interface_ref client_id="client1:if0"/>
    <component_manager name="urn:publicid:IDN+wall2.ilabt.iminds.be+authority+cm"/>
```

FIGURE 40: PART OF THE RSPEC FILE. SPECIFYING THE REQUIRED NODES AND LINKS IN THE EXPERIMENT





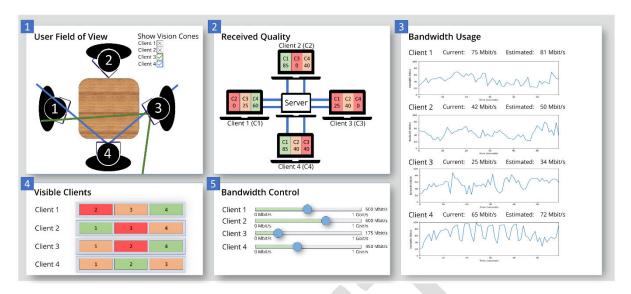


FIGURE 41: DASHBOARD OF THE WEBRTC DEMONSTRATOR DESCRIBED IN D3.2

To help other researchers set up an experiment of their own, we created a GitHub repository<sup>8</sup> that explains exactly how to do that. This repository contains the following:

- A README.md file with detailed instructions on how to set up an experiment;
- The Rspec file to recreate the experiment on imec's Virtual Wall;
- Install scripts that are automatically retrieved and executed when launching the experiment, making sure that client nodes set up a custom VPN, that traffic control is enabled on all switches, and that the SFU and corresponding dashboard are started on the server node:
- A sample configuration file used for experimentation, specifying e.g. the available bandwidth.

The provided documentation enables external users to create an experimental setup, connect several remote machines, and start up a WebRTC session between the involved clients. Parameter settings can easily be configured, specifying the number of clients (2, 3 or 4), the number of quality representations, and several network-related parameters.

Currently, the Rspec file provides a setup with four client nodes only. The setup can be extended to contain more clients, but this is not straightforward for a number of reasons:

- Physical machines in the Virtual Wall infrastructure are interconnected through 1 Gb/s links, which in practice result in bandwidths between 500 to 940 Mb/s. While this is significant, it is important to realise that our solution for a single RGBD camera results in a compressed video bitrate of approximately 75 Mb/s. When ten client devices actively send content to the SFU, network limitations quickly result in an impeded video quality.
- Even though bitrate adaptation is used to respect network capacity, it is possible for a client to retrieve multiple representations of all users involved in the immersive video conference. Decoding the content requires significant computational resources, which



<sup>8</sup> https://github.com/idlab-discover/pc-webrtc-vwall



makes it unpractical to consider video at 30 FPS for e.g. ten other participants on commodity hardware, even with parallel decoding of incoming descriptions.

Nevertheless, the provided Rspec file can be modified to consider more than four clients if needed. The setup can also be extended with a limited number of virtual "senders", headless clients that send precaptured static or dynamic point cloud video (e.g., objects from the 8i dataset<sup>9</sup>) to the SFU. While the content is not captured live, it does require receiving clients to decode and render the content.

While initial validation tests have been performed successfully, more full-fledged validation tests are still ongoing and will be reported in the final version of this deliverable.





<sup>9</sup> https://plenodb.jpeg.org/pc/8ilabs



#### 3.7 REAL-TIME ANIMATION AND STREAMING OF REALISTIC AVATARS

The integration of the "Real-Time Animation and Streaming of Realistic Avatars" application platform in the testbeds provided by T-Systems (as described in Section 2.2) and the University of Surrey (Section 2.1) has been carried out in a sequence of steps, from the definition of the requirements to the evaluation and assessment of the performance. A detailed description of the proposed scenario has been described in other deliverables of the project, such as D2.2 [2], where the general architecture of the framework is included or D3.2 [3], where a list of the innovations developed within the use case is detailed.

# 3.7.1 Common Prerequisites for Integration

The requirements of both the application platform and the testbed had to be put in common in the first place. The testbed in Berlin allows the deployment and execution of applications in a container-based environment. This environment offers connectivity options among different containers and access to physical resources, such as GPU cards and computational power. The orchestration of the containers is carried out by a Kubernetes Cluster that uses the Rancher management platform. On the other hand, a 5G internal network is also available to connect the servers with different client devices, such as mobile phones, tablets, and AR glasses. No external connection is needed at this step of the integration since the whole application platform can be tested internally.

On the other hand, the testbed in Surrey requires the deployment of the server application on a virtual machine equipped with Ubuntu 22.04 LTS. This machine has access to a Nvidia GPU and the required connectivity to its internal 5G network. Again, no external connection is needed.

# 3.7.2 Containerisation of the Application

The original server application platform was based on a central Unity app in which different external plugins provided the required functionalities. To integrate it in the testbed in Berlin, an effort has been carried out to build a set of two containers from the application. These containers are self-sufficient and include integral environments in which software can run without the need of additional components. This makes this kind of deployments very portable across a variety of scenarios.

Two containers have been created:

- Audio animation server: audio processing application written in Python that accepts a set of audio samples and generates a list of the visemes that corresponds to the speech contained in them.
- Avatar animation, rendering and streaming: Unity application that acts as a central hub to which the rest of the components interact to generate the mesh and texture of the avatar, render it and stream the resulting audio and video to the clients. This application must run in "server" or "headless" mode. This means that no display can be used on the server. To overcome this obstacle, a virtual display is configured within the container to allow Unity to render the necessary views.

#### 3.7.3 Deployment and Interconnection of Containers

Once the containers were created, they were deployed in the Rancher Kubernetes orchestrator. Two images, corresponding to both necessary containers, were created and uploaded to





Docker Hub. Then, a Deployment element was created in Rancher. This deployment has two containers, each one of them using one of the images.

The audio animation server exposes the port 6666 through the container. The main container uses this port to insert the received audio samples so they can be processed. This container, on its side, is exposing the port 8080. This allows the client devices to connect to the running server.

A schema of the architecture of the whole integration can be seen in Figure 42.

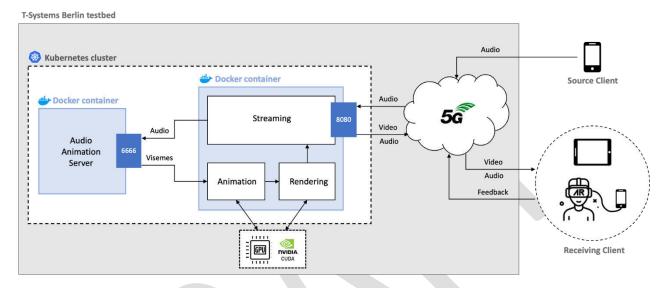


FIGURE 42: INTEGRATION OF THE REAL-TIME ANIMATION AND STREAMING SYSTEM IN THE BERLIN TESTBED

One of the main challenges that the deployment of the server component has presented is the need of the central Unity application (see D2.2 [2]) to have access to a display while running in headless mode. In this working mode, there is no visible rendering of the Unity scene at the server since the whole rendering process takes place on the background and only the clients show the corresponding video. To solve this, a reference to an X virtual server has been included within the Docker image. This way, the container can access the GPU and the Unity application can run without a real display.

The startup of the container corresponding to the avatar animation and streaming server required extra configuration to guarantee that the application could make use of the hardware resources of the machine. The following command is used to run this container:



This configuration was applied to the Kubernetes cluster, to ensure the correct deployment of the application and to perform the necessary tests.

# 3.7.4 Compatibility Test of Client Devices

In the testbed in Berlin, a set of testing devices were available to test the connectivity of the 5G network present in the testbed and the overall performance of the scenario. This set included mobile phones such as Samsung Galaxy S20, Samsung Galaxy S8, Oppo Find X2 Pro, Oppo Find X3 and Motorola Edge +.

In a first attempt, important difficulties were met when trying to use the 5G network, since none of these devices were able to connect. This presents a serious challenge, since only specific phones are compatible with the AR glasses that are being used (Xreal Light). Only one phone model belonging to Ericsson, the Oppo Find X2, could successfully connect to the network using a 5G SIM card provided by T-Systems, as specified in Section 3.3.2. Two of these devices were used to test the different execution modes of the use case.

Regarding the testbed in Surrey, the phones that were used as producer a consumer were the Samsung S23 and the Samsung S23 Ultra, respectively. The tests could be carried out without major issues.

#### 3.7.5 Validation and Performance Evaluation

An initial validation of the deployments has been carried out successfully in both testbeds. The following configurations and functionalities have been tested:

# Video encoding configurations

- Software encoding: for this test, the Gstreamer pipeline in charge of the processing, encoding and transmission of video and audio was configured to use the "x264enc" element. This uses software encoding to generate the video that is sent to the client.
- Hardware encoding: in this case, the faster and more advanced hardware encoding provided by the Nvidia GPUs available in the testbed was tested by using the element "nvh264enc" in the Gstreamer pipeline.

The use of these encoding configurations allows to carry out a variety of latency and throughput evaluations that will be detailed in the next version of this document.

#### **Functionalities**

*Pre-configured speech script*: as a first test, the capabilities of the animation module to process text was evaluated. A JSON script with predefined sentences was created and audio was recorded for them. This script contains the specific phonemes corresponding to each sound, as well as information about their timings. Then, the avatar was animated using this script. The following lines show an example of this:

```
{
    "sentences":[
      {
         "audioFile":"scripts/story/S1",
         "s2tData":{
            "text":"hello",
```





```
"start":0.000000,
        "end":0.990000,
        "result":[
            "conf":1.000000,
            "end":0.560000,
             "phones":[
                 "end":0.560000,
                 "phone": "SIL",
                 "start":0.000000
            ],
            "start":0.000000,
            "word":""
          },
          {
            "conf":1.000000,
            "end":0.990000,
            "phones":[
               {
                 "end":0.810000,
                 "phone":"HH B",
                 "start":0.660000
               },
                 "end":0.870000,
                 "phone": "EH I",
                 "start":0.810000
               },
                 "end":0.930000,
                 "phone":"L I",
                 "start":0.870000
               },
                 "end":0.990000,
                 "phone": "OW E",
                 "start":0.930000
             "start":0.660000,
             "word": "hello"
        ]
    }
  ]
}
```

Real-time audio capture: in this working mode, the full pipeline of the use case was tested. One of the participants, the so-called producer, uses one of the phones to send his/her voice to the server. One of the participants, the so-called producer, uses one of the phones to send his/her voice to the server. Then, these audio samples are used to animate the face of the avatar. The second participant (consumer) receives audio and video of the animated avatar on a tablet/XR glasses.



#### Playback methods

2D video: Android phones such as the Oppo Find X3 and the Samsung S23 have been used to act as consumer devices. This kind of devices allow full interactivity with the avatar and a 360° of the model, although it cannot provide any 3D effect. Figure 43 shows this scenario being successfully tested in Berlin.

*XR glasses*: the use of the XReal Light glasses has also been tested successfully. In this case, the video of the avatar received by the consumer is stereoscopic. In this case, two images, one per eye, is shown, creating a more immersive 3D effect.



FIGURE 43: AVATAR USE CASE RUNNING IN THE BERLIN TESTBED

On top of these evaluations, as mentioned in section 2.4, cross-testbed tests have been carried out as well. They showed that the use case also offers a remote-access scenario in which the producer and consumer users are located in different locations.

The integration and deployment work carried out in the testbeds allow the Open Call participants to use a variety of functionalities while, at the same time, enabling qualitative and quantitative evaluation of the performance.

These configurations will, as well, be extended with the inclusion of one-to-many capabilities of the Avatar use case.

# **3.7.6 Summary**

The deployment of the application corresponding to the Real-Time Animation and Streaming of Realistic Avatars use case is contained completely within the infrastructure of the available





testbeds. A list of the agents that participate in the system as well as the network components used at the testbed can be found in Table 5.

TABLE 5: USE CASE: REAL-TIME ANIMATION AND STREAMING OF REALISTIC AVATARS

	Real-Time Animation and Streaming of Realistic Avatars	
Users	Producer: a user whose voice is captured by the media capture device and streamed over the network to the server, where it will be processed and used as input to animate the avatar.	
	Consumer: a user that receives audio and video from the server that is displayed on the client device. This user can interact with the avatar and modify its position, scale and rotation.	
Network	RTC P2P (WebRTC)	
Network Access	Wireless, e.g., Wi-Fi / 5G	
Components	Edge Cloud System (Linux 20.04 LTS): generation of the animated avata rendering, streaming, Websockets/WebRTC connection management.	
	Media capture device: Android mobile device that captures media (audio) and streams it to the server through the network.	
	Consumer device: Android mobile device that displays audio and video received from the server and can interact with the avatar.	
	AR glasses (Xreal Light): Augmented Reality glasses that displays audio and video received from the server and can interact with the avatar.	

The extensive compatibility of the system with a variety of devices, especially Android mobile phones or tablets, reduces the number of obstacles encountered when trying to connect a media capture/consumer device. The number of mobile phones that are now compatible with the testbeds has now been extended, what facilitates the access to the applications by potential users. On the other hand, only a few mobile phones are compatible with the investigated AR glasses, so a potential user must convey with this information when using the application. The current limitations of the 5G network available in the Berlin testbed must also be taken into account.



# 4 IMPLEMENTED USE CASES

This section covers in detail the implementation of use cases, which are described in detail in D2.2 [2]. On the one hand, the integration of the platform components into the use cases and the description of the necessary adjustments for a smooth integration into the testbeds and, on the other hand, a look ahead to how these components could be used in in various scenarios, such as third-party applications. For each use case, there is a table at the end of the respective section that summarises the relationship to the requirements and KPIs from the document D2.2 [2], together with the validations carried out so far.

# 4.1 UC HOLOGRAM: HOLOGRAPHIC HUMAN-TO-HUMAN COMMU-NICATIONS

This section presents the application pipeline and possible extensions of the holographic human-to-human communication use case implemented into the network testbed representing one part of the second version of the SPIRIT platform. The use case can be extended within the SPIRIT project to offer a wide compatibility with applications from third parties.

#### 4.1.1 Overview

Figure 44 presents the implemented holographic human-to-human use case using the application platform:



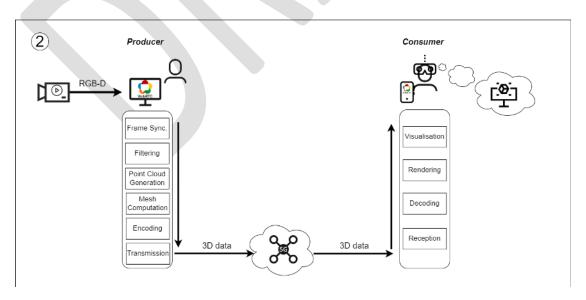


FIGURE 44: APPLICATION PIPELINE OF THE HOLOGRAPHIC HUMAN-TO-HUMAN COMMUNICATION





The use case is implemented by means of two applications, i.e., a producer and a consumer application:

- → Producer application: Containerised Python-based application hosted on a high-performance PC connected to a depth camera.
  - 1. Upon starting the application, the HPC connects to the signalling server.
  - 2. Once the consumer device joins the signalling server, a WebRTC channel is set up as described in D3.2 [3].
  - 3. Producer application initiates the acquisition of RGB-D information data of an object of interest (human torso/face) using a depth camera.
  - 4. Producer application generates human 3D representations using the acquired RGB-D information.
  - 5. Encoded human 3D representations are streamed to the consumer application using a 5G network.
- **Consumer application:** Unity (C#)-based application running on an Android mobile phone connected to AR glasses via USB-C.
  - 1. Upon starting the consumer application, the mobile phone connects to the signal-ling server.
  - 2. Once, the producer device joins the signalling server, a WebRTC channel is set up as described in D3.2 [3].
  - 3. Consumer application receives the 3D data stream through a 5G network.
  - 4. The 3D data stream is decoded and rendered.
  - 5. Human 3D representation of the user on the producer side is displayed on AR glasses in real time.

# 4.1.2 Extension of Use Case with Third Party Applications

The implemented use case offers real-time holographic streaming delivery with the flexibility of manual configuration for both quality and data size of holographic content. This includes the ability to achieve a 3D representation through filtering and compression techniques that can be tailored to meet network and Quality of Experience (QoE) requirements. Here, a producer client application functions as a versatile tool for media and spatial information acquisition and processing, compatible with applications supporting either network or USB connections. On the other end, the consumer client application serves as a decoding and rendering component. It allows users to visualize encoded 3D data transmitted from the producer client application. Both application parts are adaptable to wireless network access technologies such as Wi-Fi or 5G, providing a seamless and efficient holographic communication experience.



For the SPIRIT project the goal is to extend this implemented use case by leveraging immersive telepresence application provided by third parties in the scope of two Open Call waves.

#### General

#### Research on 3D data processing

External parties are given the opportunity to utilise the use case to drive development in the processing of 3D content. This includes researching and testing novel processing methods in the areas of point cloud generation and mesh calculation as well as encoding/compression algorithms. Assessments by third parties can be made on various aspects such as encoded data size, processing time, image quality, or overall QoE.

### Implementation of various streaming technologies

The existing iteration of the application employs WebRTC for streaming 3D data between the clients asymmetrically. There are ongoing developments in extending these technologies, e.g., in terms of scalability. This offers a promising chance to explore the system's performance. In addition, alternative streaming frameworks such as LL-DASH may be explored and compared to WebRTC solutions.

## Use of new devices and new ways of interaction

The market of AR devices evolves rapidly and new options with improved features are constantly made available. This presents a good chance to extend the compatibility of the application to a wider range of equipment. New ways of interaction between the user and the holographic content could be implemented using new device technologies to provide a richer immersive experience. This for example may include the incorporation of the Microsoft HoloLens 2 or the Apple Vision Pro through third parties.

Furthermore, in the second version of the SPIRIT platform, the following extensions are conceivable but should not be understood as limiting the immersive telepresence application of third parties:

• Incorporating edge computing (split-rendering): The rendering operation is done by the consumer application supposed to be running on a mobile end device such as a commercial off-the-shelf mobile phone. However, rendering is GPU- intensive, as the processes involved in creating realistic and visually appealing images or animations are very complicated. The complexity arises from factors such as intricate scene geometry, high-resolution textures, advanced lighting effects and complicated shading models. Meticulous calculations for light interactions, reflections, refractions, and shadows are required to achieve photorealistic results as demanded by the digital human-to-human use cases presented in D2.2 [7]. One solution to mitigate the impact of rendering on hardware with low GPU power is to (partially) off-load the rendering operation on more sophisticated hardware, such as the HPC provided in the implemented use case.

Similar to [8], third parties could investigate cloud solutions leveraging the provided HPC for the use case with a special focus on rendering within immersive telepresence scenarios. Excluding cloud service monetisation, computational off-loading of heavy software operations from a mobile end device to an HPC not only optimises end consumer battery usage but also contributes to possibly reducing end-to-end latency in the overall system originating from faster software processing time.



# 4.1.3 Summary Validation

TABLE 6: USE CASE HOLOGRAMS: DEVELOPMENT/INTEGRATION AND PERFORMANCE

Use case Holographic Communication de- velopment and inte- gration	Relevant re- quirements	KPIs (metrics achieved)	Evaluation (determina- tion of KPIs)
The use-case was developed by EDD and initially tested locally at 5G testbed in Aachen.  Integration in the DT testbed is done.  Integration in the UoS testbed is done.  The extension for manyto-many conferencing was developed by imec, tested in a local setup and integrated in the Virtual Wall testbed in Ghent.	RLat = 200 ms  RDown = 20	RLat = 300 ms  RDown = 10 Mbps  Clients = 2 (extension to 10 clients in the many-to-many scenario)  RFPS = 25  RRes = 640x48  RQoE = 4	E2E latency (Lat) is in this case the time between the capturing and displaying on AR glasses.  Downlink bandwidth corresponds to the 3D video stream (compressed mesh). In our tests satisfactory results were achieved with a resolution of 640x480.  Two clients were used in the tests, one producer and one receiver (up to 10 clients in the many-to-many scenario on the Virtual Wall testbed).  A signalling server included in the application was used to test the communication.





#### 4.3 UC MULTI-SOURCE: LIVE TELEPORTATION WITH 5G MEC

#### 4.3.1 Overview

This use case is about live teleporting people from remote internet locations to a common virtual space of the audience such that the audience can have the immersive and multisensory perception that everyone is located in the common physical scene. One application scenario is distributed virtual performances where actors can physically perform (e.g. dancing) at different locations but their live holograms can be simultaneously teleported to a "virtual stage" where the audience can enjoy the entire performance event constituting the virtual holograms of real performers from different remote locations.

# 4.3.2 Use of the System within the Surrey Testbed

The overall application platform has been implemented based on open-source platform of LiveScan3D [38]. At content source side (e.g. person to be captured and teleported), multiple Kinect Azure DK cameras are used to capture the object from different directions and each camera is connected to a local PC called clients which is responsible for local processing of the raw content data. The raw content data is in point cloud data format.

At the 5G Multi-access Edge computing (MEC) server side, the pre-processed local data are further streamed to the production server responsible for integrating frames produced from different clients for the same captured object. The functionality of the production server is supported by a 5G MEC attached to the 5G testbed network at University of Surrey. In this case local frames from individual clients are streamed in real-time to the local 5G MEC through 5G new radio (NR) uplink.

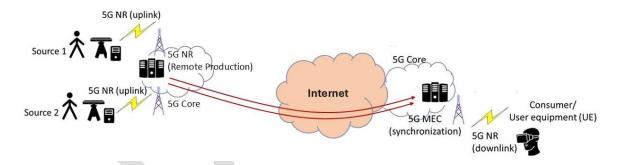


FIGURE 45: IMPLEMENTED FRAMEWORK FOR LIVE TELEPORTATION

The use of this platform can be described as follows:

- Start LiveScan3D server at MEC server: on the MEC server, first the LiveScan3D server should be started. Then at the control panel, calibration parameters including offset for each source can be tuned as well as point density, request interval, buffering control parameters.
- 2) Start LiveScan3D clients at different sites: once one or multiple Microsoft Azure Kinect DK cameras can be deployed on different sites and connected to local laptop, LiveScan3D client can be launched at each laptop. Local resolution level and depth



mode can be selected on the application panel and local source ID should be configured. Especially, the source ID should be predefined to avoid collision with other clients.

- 3) Connect clients to MEC server and streaming Live teleportation: The client can set the remote MEC server IP as the server IP to be connected, and once the connect button is clicked, a TCP connection will be requested and then established from client to MEC server. Then the live hologram can be requested from remote MEC server. On the MEC server panel, by click "show live" button, real-time hologram from multiple sites can be merged and displayed on the screen.
- 4) Start Hololens 2 and receive the holographic streaming: once the live teleportation from clients to server is running, the user can start the HoloLens 2 to connect to the same MEC server via TCP connection to request live teleportation streaming. Each HoloLens 2 will have dedicated user ID, then the server can decide how many sources should be streamed to this specific user. During the streaming, a user can perform several actions to interact with the hologram including moving closer or far away, manipulating the hologram to change its size, orientation.

#### 4.3.3 Extension of the use case

The use case facilitates the realisation of live multisource teleportation, allowing for the delivery of photorealistic holographic content to viewers. The implementation and use of the key elements (producer/source, server, receiver) shown in the architecture, coupled with the employment of 5G connectivity, ensures that the network requirements are met while also considering the viewer experience. Building on this, and factoring the key elements of the architecture, there are options for developing and extending the use case and its functionality. This is detailed below.

#### Many-to-many extension

The architecture shown in Figure 45 illustrates the multi-source use case. Although implemented, the diagram displays only 2 sources. A possible extension of the current use case functionality therefore includes the potential support for a many-to-many scenario. In this context, the corresponding functionality could be used to support the introduction of additional elements such as more producers/sources and additional receivers. The introduction of such elements would enable the realisation of a many-to-many scenario while laying the foundation for further extensions. Figure 46 shows an outline of the potential extension to enable a many-to-many scenario.

From the Figure 46, the potential extension of the use case displays the support for additional sources and receivers. In this regard, the computing capabilities of the testbed coupled with the 5G network could be leveraged to meet the demands for an increase in producers/sources and receivers, allowing for the satisfaction of requirements, and as such facilitating a many-to-many multi-source use case scenario.



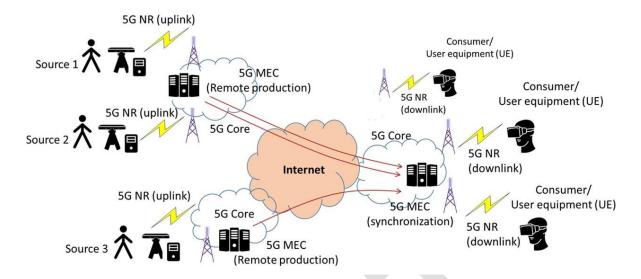


FIGURE 46: EXTENDED FRAMEWORK FOR LIVE TELEPORTATION

#### **Multi-dimensional Adaptation**

The use case allows photorealistic holographic content to be viewed in a 3D space. This opens up the possibility for uncertainties associated with the behaviour of the source, the viewer and, in some cases, the network. Such uncertainties could be of concern within the context of viewer satisfaction. As such, a further extension of the use case could be the potential introduction of the multi-dimensional media adaptation detailed in D3.2 [3], to account for such uncertainties and facilitate satisfactory experiences.

#### Utilisation of different client devices

Growing interest in mixed reality has led to further variability in the consumer market. Therefore, another potential extension would be to consider the use of different devices such as holographic displays and other head mounted displays.

#### Further source image considerations

The use case facilitates multi-source teleportation via the use of camera sensors as shown in the Figure 45. Based on this, a possible extension of the use case could also include additional considerations regarding the source and source image. In this context, a possible extension could be in the introduction of additional camera sensors per source, allowing denser representations. Furthermore, the variability of point densities particularly with regards to different sources could further be explored, representing the potential for further improvements.



# 4.3.5 Summary Validation

TABLE 7: USE CASE MULTI-SOURCE: DEVELOPMENT/INTEGRATION AND PERFORMANCE

Use case Multi- Source development and integration	Relevant re- quirements	KPIs (metrics achieved)	Evaluation (determination of KPIs)
The use case was developed by University of Surrey and integrated into Surrey's testbed. The current UC status is tested under the Surrey's 5G network testbed.  Each source consists of a unique client which encompasses a Microsoft Azure Kinect Dk/Kinect-2, and corresponding computing resource, utilized by the source client application for the generation and transmission of the relevant point cloud data to the server.  The primary viewer client device utilized at the receiver is the Hololens 2.  Major challenges in integrating the UC were in relation to computing resources and network configurations. This indicates that performances could be impacted to some degree by such factors.  Also, integration and development are not inclusive of the WebRTC protocol, with the subsequent inclusion being a planned and ongoing actionable item.	RLat=200ms RDown=50Mbp s RUp=50Mbps RClients=4 RQoE=4 RFPS=30 RRes= HD (1280x720 pix-els) RSyn=50ms	Lat = 300 ms  RDown = 50- 70Mbps  RUp = 50-70Mbps  RClients = 3 (planned extension to 4 clients in the many to many scenario)  RQoE = ~3-3.7  RFPS=25-30  RRes= ≤ 1280  x720(512x288) (planned exploration of multiple resolutions in the many to many scenario)  RSyn=50-100ms	RLat is determined by the processing capability of the server running LiveScan3D platform as well as the network conditions (e.g. network delay). This can be up to 300ms in challenging network conditions.  The 3 clients used in the tests represent 2 unique producers/sources and a receiver. (The planned extension could feature the introduction of an additional source/producer as well as an additional receiver).  The QoE metric incorporates subjective driven model-based QoE evaluation for volumetric media.  Downlink and uplink bandwidth (Down and Up) in the 5G network of up to 70Mbps respectively, as measured in separate experiments.  Regarding synchronization performance, this also depends on the network distance from individual sources to the viewer side.  FPS is within the 25-30 range and corresponds to the resolution level at ≤ 1280 x720(512x288) resolution which is indicative of the relative point density. Additional Tests with other resolutions are planned. (The planned tests and explorations could feature the introduction of varying resolutions, potentially applicable to many-to-many scenario.)



# 4.5 UC AVATAR: REAL-TIME ANIMATION AND STREAMING OF REALISTIC AVATARS

#### 4.5.1 Overview

The Real-Time Avatar Animation and Streaming application proposes a communication scenario between a producer user, whose voice is captured and used as input to animate a volumetric avatar, and a consumer user, that receives video and audio information of the animated avatar and has the possibility of interacting with it. Once again, the reader is invited to refer to the documents D2.2 [2] and D3.2 [3] for a more detailed explanation.

This section describes the steps needed to run and test the application platform within the testbed provided by T-Systems in Berlin, as well as a list of possible extensions of the application that could be carried out by third parties.

## 4.5.2 Use of the System within the Berlin Testbed

A step-by-step description of how the application can be used within the Berlin testbed is described here. At the time of writing, and as mentioned in previous sections, there are still several issues that prevent the system from being fully integrated in the infrastructure provided by T-Systems.

The use of the Real-Time Animation and Streaming of Realistic Avatars application involves the following steps:

- Start audio animation server: the container associated to the audio animation server
  must be running before starting the main container. This server receives a stream of audio
  samples and generate the visemes (speech sound descriptions) used to animate the avatar in a further step.
- 2. Start rendering and streaming server: the main container must be started by selecting the necessary parameters. These include, among other options, the working mode (single image or stereoscopic), and the desired resolution of the rendered image.
- Connect media capture device to server: the producer user must start the media capture
  Android application, enter the IP of the server within the network and connect using Websockets.
- **4. Connect consumer device to server**: the consumer device can consist of a single Android mobile phone or tablet or a device connected to a set of AR glasses. In both cases, the user can interact with the avatar.
  - a. Single Android device: the user must start the corresponding Unity application, enter the IP of the server and connect using Websockets. The image of the avatar will appear directly on the screen and the audio will be played on the device.
  - b. AR glasses: the user must connect the glasses to the corresponding Android phone, start the Unity application, enter the IP of the server and connect to it. The image of the avatar will be displayed on the glasses and the audio will also be played on them.





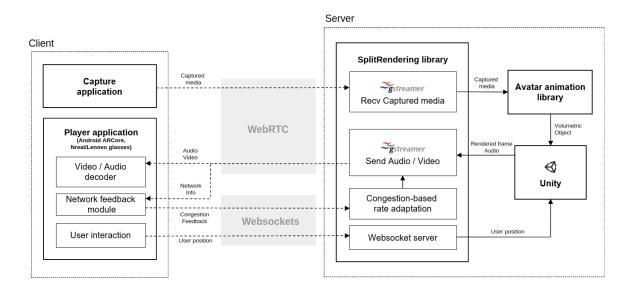


FIGURE 47: COMPONENTS OF THE REAL-TIME ANIMATION AND STREAMING OF REALISTIC AVATARS APPLICATION

On the other hand, the flow of data of the whole scenario can be described in the following steps (as described in Figure 47):

- **1.** Audio is captured on the producer device.
- 2. The audio samples are streamed over the network to the server using a WebRTC connection.
- **3.** A set of audio samples (corresponding to the current frame) is used as input for the avatar animation library.
- **4.** The avatar animation library sends the audio samples to the audio animation server and visemes are generated.
- **5.** The produced visemes are used to generate the mesh and texture of the avatar for the current frame.
- **6.** The mesh and texture of the avatar are updated within the Unity scene.
- **7.** The position of the client device with respect to the avatar is synchronized on the server and the cameras in the Unity scene are placed at the right position.
- **8.** The transformations resulting of the interaction of the consumer user with the avatar (position, rotation, scale) are applied at the server.
- **9.** One or two 2D images (depending on the working mode) are rendered by the corresponding cameras.
- **10.** The 2D images are streamed over the network to the consumer device, together with the audio corresponding to the current frame.
- **11.** Both audio and video are displayed on the consumer device.

#### 4.5.3 Extension of Use Case with Third Party Applications

The architecture of the application makes it possible to extend its functionalities in a variety of ways within the development of the SPIRIT project. Each of the main components (server





application, producer client and consumer client) provide a series of subsystems that can be further developed to improve the already-present functionalities or to add new ones. This section includes some entry points for third parties to do this.

### Use of different avatars or animation methods

The avatar included in the application platform can be switched to a new one with different characteristics. The architecture of the system allows any object placed in a Unity scene to be rendered and shown on the client producer device. This opens up a number of possibilities regarding the aspect of the avatar and the way it is animated frame by frame. New animation algorithms could be used as libraries within the existing infrastructure.

# Manipulation of the rendered images and audio

The nature of the rendering procedure used in this application makes it possible to manipulate the generated 2D image in an infinite number of ways. New techniques based on the use of AI (Artificial Intelligence) and Neural Networks can be used to improve the quality of the renders or to modify its aspect.

The same can be applied to the audio captured on the producer client device. The samples can be processed in different ways, providing better sound quality, noise removal or voice manipulation.

## Use of different streaming technologies

The current version of the application uses Gstreamer and WebRTC to stream audio and video information from the server to the client and vice versa. New extensions of these technologies are being developed at the moment. This presents a good opportunity to investigate how the system behaves when being integrated with other streaming frameworks.

# Use of new client devices and new ways of interaction

The market of AR devices evolves rapidly and new options with improved features are constantly made available. This presents a good chance to extend the compatibility of the application to a wider range of equipment. New ways of interaction between the user and the avatar could be implemented as well in order to provide a richer experience.

## **Measure of Quality of Experience and Performance**

The existing capabilities of the system, together with the possible new additions described above, provide an interesting playfield to analyse and compare the behaviour of different rendering and streaming technologies in the context of the communication in Mixed Reality environments.





# 4.5.4 Summary Validation

TABLE 8: USE CASE AVATAR: DEVELOPMENT/INTEGRATION AND PERFORMANCE

Use case Avatar de- velopment and inte- gration	Relevant re- quirements	KPIs (metrics achieved)	Evaluation (determination of KPIs)
This use case was developed by Fraunhofer HHI and integrated in the Berlin DT server in collaboration with T-Systems.  The necessary applications were containerized and deployed in the Kubernetes cluster of the testbed. Then, the main functionality (animation and streaming of the avatar) was tested.  The main difficulties found during the integration process were related to the compatibility of the client devices with the 5G network. One of the Android smartphones (Oppo Find X3) could finally be connected to the testbed in Berlin and used for the tests with two different configurations: mono video shown directly on the phone and a stereoscopic mode using the XReal Light glasses. In Surrey, the application was deployed in a virtual machine and tested with Samsung S24 phones.	RLat = 200 ms Rup > 5 Mbps RDown > 5 Mbps (mono), 10 Mbps (stereo) RClients = 3 RFPS = 25 RRes = 1920x1080 RInDev = Android smartphone/tablet ROutDev = Android smartphone/tablet, XR glasses RQoE=4	RLat ~= 300 ms  Rup ~= 1.5 Mbps  RDown ~= 5 Mbps  for mono, 10 for     stereo  RClients = 2 (ex- tension to 3 in the     one-to-many sce- nario in progress)  RFPS = 25  RRes = 2560x1440  RInDev = Tested     with Android     smartphones  ROutDev = An-     droid smartphones/tab- let, XReal Light     glasses.  RQoE ~= 4	E2E latency (RLat) is, in this case, the time between the moment in which the producer user sends audio from the phone and the instant that the receiving user hears the audio. Some optimizations must still be done to achieve the goal E2E latency.  The value of Rup corresponds to audio. The inclusion of video input is in progress and will be measured accordingly.  Downlink bandwidth corresponds to the video and audio information. The mono modo was tested and the bandwidth was as expected.  Two clients were used in the tests, one producer and one receiver. An extension to allow multiple receiver users is in progress.  A signaling server included in the application was used to test the communication. Since the application was tested locally, no external connections with STUN/TURNS server have been used yet.  Android smartphones have been tested as input devices at the testbeds.  Android smartphones and XR glasses (XReal Light) have been tested as output devices at the testbeds.  The QoE value has been approximated from the results of the evaluation performed in section 6



# 4.6 REAL-TIME HUMAN-MACHINE INTERACTIONS

# 4.6.1 UC Robot: Distributed Steering of Autonomous Mobile Robots (AMRs)

Alongside the SPIRIT Platform the Deutsche Telekom Testbed provides a teleoperation use case that is available on premise. The use case is about the remote manual navigation of autonomous mobile robots and is further described in the D2.2 [2] document.

The use case runs centralized on a local edge server and can be used by any device (for example phone, tablet, controller and computer) with a web browser (preferably chrome) inside the campus. Within the web application one can view the robot's surroundings by observing video streams from the AMR as well as sending out drive commands to the robot. In general, the web application is capable of manually and autonomously steering multiple different AMR's however only one robot was made available for the project, the "Husky" Robot Figure 48: Husky Robot from ClearPath Robotics.



FIGURE 48: HUSKY ROBOT

In order to enable the robot for autonomous driving and distributed steering, it had to be equipped with multiple different sensors. We use IMU sensors to detect acceleration and pose, use Lidar sensors to perceive the robot's environment and cameras to provide assistance for remote workers. The Lidar sensor that is being used on the Husky is the 3D Ouster Sensor OS0. For the cameras we are using four Intel depth cameras d435, however they are implemented as normal RGB cameras for now.

To communicate with our fleet management system and distributed steering use case that is running on the edge cloud, the Husky is connected via a 5G modem.

For the presentation of the use case a simplified control centre has been setup with six 1920x1080p displays and an Intel Nuc computer which can be seen in.





FIGURE 49: SIMPLIFIED CONTROL CENTER

Side by side with the web application we also offer an API to control the robot to participants of the SPIRIT project. For safety reasons the API can only be used in agreement and with the guidance of the Autonomous Logistics Team from the Deutsche Telekom. We have a variety of APIs available however for the interaction with our use case we're providing APIs for the following functions:

- Sending Drive commands
- Post/get a map for the navigation of one specific robot
- Defining POI's/Goals in a robot specific map
- ⇒ Post autonomous driving order for a specific robot to a specific POI/Goal
- Accessing the video stream of Husky robot

However, during the execution of the experiment's special usages and extensions of the API can be discussed.





# 4.6.3 Summary Validation

TABLE 9: USE CASE ROBOT: DEVELOPMENT/INTEGRATION AND PERFORMANCE

Use case Robot devel- opment and integra- tion	Relevant re- quirements	KPIs (metrics achieved)	Evaluation (determina- tion of KPIs)
This use case was developed by T-Systems and is fully integrated in the Berlin Testbed.  A robot that is available to the testbed was equipped with four cameras and a producer client application which forwards video streams to a local edge server. To make use of the local 5G network, the robot was also equipped with a 5G modem.  A containerized application was deployed to the edge server providing a web interface for clients to connect to the video streams.  For the demonstration of the use case a demo "control center" consisting of an Intel NUC and multiple monitors has been set up.	RLat = 200 RDown = 2Mbps RUp = 2Mbps RFPS = 30 fps RRes ≤ 1280x720 RInDev = Cameras supporting video4linux ROutDev = Any common device running a web browser	RLat = 200 - 300ms  RDown = 2 Mbps  RUp = 2 Mbps  RFPS = 30 fps  RRes = 640x480 px  RInDev = Intel Realsense 435  ROutDev = Smartphones, Desktop PC's, Laptop	E2E latency (Lat) was determined with a simple test capturing a stopwatch on the screen of the control center with the cameras of the robot. The resulting latency varied between 200-300ms.  Downlink and Uplink were fully satisfied by the local network. The Robot was connected via 5G while the receiver of the video streams was connected via ethernet.  The framerate is limited to 30fps by the camera used in the experiment.  The experiment was performed with 4 streams at 640px480p resolution for the best performance. Higher resolution has not yet been tested.  The Intel Realsense 435 Camera supports video4linux and could thus be used for the project.  The video streams can be accessed by any device running a web browser. So far android smartphones, iPhones, iPads, windows desktop pcs and linux desktop PCs were tested.



# 5 SECURITY DEVELOPMENT AND TECHNICAL INTEGRATION

## 5.1 Problem Statement

In the SPIRIT project, we propose to the implementation and deployment of an open architecture to foster vendor interoperability and ease re-use of our toolbox by partners. This approach leads to specific challenges that must be addressed in our work, specifically from our security viewpoint:

- The different components are disaggregated, i.e. they are functionally and spatially distributed.
- Components might be deployed on cloud or edge devices beyond the control of the users and communication partners.
- The components are provided by different vendors with different run-time requirements.
- Some of the components might run in public cloud environments or edge servers in physically exposed locations that are not under direct control of project partners.

Such a highly dynamic environment creates new attack surfaces and security problems. To this end, we have developed the new approach of end-to-end security (see Figure 50), where data in transit is encrypted and only provably trust-worthy cloud applications can break up this encryption to process and store the confidential data. To exclude the cloud provider itself from the trusted computing base (TCB) of the application, any solution must provide robust security guarantees that can also be verified by remote parties before delivering their potentially confidential data to the cloud.

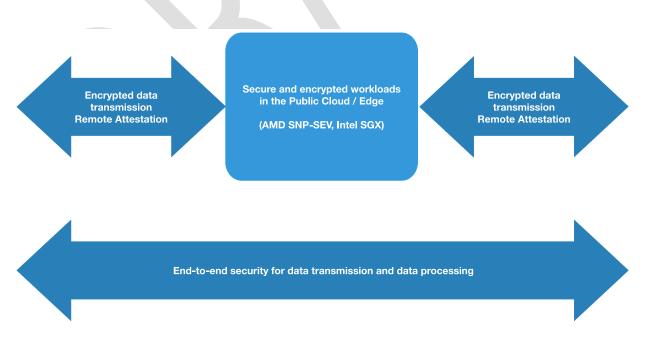


FIGURE 50: END-TO-END SECURITY





In previous reports [6] we have identified cloud-based solutions and their limitations as well as cloud-specific vulnerabilities, such as

- mishandling of customer private keys by cloud providers,
- · opaque processes in the cloud, and
- reliance on security certifications instead of hard security guarantees rooted in hardware properties.

In this document we specify an approach for deployment of Confidential Computing-protected virtual machines (VM) on bare metal servers (either on-premise or in the cloud) where privates keys for managing VMs never leave the data owner's control and specifically do not need to be uploaded to cloud provider systems. The specification is enhanced with hands-on documentation to help project partner's use the provided software.

Computing resources as well as project partner support is provided by the Deutsche Telekom Cloud Security Lab in Berlin.

# 5.2 OVERVIEW OF THIS SECTION

This section serves both as a specification of the approach to Confidential Computing we have designed and implemented for the project as well as a user manual for project partners. The section structure supports this dual-purpose approach.

In section 5.3 we introduce our approach to Confidential Computing on a bare-metal Linux system, giving a high-level overview of the processes as well as an application example to further explain the system.

In section 5.4 we specify the different components we have implemented for our solution, notably the tool virtual machine (VM), the REST API for remote management, and the accompanying Docker image to simplify remote management for guest owners. The specification parts are interspersed with some hands-on instructions to support the dual role of user manual of this document.

In the appendix section "A.4 End-to-end Example" everything is put together to give users of our software an end-to-end example of the whole process, from obtaining the necessary software from our download site until the final operation of the trusted VM on our infrastructure.

Finally, in the Appendix A: Security we document specific aspects of our setup that did not fit into the previous.





# 5.3 Overview of Linux-based Confidential Computing on Bare Metal Server

After a recap of previous deliverables, introducing the trust model and some basic terminology of Confidential Computing, we explain in this section what Confidential Computing facilities are available on a fresh Linux installation (in our case Centos 9 Stream) and what is needed to achieve a practical and secure implementation that addresses the attacker model where the hardware operator of the VM host system is potentially untrustworthy.

## 5.3.1 Trust Model

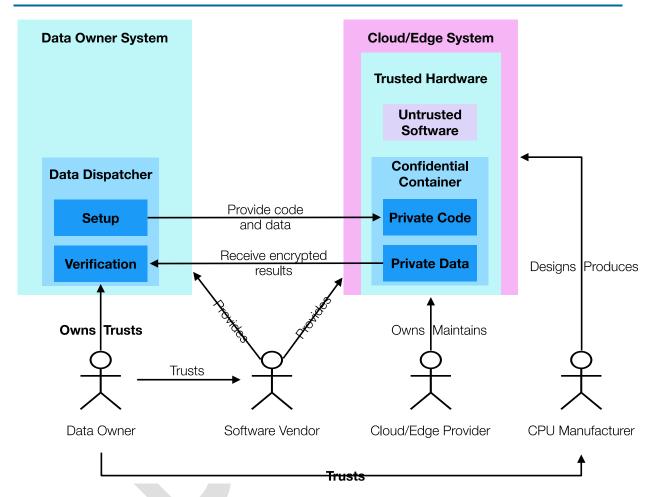


FIGURE 51: TRUST RELATIONSHIP IN CONFIDENTIAL COMPUTING

Confidential or Trusted computing in the context of this document refers to technologies which aim to solve the secure remote computation problem by leveraging trusted hardware in the remote computer. The trusted hardware establishes a secure container, and the remote computation service user uploads the desired computation and data into the secure container. The trusted hardware protects the data's confidentiality and integrity while the computation is being performed on it.

Figure 51 shows how the users trust the manufacturer of a piece of hardware in the remote computer, and entrust their data to a secure container hosted by the secure hardware.





The Data Owner (also called Guest Owner later on) sets up the remote computation on the cloud or edge server by preparing code and data to be sent to the remote system.

On the remote side, the code and data are run in a Confidential Container (or Confidential VM). The guest or data owner only interacts with the Confidential VM after verifying its integrity through the process of measurement or remote attestation.

# 5.3.2 Basic Approach to Measured Start of VM

In our host system (representing the cloud/edge system) we run the standard Linux KVM virtualization manager (VM), with the qemu and libvirt software stack. The qemu component allocates resources and starts the open virtualization manager firmware (OVMF, basically an UEFI-based BIOS of the VM), which in turn invokes the grub boot manager which starts the kernel with the initial ram disk image (initramfs) which then mounts the root disk image of the Linux guest (Figure 52).

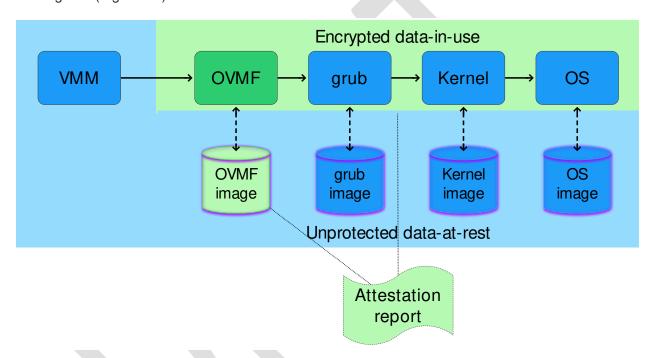


FIGURE 52: BASIC MEASURED START ON LINUX

The challenge in confidential computing is the following: the guest VM operates within a secure envelope, while the host hypervisor (including the QEMU process) operates outside this secure boundary, making it untrusted. Even with the new Luks (*Linux Unified Key Setup*, the Linux standard for disk encryption) format, QEMU handles image encryption, resulting in the encryption key residing outside the secure envelope. As a result, there is a need for a new format that ensures the encryption key (and the encryption mechanism) remains within the secured guest VM to enhance security.

Additionally, the attestation report obtainable with standard host tools only reports the integrity of the host processor, its firmware (in our case AMD Platform Secure Processor firmware), as well as the OVMF firmware image. The grub, Kernel, and OS image reside unprotected on the host system disks and are open to tampering.



# 5.3.3 Measured Start with Fully Encrypted Disk Images

In order to fully protect the remote environment, grub, Kernel, and OS images must be securely encrypted so that even the cloud provider cannot read or alter data. This, however, leads to the problem of bootstrapping this encryption key in a secure manner. In this section we describe how we achieved that.

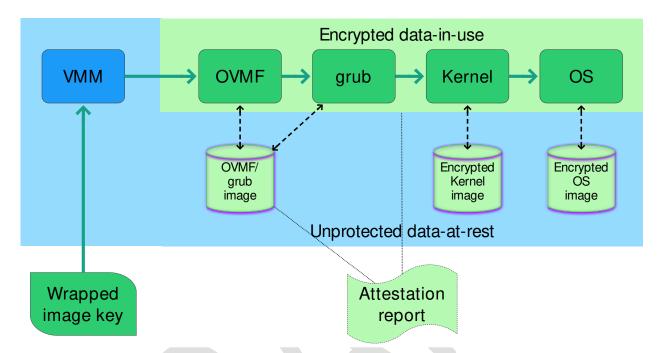


FIGURE 53: MEASURED START WITH FULLY ENCRYPTED DISK IMAGES

Figure 53 shows the boot process after everything is set up:

- The guest owner instructs the cloud provider to start the remote VM, but first only in paused state. The remote VMM (virtual machine manager – libvirt/qemu) starts the VM with a combined OVMF/grub image.
- 2. The guest owner requests a measure via the QMP protocol (Qemu Management Protocol). If the measurement meets expectations (OVMF/grub hash, AMD-SEV HW revision, AMD PSP firmware revision), the guest encrypts the Kernel/OS image key for the remote hardware and created a so-called "wrapped image key".
- 3. The key is sent via QMP to the remote system and passed on the PSP.
- 4. The PSP decrypts the key and puts it into the encrypted RAM of the VM.
- 5. Here it is picked up by the embedded grub bootloader (which was also part of the measurement) and passed to the Kernel.
- 6. The Kernel decrypts the combined boot/root OS image and mounts it.
- 7. The VM is now running in encrypted RAM space with encrypted OS images (boot, root, and home partitions) and the hardware and VMM operator never has access to the protected data in RAM or disk image.

The fully encrypted disk image needed some preparation to support the sequence of steps outlined above. It can be created as follows:





- Extracting the root partition: Extract the complete root partition of an existing VM's image to a tar file. It needs to contain essential tools needed, such as cryptodisk and grub-efi.
- 2. Creating a two-partition raw image file: A new disk image file is generated, consisting of two partitions: the boot (UEFI) and the root/home partition.
- 3. Loopback mounting the image file: The newly created image file is mounted as a loop device, with 2 partitions: the EFI partition (p1) dedicated to the EFI system partition, and the Encrypted root partition (p2) used for the encrypted root filesystem.
- 4. Setting up EFI and cryptsetup: The EFI bootloader is configured to recognize the system's boot process and interact with the encrypted root partition. The root partition is encrypted using cryptsetup. During the initial setup, a simple password is used for the encryption (low-entropy or recovery password with many PBKDF2 iterations), however it can later be replaced by a high-entropy key for fast-booting, since grub is very slow in computing the PBKDF2 iterations.
- 5. Setting up grub for encrypted boot: grub configuration needs to be edited to enable boot from an encrypted partition.
- 6. Setting up fast-booting: to avoid 2 password prompts when booting the system (for boot and root partitions), a high-entropy password is added to cryptsetup. The initramfs configuration file is then edited, enabling the system to bypass the prompt for the encrypted root partition password.
- 7. Starting confidential VM: the initial ramdisk is rebuilt with all its partitions, and the system can boot using either the recovery or high-entropy password, enabling confidential computing with a fully encrypted disk image.

The process described in this section is based on previous work of the open-source community, [9], [10]. We found that many of the proposed patches are already present in an up-to-date Centos 9 Stream operating system. We had to modify and apply patches to some components, however, to make the whole system work (e.g. re-building OVMF with embedded grub, among other tasks).

# 5.3.4 Application Example: Secure Certificate Provisioning

To further deepen the reader's understanding of the trusted VM image creation and remote management processes outlined in the previous sections we would like to introduce a concrete application scenario for Confidential Computing in the project's context.

As has been outlined in our previous deliverables, relying parties (e.g. guest owner systems) should only send sensitive data to a remote system after successful remote attestation of the integrity of the remote system.

In this section we describe how our approach can be used to support trustworthy SSH (secure shell) and TLS (transport layer security) sessions involving trusted VMs running in the edge or public cloud.





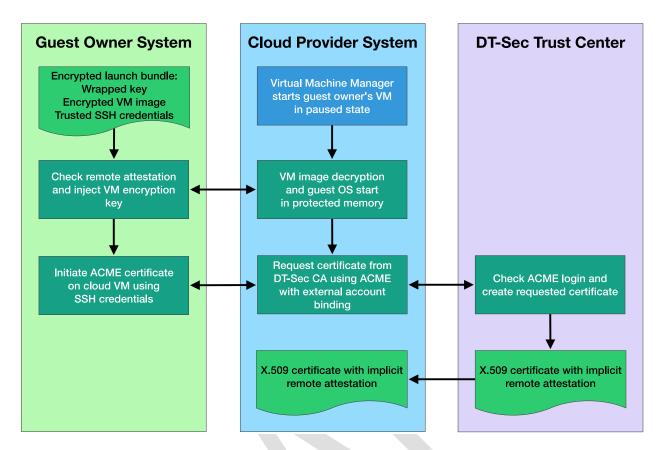


FIGURE 54: APPLICATION EXAMPLE - SECURE CERTIFICATE PROVISIONING

Figure 54 shows the three involved parties with their respective activities:

- On the guest owner system the initial image is prepared: It is encrypted with a disk key
  only known to the guest owner. Additionally, the guest prepares the SSH host key and
  loads software and data specific to the application.
- The cloud (or edge) provider receives the encrypted keys as well as parameters generated by the guest owner (the so-called "launch bundle") to setup the VM in the VMM.
- The DT-Sec trust center is contacted by the trusted VM on first run (and later for certificate renewal) in order to create certificates.

The certificates originating from this process depend implicitly on the successful remote attestation between guest owner and cloud provider, because the guest must follow these steps:

- 1. Create an SSH host key in a trustworthy environment while setting up the image.
- 2. Start the VM only after successful remote attestation.
- 3. Log into the VM depending on the trusted host key. This way, the guest owner can be sure to log into a trusted environment.
- Only then start the ACME client to request the certificate using the ACME login credentials (for external account binding). This step in turn depends on the trust into the SSH host key.

As a result, the trustworthiness of the certificate depends inherently on the successful remote attestation. Clients connecting to the application on this VM using TLS don't have to do the





remote attestation step themselves, since this has been done already in the process of obtaining the certificate. Additionally, this approach enables standard SSH-based management of the remote VM without doing any additional attestation step.

# **5.4 Specification of Components**

In this section we describe the tools and processes for guest owners to create individually encrypted, trusted VMs as well as the remote management and remote attestation/measurement facilities that we have implemented.

Using the Guest VM setup process, Guest owners can configure an encrypted VM template with their own keys. They can then provide the resulting encrypted image to the Cloud provider and initiate the startup of the VM in paused mode (necessary state for secure launch). Afterwards, Guest owners can initiate measured launch by checking the remote attestation from the Cloud provider and inject their VM's encryption key. The VM can then start in protected mode.

# 5.4.1 Setup Phase

#### 5.4.1.1 Overview

The Guest VM Setup tool is a tool VM based on CentOS 9 allowing guests to create and set up their own encrypted VM.

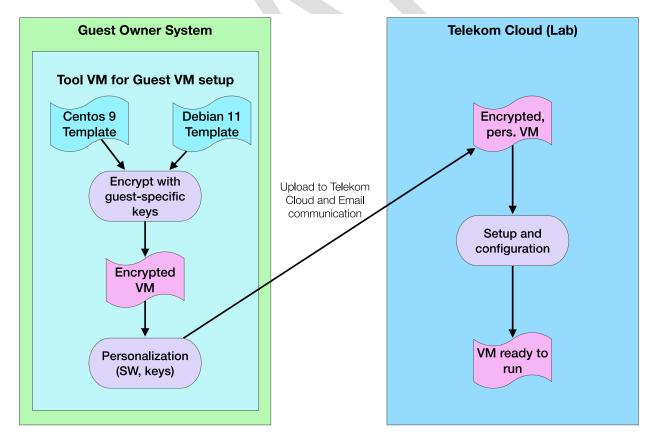


FIGURE 55: SETUP OF THE GUEST VM





Guests can set up their encrypted VM using the VM-tool provided by DT. There are currently 2 available OS templates for encrypted VM, Debian 11 and CentOS 9. After choosing a template, guests can encrypt it with their own keys to replace the DT default one. Afterwards, the VM image is transmitted to the Telekom Cloud Lab, to ensure that the encrypted VM is ready to run.

## 5.4.1.2 Commands Available in the VM for Guest VM Setup

The Guest VM Setup Tool contains all the necessary tools for guests to:

- select an encrypted VM image template (Debian 11 or CentOS 9),
- encrypt that image with 2 different keys (low entropy password as a recovery key, and high entropy password dynamically generated for faster boot of the VM), and
- mount the image on the tool VM (to perform administrative tasks, such as editing configuration, install updates and proprietary software, create an initial SSH key...).

The Guest VM Setup tool was originally supposed to be a docker container, but it was later replaced by a CentOS 9 based-VM, as it would have required too many privileges to run on Guest. Pre-configured VMs are available as well as the tools as a separate download for partners that want to use their own Guest setup VM.

The pre-configured images as well as intermediate files are located in directories below the /workdir directory in the VM root filesystem. The structure of the /workdir in the VM is as follows:

```
/workdir
```

```
images/

debian-template.qcow2 (with pre-defined recovery key)

centos9-template.qcow2 (with pre-defined recovery key)

transfer/

debian_XXXXX.qcow2 (encrypted with new keys)

centos_XXXXX.qcow2 (encrypted with new keys)

keys/

YYYYY_XXXXX_recovery-password.txt (user-given recovery password)

YYYYY_XXXXX_high-entropy-password.txt
```

Present in the original directory

Created by commands

All the commands described below are already set up in the Guest VM Setup tool. They need to be run with root privilege.

```
prepare [--debian/--centos] <VM name> <recovery-password>
```





This command is used to create a new encrypted image of a chosen template with personalized password. It works as follow:

# Arguments:

- o --debian/--centos: the chosen base template (CentOS 9 or Debian 11)
- o <VM\_name>: the name of the created image. It will be renamed as "template name.qcow2" (eg: "centos name.qcow2")
- o <recovery-password>: password of choice which will be used to encrypt the image
- Generate a high entropy password, store recovery password and high-entropy password in the "/workdir/keys directory".
- Make a copy of the chosen template to "/workdir/transfer" directory and rename it
- Encrypt the image with both recovery-password and high-entropy password using cryptsetup.

```
mount <VM name>
```

This command is used to mount the encrypted VM image from the /workdir/transfer directory and give the user a command line in the VM /root directory. It works as follow:

# • Argument:

- o <VM\_name>: name of the image to mount, can be written either as "template name" or "name" (eg: "centos name" or "name").
- Connect the image as NBD (Network Block Device) to a free NBD device (located in "/dev/nbd\*").
- Open the encrypted luks partition using the recovery password in "/workdir/keys" directory.
- Mount the associated cryptsetup device ("dev/mapper/encrypted-image") and all its contained directories at "/mnt/root".
- Give the user a command line from "/mnt/root" using chroot.

unmount-images

This command is used to unmount and disconnect every image.

- Unmount all partitions.
- Close every cryptsetup devices.
- Disconnect every NBD device.

reset-images





This command is used to reset the VM setup tool environment. Use this command with care since it deletes all image personalizations.

- Unmount all images (the same as unmount-images command).
- Delete all previously created files in the workdir (/workdir/transfer containing created images, /workdir/keys containing keyfiles.

The complete REST API is described in appendix A.9.

# 5.4.2 Operation Phase

After the prepared image from the setup phase has been transferred to the host system in the DT-Sec Berlin lab and pre-configured by the support personnel, the guest owner needs to perform a few last steps to prepare the confidential remote operation, after which he or she can start using the remote computing resource.

#### **5.4.2.1** Overview

Before the actual operation phase, the following two steps must be performed by the guest owner:

- Creating a so-called "launch bundle", consisting of AMD-SEV-related key material for remote management.
- Sending two public keys from this launch bundle to the remote system via the REST API described below.
- Notifying the host admin about the completion of these two steps.

After these steps the remote VM is ready to run.





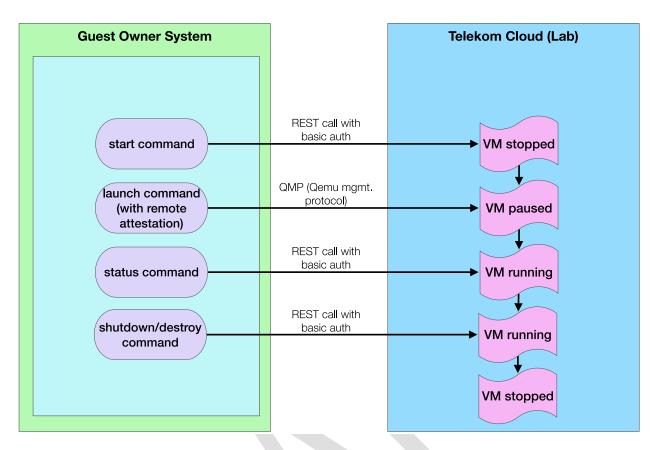


FIGURE 56: MANAGING THE GUEST VM

Figure 56 shows the available remote management commands as well as the life cycle of the VM:

- With the start command the guest owner starts the remote VM in paused mode.
- The launch command performs the actual remote attestation. If the remote attestation
  passes (i.e. the measurement is equal to the locally computed value) the launch command also transmits the encrypted disk key to the remote VM. The VM then starts and
  is ready to perform its function.
- At any time, the guest owner can request the status of the remote VM using the status command.
- When the VM is not needed anymore, the guest owner should issue a shutdown command, followed later by a destroy command to stop and switch off the remote VM. Note: destroy should be issued about 30 s after the stop command to allow for clean shutdown. Some VMs need the destroy command because the Linux kernel is not switching off the VM properly. Best practice would be to check with the "status" command and issue the destroy only if the VM is still running after 30 s.

Detailed configuration steps are given in appendix A and in README files on our download site.

# 5.5 Cloud Security Lab in Berlin





To allow for integration of and experimentation with the Confidential Computing technologies described in this document we have set up a lab environment for project partners and Open Call partners to run confidential work loads in a cloud-like environment in our Deutsche Telekom Cloud Security Lab in Berlin (see Figure 57).

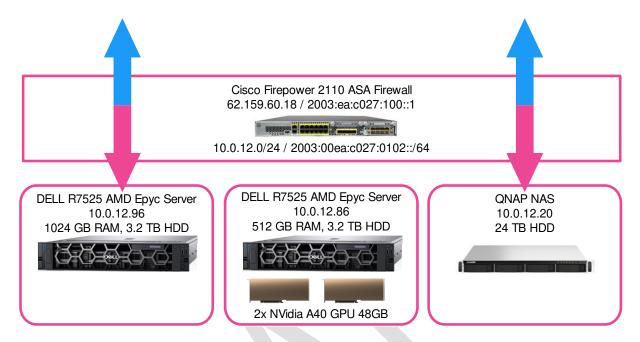


FIGURE 57: CLOUD SECURITY LAB INFRASTRUCTURE

The setup in our lab consists of two Confidential Computing-enabled servers, one of them with Nvidia-based GPU resources.

The test environment offers the following facilities:

- 1. 300 MB Telekom Business Fiber Connection 8h response
- 2. Access via Cisco AnyConnect or open source openconnect
- 3. 10.0.12.0.XXX addresses only available via VPN
- 4. Ports can be exposed via NAT on 62.159.60.18 in the 5 digit port range
- 5. Individual IPv6 addresses (no NAT), ports to be exposed an request
- 6. Large datasets can be hosted on NAS and made available as ISCSI targets



# **6 QUALITY OF EXPERIENCE EVALUATION**

In recent years, immersive video delivery has improved significantly. This type of video content can be viewed in XR, including VR, AR, and MR, to provide near-lifelike 3D objects and scenes. To represent these 3D entities, PCs are commonly used as the format providing high-fidelity representations without any constraint on the viewpoint and interaction. A PC comprises thousands or even millions of points, including information about colours (e.g., RGB) and geometry (x,y,z coordinates) of each point. Thus, the usage of point clouds (PCs) costs a large amount of storage and network bandwidth. Efficient ways to compress PCs are of importance to solve this issue. The compressed PC is then stored and/or delivered to end users via video streaming techniques (e.g., WebRTC, and HAS [11]).

In HAS, the content is encoded into various quality levels, then temporally split into multiple segments with the same duration. These segments are stored on one or multiple servers in a content delivery network [12]. The end users' media player selects the quality level for each segment based on the network conditions (i.e., observed throughput), the devices' characteristics (i.e., resolution and buffer), and the users' preferences. On the other hand, WebRTC makes its own decisions about the target encoding bitrate based on the estimated throughput, at which the video encoder is called for video compression. In both cases, the video quality can be changed in a streaming session, which leads to quality switches. The quality switches are well-known to affect the QoE in traditional video streaming [13]. However, they have not been fully considered in dynamic point cloud streaming.

Due to extremely high data volumes of uncompressed PCs, point cloud compression (PCC) is necessary to reduce both storage requirements and the amount of data delivered through networks. Initial studies started with compression of static 3D objects [14] [15] [16]. However, recent work focused on dynamic scenarios [17]. The MPEG developed standardized solutions for point cloud compression by leveraging their existing codecs, such as HEVC [18]. Their video point cloud encoder implementation can achieve a compression rate of 125:1. However, PCC comes at the cost of visual quality, determined by the QP. A higher QP provides a lower bitrate but leads to a lower quality.

Therefore, understanding the impact of different factors in video streaming techniques and PCC on the QoE is of importance. PCs have been evaluated in different viewing conditions (i.e., VR HMD and 2D screens) [19] [20]. However, research on the subjective quality assessment of PCs in AR environments is still limited. AR enhances people's perception of physical and virtual environments [21]. Thus, it is an interesting setting for immersive telepresence applications, which we develop and assess in the SPIRIT project.

Most of the effort in building QoE tools has been focused on 2D videos. Petrangeli et al. [22] proposed a parametric statistical model computing QoE based on average bitrate, its standard deviation, stall duration, and stall frequency. Tran et al. [23] introduced BiQPS using a Long-Short Term Memory network to predict the QoE. BiQPS takes into account stall duration, QP, bitrate, resolution, and frame rate. Recently, the ITU defined a standardized QoE model, the P.1203 model [24] for 2D video streaming that is trained and validated with different large databases of QoE. Some effort has been made to predict QoE in point cloud streaming on the basis of mathematical models, such as [25]. However, these models are often validated by subjective tests in VR environments.

UNI-KLU, in collaboration with imec, conducted a subjective test to assess the impact of quality, quality switching, viewing distance, and content characteristics on the perception of point clouds in AR environments. The output of this work includes (i) a platform for subjective quality assessment in AR environments, (ii) a dataset of rating scores that can be used for training





and validating future QoE models as well as the results (findings) of the subjective tests that produced these rating scores, and (iii) a machine learning based QoE model. In addition, the work also fine-tuned an existing ITU QoE model – that has been originally developed for conventional 2D videos – to predict the QoE for point clouds in AR environments. The details are provided in the following subsections.

The software, dataset, results (findings) from the subjective test, and the QoE models can be used by the project partners and by Open Call participants in use cases (applications) involving point cloud content in AR environments for three purposes:

- to build their own preview and subjective test software informing their use cases;
- to be guided by the subjective test results when deciding about the quality level(s) of the PC content to be captured, transmitted, and presented in their use cases; and
- to predict how their users will perceive the PC content in AR environments.

UNI-KLU has also conducted another round of subjective testing, using a different set of point clouds and incorporating eye-tracking into the tests as well [26]. The compressed point clouds and the results from the testing are made public and can be used by the Open Call participants for:

- further subjective testing with impact factors on QoE such as quality switches, stalling, etc.:
- use of the rating scores to train models for objective QoE of dynamic point clouds (DPCs) in mixed reality environments; and
- developing and comparing foveated rendering techniques for DPCs using the visual saliency data obtained through the eye-tracking tests.

# 6.1 A PLATFORM FOR SUBJECTIVE QUALITY ASSESSMENT OF POINT CLOUDS IN AUGMENTED-REALITY ENVIRONMENTS

We introduced a new platform for subjective quality assessment of PCs in AR environments. The platform provides multiple options for configuring the rendering of dynamic PC objects and meshes, including changing the content, quality, viewing distance, and representation, as well as previewing and interacting with the PC objects. Additionally, the proposed platform can be deployed to create subjective tests of the visual quality of dynamic PCs and meshes in AR environments. Our platform is first presented in [27].

The platform, which is published on GitHub at

## https://github.com/shivivats-aau/MR-Subjective-Testing-Platform,

is built using Unity<sup>10</sup>. The MRTK 2<sup>11</sup> from Microsoft is utilised to work with the HoloLens 2. Despite the HoloLens 2 having standalone capabilities, we use Holographic Remoting<sup>12</sup> from MRTK 2 to run the platform on a workstation while taking input from and sending output to the

<sup>&</sup>lt;sup>12</sup> <a href="https://learn.microsoft.com/en-us/windows/mixed-reality/develop/native/holographic-remoting-overview">https://learn.microsoft.com/en-us/windows/mixed-reality/develop/native/holographic-remoting-overview</a>. Accessed: 20 April 2023.



<sup>&</sup>lt;sup>10</sup> Version 2021.3.19f1. https://unity.com/. Accessed: 20 April 2023.

<sup>11</sup> https://github.com/microsoft/MixedRealityToolkit-Unity. Accessed: 20 April 2023.



HoloLens 2. The architecture is shown in Figure 58. The platform has two major functionalities: *(i)* point cloud previewing and *(ii)* subjective testing.

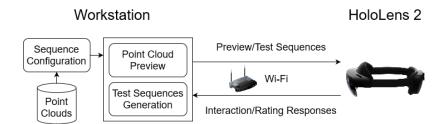


FIGURE 58: PLATFORM ARCHITECTURE FOR SUBJECTIVE QUALITY ASSESSMENT

## 6.1.1 Point Cloud Previews

The purpose of the preview functionality is to allow the tool users to explore and compare various configurations of the point clouds. These configurations are controlled via a menu in the HoloLens 2 device. Multiple objects can be added and viewed side by side, and each object can be configured individually. As shown in Figure 59, an object can be configured in terms of the following properties: *Representation, Viewing Distance, and Quality*. The *Loot* object (front) is rendered using the *Point* representation at a distance of 3 m from the viewer with quality level 3. The *Soldier* object (at the back) is rendered using the *Square* representation at 5 m distance with the same quality.

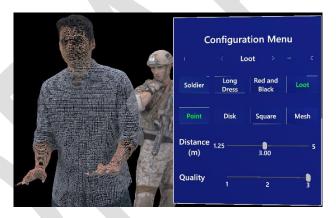


FIGURE 59: CONFIGURATION CONTROL PANEL AND PC OBJECTS

# 6.1.2 Subjective Testing

The second major functionality of our platform is the ability to compose and perform subjective tests within the given parameter ranges. These are single-stimulus tests designed with the purpose of testing the impact of various factors, such as distance and quality, on the perceived quality of the object and, thus, the QoE of the test participant. These tests can be configured using the Unity UI and are divided into "tasks". Within a task, the tool user (researcher or test director) can select several configurations for the point clouds, similar to the configuration options in the preview. All the possible permutations from the chosen configurations are determined and displayed to the test participant in random order. Randomization removes any bias the participants might obtain by watching the sequences in a particular order. The Unity UI for the subjective test configuration is shown in Figure 60.



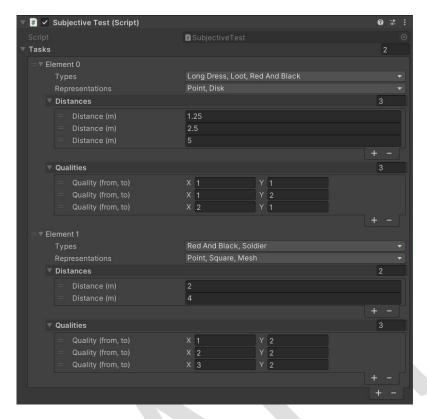


FIGURE 60: SUBJECTIVE TEST CONFIGURATION UI

The test workflow is designed so that the participant is asked to rate the perceptual quality of each sequence after watching it. This is made possible via an immersive slider which allows the participants to rate the quality from 1 through 10 with textual guidelines to support the ratings (i.e., 1, 2 – very bad, 3, 4 – bad, 5, 6 – fair, 7, 8 – good, 9, 10 – very good). These ratings are stored in a CSV file with a unique numerical ID assigned to the test participant and a string describing the sequence they just watched. The timestamp of this action is also stored and can be used to synchronize with a separate questionnaire that the participants might fill in, among other usages.

Furthermore, while the test participants perform the test using the HMD, the tests can be monitored by simply looking at the Unity application on a computer screen. This includes tracking the participant's progress and any difficulties they might have during the test.

# 6.2 DATASET AND RESULTS OF SUBJECTIVE TESTS FOR POINT CLOUDS IN AUGMENTED-REALITY ENVIRONMENTS

## **6.2.1 Experiment Tasks**

As this work focuses on the usage of PCs in telepresence applications, we used four PC video sequences from the *8i Voxelized Full Bodies Database* [28]: *Loot*, *RedAndBlack*, *LongDress*, and *Soldier* as shown in Figure 61. The first two have a lower contrast content than the other two [19]. Each sequence captures a complete object using 42 RGB cameras operating at 30





fps for 10 seconds. We use the MPEG V-PCC reference software TMC2<sup>13</sup> to create compressed PCs by varying the QPs. This software already includes five sets of QPs defined in MPEG's CTC [29] with the G-QP and T-QP ranging from 16 to 32 and from 22 to 42, respectively. Three such pairs from the MPEG PCC software with the lowest, middle, and highest QPs (i.e., the rates R5, R3, and R1 in MPEG's software TMC2) are selected as follows:

- Q1 (R1): (G-QP, T-QP) = (32, 42)
- Q2 (R3): (G-QP, T-QP) = (24, 32)
- Q3 (R5): (G-QP, T-QP) = (16, 22)

Q3 is thus the best quality level. The bitrate of the objects decreases with increasing QPs (see Table 10).



FIGURE 61: TEST OBJECTS IN 81 VOXELIZED FULL BODIES DATABASE

TABLE 10: BITRATES IN MBIT/S OF DIFFERENT QUALITY LEVELS OF THE PC OBJECTS

Video	Quality			
	Q1	Q2	Q3	
Loot	2.28	5.63	16.68	
LongDress	4.64	14.05	46.78	
RedAndBlack	3.39	7.55	22.90	
Soldier	4.38	11.58	35.29	

We designed two tasks for each participant, with both tasks consisting of 18 sequences of length 10 s. Table 11 describes the sequences. Before the experiment, participants are asked to provide some background information, including age, gender, eyesight, and experience in viewing VR, AR, and MR content.

<sup>13</sup> https://github.com/MPEGGroup/mpeg-pcc-tmc2. Accessed 16 November 2023.







TABLE 11: NOTATION AND DESCRIPTION OF THE TEST SEQUENCES

Task	Notation	Description	Sequences
1	Qij	The video starts with quality Qi, then switches to Qj after 5 s.	Loot and LongDress
2	Qi_Dk	The video is watched at quality Qi at distance Dk.	RedAndBlack and Soldier

# 6.2.1.1 Task 1: Impact of Video Encoding and Quality Switches

The participant watches nine sequences for each of the two objects, including three sequences with static quality (Q1, Q2, and Q3) and six sequences with a quality switch in the middle of each sequence. The objects are placed 5 m from the participant so that the whole body can be viewed. *Loot* and *LongDress* are used in this task as they belong to low and high contrast levels, respectively.

## 6.2.1.2 Task 2: Impact of Viewing Distance

The participant watches static-quality sequences of the other two objects (*RedAndBlack* and *Soldier*) at quality levels Q1, Q2, and Q3 at three distances:

- D1: 1.25 m (only face and shoulder in FoV)
- D2: 2.5 m (only upper body in FoV)
- D3: 5 m (full body in FoV)

The order of tasks and sequences for each task are randomized for each participant. After watching each sequence, the participant is asked to rate the perceptual quality (i.e., 1, 2 - very bad, 3, 4 - bad, 5, 6 - fair, 7, 8 - good, 9, 10 - very good) through the immersive slider shown in Figure 62.

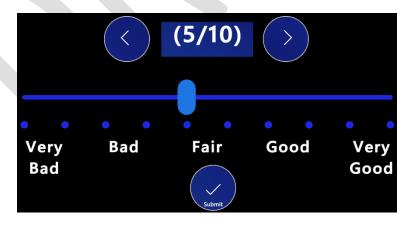


FIGURE 62: IMMERSIVE RATING SLIDER WITHIN THE USER INTERFACE OF THE HOLOLENS 2 AS USED DURING THE SUBJECTIVE TESTS

After the experiment, participants are asked to provide feedback on their experience regarding levels of general discomfort, nausea, sweating, headache, or dizziness that they may have experienced. Participants also answer a question of whether they feel the PC objects are part of the real environment by selecting one of five options: (i) strongly disagree, (ii) disagree, (iv)





neutral, (i) agree, and (v) strongly agree. The total duration of a single experiment is approximately 25 minutes.

# **6.2.2 Dataset Description**

Our dataset of the subjective tests is published on GitHub:

## https://github.com/minhkstn/QoE-and-Immersion-of-Dynamic-Point-Cloud.

The dataset includes two files: rating\_score.csv and questionnaire\_responses.csv. This section describes the content of each file.

## 6.2.2.1 Rating Scores

Table 12 describes the structure of the data in file rating scores.csv.

TABLE 12: STRUCTURE OF RATING SCORES DATABASE

Column Name	Data Type	Description		
participant	Int	The index of the participant		
object	String	The name of the 3D object in the test sequence		
start_quality	String	The quality in the first 5 s of the test sequence		
end_quality	String	The quality in the last 5 s of the test sequence		
distance	Float	The viewing distance (m)		
start_gqp	Int	The G-QP in the first 5 s of the test sequence		
start_tqp	Int	The T-QP in the first 5 s of the test sequence		
end_gqp	Int	The G-QP in the last 5 s of the test sequence		
end_tqp	Int	The T-QP in the last 5 s of the test sequence		
qoe	Int	The score rated by the participant		

## 6.2.2.2 Questionnaire Responses

Before the test, we asked the participants to provide some background information, and tested their colour vision with an Ishihara test<sup>14</sup>.

After the test, the participants answered a survey about cybersickness and the immersion level of the tested objects. The answers are stored in the table of file <code>questionnaire\_responses.csv</code>. The structure of the table is described in Table 13.

<sup>&</sup>lt;sup>14</sup> https://en.wikipedia.org/wiki/lshihara\_test. Accessed 03 November 20223.





TABLE 13: STRUCTURE OF QUESTIONNAIRE ANSWERS

Column Name	Data Type	Description		
Participant Int		The index of the participant		
Which number do you see below?	Int	The first tested number for Ishihara test (must be 12)		
Which number do you see below?	Int	The second tested number for Ishihara test (must be 45)		
Which number do you see below?	Int	The third tested number for Ishihara test (must be 74)		
During the assignment I felt [General discomfort]	String	Level of agreement with options: Strongly disagree, Disagree, Neutral, Agree, Strongly agree		
During the assignment I felt [Nausea]	String	Level of agreement with options: Strongly disagree, Disagree, Neutral, Agree, Strongly agree		
During the assignment I felt [Sweating]	String	Level of agreement with options: Strongly disagree, Disagree, Neutral, Agree, Strongly agree		
During the assignment I felt [Headache]	String	Level of agreement with options: Strongly disagree, Disagree, Neutral, Agree, Strongly agree		
During the assignment I felt [Dizziness]	String	Level of agreement with options: Strongly disagree, Disagree, Neutral, Agree, Strongly agree		
How would you describe the general experience? [I felt the objects were part of the real environment]	String	Level of agreement with options: Strongly disagree, Disagree, Neutral, Agree, Strongly agree		
What is your gender?	String	Gender with options: Male, Female, Non-binary, Prefer not to say		
What is your age group?	String	Age group with options: Under 18, 18-24, 25-34, 35-44, 45-54, 55-64, 65-74, 75-84, 85 or older		
How often have you watched/experienced extended reality content (e.g., 360 videos/games, holographic content)?	String	Frequency with options: Never, Once, A few times, Monthly, Weekly, Daily		

# **6.2.3 Subjective Test Results**

In this section, we provide an overview of the participants and present and analyse the subjective test results. We published our analysis in [30] [31].

# 6.2.3.1 Participants





A total of 36 participants, who were recruited from the UNI-KLU, attended the subjective test, including 22 (61%) males, 13 (36%) females, and 1 (3%) non-binary. 3 (8%) were in the age group of 18 to 24 years, 18 (50%) were between 25 and 34, 12 (33%) between 34 and 44, 2 (6%) between 45 and 54, and 1 (3%) between 55 and 64. The colour vision of the participants is evaluated using the Ishihara test [32]. Four participants failed this test, so their ratings are excluded. Hence, the results in this section are gathered from 32 participants which is compliant with ITU-T P.919 [33].

#### 6.2.3.2 Impact of Video Encoding

Figure 63 shows the quality ratings of the participants for the test sequences at different quality levels and quality switches. Regarding the sequences with static quality levels (i.e., Q11, Q22, Q33 in Figure 63(a)-(c)), it can be seen that objects encoded with lower qualities have lower scores. At least 75% of the viewers gave Loot (LongDress) a rating of 6 (5) or less for the lowest quality sequence, Q11. Their medians are both 4, which means a bad experience. With a higher-quality sequence, Q22, the median quality scores improve remarkably to 7 (i.e., good) and 6 (i.e., fair) for Loot and LongDress, respectively. For the highest-quality sequence, Q33, there is an improvement in quality ratings, but it is less remarkable than for Q22. Loot still receives good ratings from participants, with a median of 8 (i.e., good), while LongDress achieves ratings ranging from fair (median of 6) for Q22 to good (median of 7) for Q33. Furthermore, though Q33 can achieve very good ratings (9 or 10), the majority (at least 75%) of the participants rate this sequence at no more than 8. To statistically validate these claims, we used one-way ANOVA [34] and post-hoc comparison analysis using Tukey's HSD test [35]. According to the ANOVA results, there is a significant difference (p < 0.001) between the three quality levels. Post-hoc pairwise, Tukey's HSD reveals that quality ratings do not differ significantly (p > 0.05) between Q22 and Q33 for *Loot*, but do for *LongDress* (p < 0.05). Furthermore, there are significant p-values (p < 0.001) between Q11 and the others.

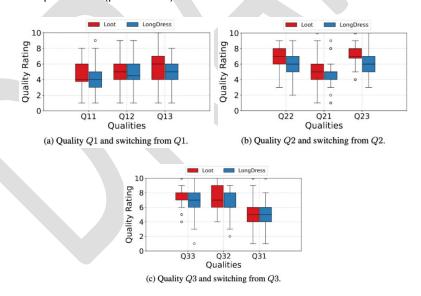


FIGURE 63: QUALITY RATINGS FOR DIFFERENT QUALITY LEVELS AND QUALITY SWITCHES

### 6.2.3.3 Impact of Quality Switches

Figure 63 also describes the participant ratings for different quality switches, including switching up when the quality is increased and switching down when the quality is decreased. There is no remarkable improvement in the quality scores when the sequence starts at quality Q1 (i.e., Q11, Q12, and Q13). ANOVA analysis indicates no significant difference (p > 0.05) among the quality scores for both *Loot* (p = 0.07) and *LongDress* (p = 0.13). This can be attributed to the severe distortion of Q1 in the initial 5 s that affects the QoE when watching the entire 10 s





video. Regarding switching down, when the quality changes from Q2 or Q3 to Q1 (i.e., Q21 or Q31), the quality ratings are markedly reduced compared to the constant-quality sequences (Q22 and Q33). However, there are no significant differences when the quality changes b tween Q2 and Q3. We conducted a paired samples t-test [36] to further validate this observation. It shows non-significant p-values between Q22 and Q23 (e.g., p = 0.6136 for *Loot*) as well as between Q33 and Q32 (e.g., p = 0.1162 for *LongDress*).

Combined with the results in the previous section, we claim that the end user hardly recognizes the quality differences between Q2 and Q3. Thus, we recommend that it is unnecessary to change the quality from Q2 to Q3 when the object is viewed at a distance of 5 m. This can remarkably reduce the amount of transferred data.

## 6.2.3.4 Impact of Viewing Distance

Figure 64 shows the quality ratings of the test sequences at different viewing distances. It is noticeable that distance significantly impacts the visual quality of the objects: the higher the viewing distance, the higher the quality scores. The reason is that, at a higher distance, it is harder for the viewers to recognize some quality distortions; thus, they give higher quality scores, which is comparable to what has been reported for traditional video sequences [37]. Additionally, we observe that to achieve the same visual quality, the object should be encoded with lower QPs (i.e., more data) if viewed closer. For example, RedAndBlack at quality Q1 is rated on average 4.8 at 5 m, and this object must be encoded at Q2 to gain a similar score (i.e., 4.9) if it is viewed at 1.25 m (p = 0.5 in a paired t-test).

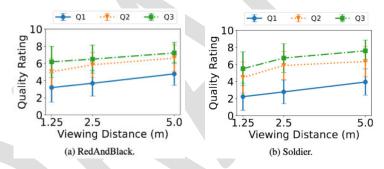


FIGURE 64: AVERAGE QUALITY RATINGS FOR DIFFERENT DISTANCES

## **6.2.3.5** Impact of Content Characteristics

We also evaluate the impact of content characteristics on the visual perception of participants for both tasks, as shown in Figure 65. *Loot* and *RedAndBlack* achieve higher quality ratings in most cases. For example, the quality scores of *Loot* and *RedAndBlack* with quality Q1 viewed at distance D3 (i.e., 5 m) are 4.5 and 4.8, respectively. Under the same conditions, these figures for *LongDress* and *Soldier* are 4.2 and 3.9, respectively. This can be explained by the fact that participants are less sensitive to quality distortion/changes for the content with fewer contrast differences. This finding extends the results presented in the work [19] on 2D screens to an AR environment with AR HMDs, in which the texture of the objects is a crucial factor for viewers.





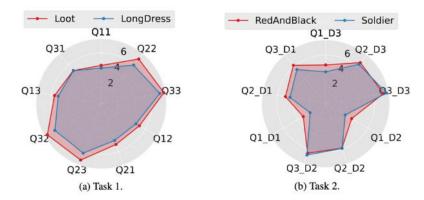


FIGURE 65: AVERAGE QUALITY RATINGS OF PARTICIPANTS. IT SHOULD BE NOTED THAT THE SEQUENCE QII IN TASK 1 IS EQUIVALENT TO QI\_D3 (I  $\in$  {1, 2, 3}) IN TASK 2, ENCODED AT QUALITY QI AND VIEWED AT 5 M.

## 6.2.3.6 Cybersickness in AR

The cybersickness levels of the participants are illustrated in Figure 66. Figure 66a shows that most of the participants did not feel symptoms of cybersickness in their experiment session that lasted about 25 minutes. 84% and 81% of them did not sweat or feel nauseated, respectively. The most common symptom is dizziness, but only 21% of the participants reported feeling dizzy during the test. Figure 66b provides more details about the symptoms of the participants who received cybersickness. No one suffers from all the symptoms mentioned above. There is only one person who experiences three symptoms, including sweating, headache, and dizziness. Three participants felt two symptoms, and six others received one symptom. On the contrary, in a similar-duration subjective test [38] where participants were watching videos with four characters in a room and dolphins in the ocean with VR HMDs, cybersickness was a serious problem that affected more than 90% of the viewers.

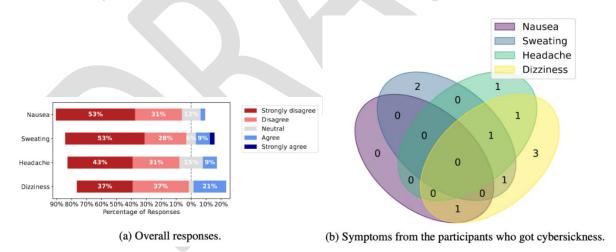


FIGURE 66: CYBERSICKNESS LEVELS OF THE PARTICIPANTS

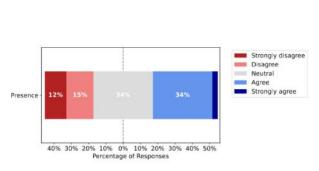
## 6.2.3.7 Objects' Immersion Levels

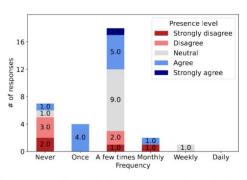
Figure 67 shows the immersion levels of digital objects in the physical world rated by the participants. It can be seen from the figure that 39% of the participants (strongly) agreed that the objects were part of the real environment. Only 27% of the participants (strongly) disagreed with this feeling. Therefore, the tested objects and HoloLens 2 provide the feeling of telepresence to some extent. However, some participants complained about the quality of some parts of the objects, even at the highest quality level. For example, the hair of *RedAndBlack* was perceived as blocky, and the heels of *LongDress* were missing in some





frames (see Figure 61). When we consider the impact of the participants' frequency of watching XR contents on the immersion level rating of the tested objects, there are two findings to be noted. First, most participants (5 out of 7 people) who have never watched XR content do not feel that the test sequences are real. Second, most experienced participants felt neutral or agreed that the objects were real. These people may have a good understanding of how 3D objects look in such environments, and thus may have lower expectations in terms of feeling the presence of these digital objects.





(a) Level of feeling the presence of objects in the real environment. presence of objects.

(b) Frequency of watching XR contents vs. level of feeling the presence of objects.

FIGURE 67: OBJECTS' IMMERSION LEVELS

# 6.3 QOE MODELS

Using the dataset in Section 6.2, we evaluate the performance of supervised machine learning techniques in predicting the QoE for point clouds in AR environments. In addition, we also fine-tuned an existing QoE model, which was originally designed for 2D videos, called ITU-T P.1203 mode 0, to predict the QoE of point clouds.

These models can be integrated in the testbed of Open Call participants to predict the QoE of the end users.

## 6.3.1 Machine Learning-Based QoE Models

#### 6.3.1.1 Data Preparation

We consider four influence factors, including encoding parameters, quality switching, viewing distance, and content characteristics. The first two factors are represented by the values of start and end QPs of sequences. The content characteristics can be represented by the bitrate of the encoded bitstream. As observed in our test, the objects have different bitrates even at the same quality level (same QPs). For this evaluation, each input data record comprises six features:  $start\ G$ -QP,  $start\ T$ -QP, end G-QP, end T-QP, viewing distance, and bitrate. The corresponding ratings of the participants are used as the learning targets. We received 1152 responses in total from 32 participants. After omitting outliers defined by the interquartile range method, 1107 responses are used as the input data. To receive a reliable and unbiased estimate of model performance, we use leave-one-out cross-validation. The input data is split into k groups, in which k-1 groups are used as the training dataset, and the remaining one as the testing dataset. The process of splitting the data is repeated k times so that every group is used as a testing dataset once. There are, in total, 36 test sequences; hence, we have k=36 so that the ratings for 35 test sequences are used for training, and the others are for testing.





#### 6.3.1.2 Evaluation Results

Here, we train and evaluate common machine learning model for QoE prediction in AR environments. Their performance is reported in Table 14.

TABLE 14: PERFORMANCE OF MACHINE LEARNING MODELS IN PREDICTING THE QOE OF POINT CLOUDS IN AR EN-VIRONMENTS. THE BOLD ENTRY SIGNIFIES THE BEST PERFORMANCE.

QoE Model Type	R2 Score	MSE	
Gradient Boosting Regressor	0.8582	0.2874	
Random Forest Regressor	0.8384	0.3273	
Decision Tree Classifier	0.8155	0.3738	
Decision Tree Regressor	0.7954	0.4146	
Polynomial Regression (Degree 2)	0.7650	0.4761	

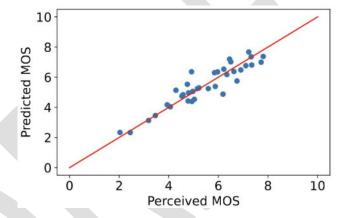


FIGURE 68: PERCEIVED MOS (FROM OUR SUBJECTIVE TEST) VERSUS PREDICTED MOS USING THE GRADIENT BOOSTING REGRESSOR. THE RED LINE REPRESENTS THE Y=X LINE.

Figure 68 shows the correlation of the perceived MOS (from our subjective test) with respect to the predicted MOS using the Gradient Boosting Regressor. The predicted MOS is highly correlated (Pearson correlation coefficient = 0.93) with the perceived MOS, which was gathered from our subjective test.

Figure 69 presents feature importance scores of input features in the Gradient Boosting Regressor model using the Python scikit-learn library. A higher score means more importance when building a predictive model. It is highlighted that the end T-QP plays the most crucial role for the Gradient Boosting Regressor in predicting the QoE, followed by viewing distance and end G-QP. Their importance scores are 0.32, 0.24, and 0.19, respectively. The content characteristics represented by the bitrate are the least relevant feature of the prediction model, with an importance score of 0.05.



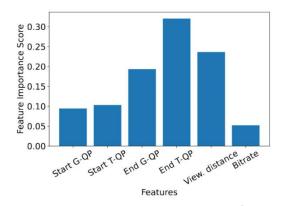


FIGURE 69: FEATURE IMPORTANCE SCORES OF INPUT FEATURES IN GRADIENT BOOSTING REGRESSOR.

## 6.3.2 Fine-tuned P.1203 Mode 0 Model

The ITU put in a great deal of effort in video quality estimation models, namely ITU-T P.1203.1<sup>15</sup>. This P.1203 model was implemented and published on GitHub<sup>16</sup>. The model's inputs include video characteristics (i.e., bitrate, framerate, codec, and frame size), streaming parameters (i.e., stall events), and viewing conditions (i.e., device type and viewing distance). Point cloud streaming also shares some parameters that can be used in the P.1203 model, such as bitrate, framerate, stall events, and viewing distance. However, as the coefficients in the original P.1203 model were determined from a training phase based on a subjective database for 2D videos, they need to be re-trained with a new subjective database for point cloud streaming.

We split this dataset into a training set and a validation set. We train the coefficients of the P.1203 model with the former set and validate its performance with the latter one. The results show that our fine-tuned P.1203 model outperforms the original model from the ITU. Our model achieves an RMSE of 0.813, compared to 0.887 of the original P.1203 model with the training set. The PLCC and SRCC of our fine-tuned model are also significantly higher than that of ITU's model (see Table 15).

TABLE 15: PERFORMANCE OF THE ORIGINAL P.1203 MODE 0 AND OUR FINE-TUNED P.1203 WITH TRAINING AND VALIDATION DATASET.

	Training			Validation		
	PLCC ↑	SRCC ↑	RMSE ↓	PLCC ↑	SRCC ↑	RMSE ↓
ITU P.1203	0.766	0.785	0.887	0.918	0.829	1.032
Fine-tuned ITU P.1203	0.919	0.953	0.813	0.958	0.828	0.955

The fine-tuned P.1203 model is published in

https://github.com/minhkstn/itu-p1203-point-clouds.



<sup>15</sup> https://www.itu.int/rec/T-REC-P.1203.1/en. Accessed: 02 November 2023.

<sup>&</sup>lt;sup>16</sup> https://github.com/itu-p1203/itu-p1203. Accessed: 16 November 2023.



This work was presented at the Mile-High Video 2024 Conference [39].

# 6.4 COMPRESSED POINT CLOUD DATASET WITH EYE TRACKING AND QUALITY ASSESSMENT IN MIXED REALITY

We used an updated version of our subjective testing platform [27] to perform another round of subjective testing, including eye-tracking tests. We compiled the point clouds used for these tests and the results from them in an open-source **Com**pressed **P**oint cloud dataset with **E**ye-tracking and **Q**uality assessment in **M**ixed **R**eality (**ComPEQ-MR**) [26]. The tested dynamic point clouds (DPCs) are made publicly available for reproducibility. The contributions of this work are as follows:

- We provide a compressed DPC database processed by state-of-the-art compression codecs: VPCC, GPCC Octree, and GPCC Trisoup [40]. This database comprises 52 sequences that can be used to run subjective tests to consider QoE impact factors such as quality levels, quality switches, and stall events.
- We conducted subjective tests to evaluate the QoE performance of the compression codecs. The rating scores are made publicly available to help train and validate QoE prediction models, as well as develop objective quality metrics.
- We provide a visual saliency dataset from 41 observers while exploring four point cloud humans in the context of telepresence in MR environments. The visual saliency is collected in a task-free scenario where observers see the raw versions of point clouds. This dataset can help to develop and compare approaches that predict where people look in DPCs.

The dataset is available at <a href="https://ftp.itec.aau.at/datasets/ComPEQ-MR/">https://ftp.itec.aau.at/datasets/ComPEQ-MR/</a>.

# 6.4.1 Data Acquisition and Preparing Point Cloud Sequences

We utilised the point clouds from the latest voxelised 10-bit UVG-VPC point cloud dataset [41]. This dataset comprises 12 sequences of human objects with various content characteristics and number of points. These dynamic point clouds are captured by 96 cameras at a frame rate of 25 fps and are each 10 s long.

WE USED FOUR POINT CLOUDS FROM THE 12 SEQUENCES PROVIDED: BLUESPIN, CASUALSQUAT, FLOWERDANCE, AND READYFORWINTER. THESE SEQUENCES WERE CHOSEN DUE TO THEIR SPATIAL INFORMATION (SI), TEMPORAL INFORMATION (TI), AND COLOURFULNESS (CF) VALUES, AS DESCRIBED IN





Table 16.





## TABLE 16: UVG-VPC SEQUENCES USED IN THIS OPEN DATASET.

Name	Description	Snapshot
BlueSpin	A person wearing a blue t-shirt and spinning at a consistent rate. SI: 20.8 TI: 8.0 CF: 8.6	
CasualSquat	A person wearing a striped shirt and jeans in the performance of a squat exercise.  SI: 53.5 TI: 19.0 CF: 11.5	
FlowerDance	A person in a log, flowing dress spinning and twirling. SI: 43.9 TI: 22.3 CF: 25.3	
ReadyForWinter	A person donning a beanie and a scarf. SI: 20.6 TI: 11.5 CF: 7.8	



The PC sequences were prepared using GPCC and VPCC. We used MPEG's reference software tools to encode the objects, including the test model category (TMC) 2 version v22.1<sup>17</sup> for VPCC and TMC13 version v23.0<sup>18</sup> for GPCC. The quality levels used to encode the sequences are based on the Common Test Conditions for each tool [29] [42] [42] [43], which are described in Table 17.

TABLE 17: ENCODER PARAMETERS TO GENERATE COMPRESSED DYNAMIC POINT CLOUDS

Compression		Quality Levels				
		r01	r02	r03	r04	r05
VPCC	Geometry QP	36	32	28	20	16
VPCC	Texture QP	47	42	37	27	22
GPCC-Oct-Pred	QP	-	-	40	34	28
	Depth	-	-	0.5	0.75	0.875
GPCC-Tri-RAHT	QP	40	34	28	22	-
	Depth	5	4	3	2	-

# 6.4.2 Subjective Tests

We updated our subjective testing platform [27] to perform two new tasks:

- Task 1 Eye-tracking data acquisition: The eye-tracking data is collected while the participants watch the raw versions of the tested PCs.
- Task 2 QoE scores acquisition: The scores are collected while participants watch various quality levels of the tested PCs.

The updated platform architecture is shown in Figure 70. The components highlighted in green are added in this version compared to the original software.

The participants are asked to start watching every sequence for both tasks from the same position in the real world. Then, they can freely move around the room. The area for the participants is a  $4m \times 4m$  square; thus, the participant has enough space to move freely while watching the objects. We place PCs 2m away from the participants at the beginning of each sequence to emulate the trigger distance to start a conversation between two people [44], then the participants can move freely in the room.



<sup>&</sup>lt;sup>17</sup> https://mpeg.expert/software/MPEG/3dgh/VPCC/software/mpeGPCC-tmc2

<sup>&</sup>lt;sup>18</sup> https://mpegx.int-evry.fr/software/MPEG/PCC/TM/mpeGPCC-tmc13



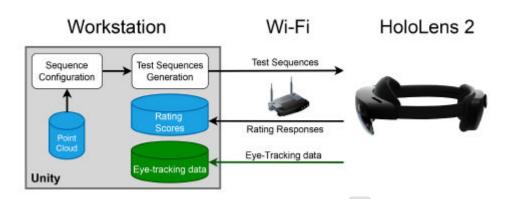


FIGURE 70: PLATFORM ARCHITECTURE TO CONDUCT SUBJECTIVE TESTING PARTICIPANTS

A total of 41 participants, who were recruited from Alpen-Adria-Universität Klagenfurt, participated in the subjective test, including 19 (46%) females and 22 (54%) males. 18 (45%) were in the age group of 18 to 24 years, 14 (35%) were between 25 and 34, 7 (17.5%) between 35 and 44, and 1 (2.5%) between 55 and 64.

We also asked the participants about their experience with AR HMDs. This is shown in Figure 71. People who have never used AR HMDs are the most dominant group with 41% of the total participants, followed by those who have experienced AR fewer than five times.

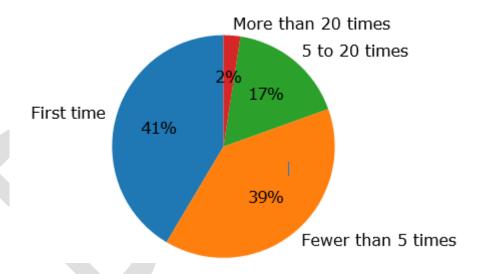


FIGURE 71: FREQUENCY OF PARTICIPANTS USING AR HMDS

# 6.4.2.1 Task 1: Eye-Tracking Data Acquisition

Before this task begins, the in-built eye calibration of the HoloLens 2<sup>19</sup> is performed for each participant, since the eye-tracking services of the HoloLens do not function without calibration. The actual Task 1 consists of two subtasks: (i) error measurement and (ii) watching the PC videos.

<sup>19</sup> https://learn.microsoft.com/en-us/windows/mixed-reality/design/eye-tracking





Since the state-of-the-art tool GazeMetrics<sup>20</sup> is not available for HoloLens 2, we implemented our own system to show the calibration targets and store the user gaze data. Nine 5 cm large spherical targets arranged in a rectangular format are shown 2 m in front of the participant. Each target is visible for 3 s, and the participants are asked to look at them while staying stationary. The participant's gaze origin and gaze direction are stored 60 times per second to match the HoloLens' framerate<sup>21</sup>.

The second subtask consists of the participant watching 20 s long uncompressed (voxelised 10-bit format) PC sequences. The participants are allowed to move freely in the space of the test room (6DoF) but are required to return to the starting point before starting the next sequence. The participant's gaze origin and gaze direction are stored once per PC frame. The order of the PCs is randomized among the participants to avoid bias.

The subtasks are alternated until the participant has watched all four sequences. The duration of this task is around 5 min.

### 6.4.2.2 Task 2: QoE Scores Acquisition

We follow the subjective methodology Absolute Category Rating (ACR) based on ITU-T Recommendations P.919 [45]. ACR is a single-stimulus methodology where the observer sees one video at a time before spending some time to rate that video. We do not use the double-stimulus method, where the observer is presented with two stimuli, because these stimuli may not be viewed from the same viewpoint under MR conditions. As we follow the ACR method, a five-level rating scale is used as follows:

- 5: excellent:
- 4: good;
- 3: fair;
- 2: poor;
- 1: bad.

Each participant watched 52 DPCs (48 compressed and four raw sequences), each of which is 20 s long (i.e., two loops). Similar to Task 1, the participant can move freely in the test room, and the sequences are randomized. The total duration of this task is around 30 min.

### 6.4.3 Test Results and Dataset

### 6.4.3.1 Eye Tracking

The detailed information about how the eye tracking data is processed can be found in the paper [26]. In short, we obtain the accuracy of the eye tracking data per marker and use a threshold of angle and time to discard unintentional gazes and identify fixations. The fixation gazes are then mapped on to the point cloud object, and noisy data is filtered out. This process is performed for each user and overall fixation maps are generated by summing up the fixations for all users. These fixation maps are represented as heatmaps, as can be seen in Figure 72 and Figure 73.

<sup>21</sup> https://learn.microsoft.com/en-us/windows/mixed-reality/mrtk-unity/mrtk2/ performance/perf-getting-started?view=mrtkunity-2022-05



<sup>&</sup>lt;sup>20</sup> https://github.com/isayasMatter/GazeMetrics



From the fixation maps, we can infer that the users fixate mostly on the faces of the objects and the parts with high degrees of motion. We recommend going through all the heatmap images present in the dataset to get a better idea of users' viewing preferences, since we can only show a few select images here.

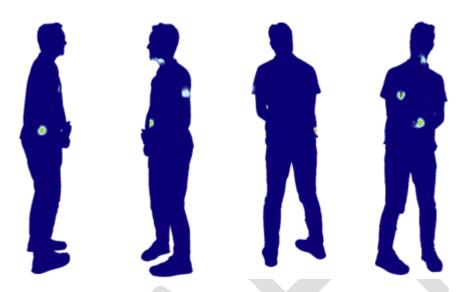


FIGURE 72: FIXATION HEATMAP OF A BLUESPIN FRAME IN FOUR VIEWS: BACK, FRONT, RIGHT, AND LEFT.

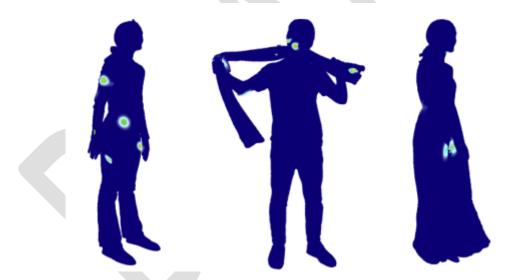


FIGURE 73: FIXATION HEATMAPS OF THE FRONT VIEW OF CASUALSQUAT, READYFORWINTER, AND FLOW-ERDANCE FRAMES.

# 6.4.3.2 Subjective Tests

We show the raw opinion scores of the participants for all tested DPCs in Figure 74. Some video sequences receive consistent scores from all participants. For example, the 18th and 41st videos (i.e., BlueSpin and CasualSquat encoded by GPCC-Tri-RAHT at quality r01, respectively) have mostly all low scores (i.e., scores 1 or 2), while the 5th video gets high scores (i.e., scores 4 and 5) from most of the participants. Regarding the participants, there are some participants who are not satisfied with the quality of the video sequences (e.g., participants 2 and 30), while some others are opposite (e.g., participant 17).





We also calculated the Mean Opinion Score (MOS) and 95% Confidence Intervals (CI) and found no outliers in the results. The full data on MOS and CI can be found in the dataset.

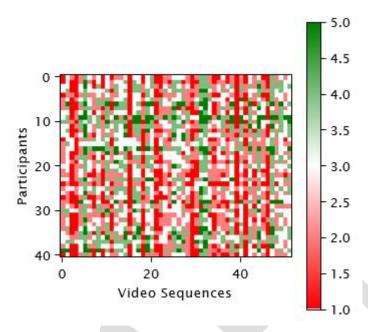


FIGURE 74 RAW OPINION SCORES

We also computed the QoE performance of the compression algorithms used in the dataset. We use the number of bits per point (bpp) for the rate. It can be clearly seen that VPCC achieves the best visual quality. However, GPCC-Oct-Pred provides worse MOS than GPCC-Tri-RAHT. These results be seen in Figure 75. Each point in the figure represents the MOS score and the error bar is the 95% CI.



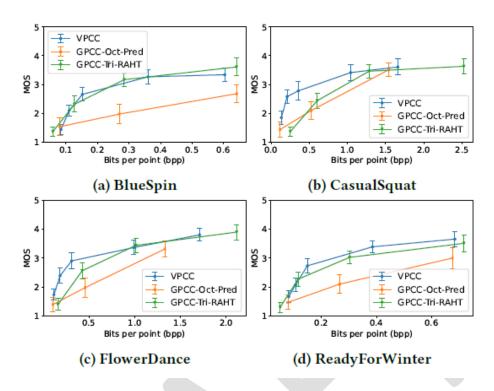


FIGURE 75: BITS PER POINT VS. MOS FOR EACH VIDEO

#### 6.4.3.3 Dataset

The dataset, available at <a href="https://ftp.itec.aau.at/datasets/ComPEQ-MR/">https://ftp.itec.aau.at/datasets/ComPEQ-MR/</a>, comprises three top-level folders:

- Compressed-point-cloud: This folder comprises the compressed DPCs. It includes
  two sub-folders, namely VPCC, and GPCC, each with DPCs processed by corresponding MPEG tools. The GPCC folder is sequentially constituted by octree-predlift and
  trisoup-raht folders.
- **Eye-tracking:** This folder also comprises two sub-folders: *heatmap-images* and *heatmap-weights*. The former contains the heatmap images for every frame and 25 fps videos generated by concatenating these images. The latter contains text files with a fixation weight for every point of the PC frames.
- Rating-score: This folder contains a Comma Separated Value (CSV) file that stores all rating scores of participants for every DPC, and another CSV file storing MOS and the 95% CI.

### 6.4.4 Conclusions

We prepared an open dataset of dynamic PC videos with compressed PCs, rating scores, and eye-tracking-data for an MR experience. The compressed PCs include 12 quality levels for four dynamic PCs processed by three different compression algorithms (i.e. VPCC, GPCC-Oct-Pred, GPCC-Tri-RAHT). We also collected rating scores and eye-tracking data from 41 participants covering various age groups and experience in using AR HMDs. Our dataset can be utilised for a wide range of purposes, such as testing XR streaming systems and algorithms, training and validating QoE models, and developing and comparing approaches that predict visual attention.





# 6.5 QOE EVALUATIONS FOR PROJECT-DESIGNATED USE CASES

To apply the QoE study and modelling results to the SPIRIT use cases, the following procedure was devised and performed. Initially, the use case owners captured representative regular 2D videos from their use cases (as presented to users and captured from their screens). Subsequently, UNI-KLU estimated the QoE based on these videos, using an objective 2D video metric/model. We used the ITU-T P.1203 model [24] to determine the objective QoE scores of the captured 2D use case videos, estimating what a user would experience. Both the regular and the fine-tuned P.1203 models were deployed. The limitations of this approach are discussed in the next sub-section.

The P.1203 model can calculate the overall QoE score of a video infour modes:

- Mode 0 considers only the metadata of the video such as bitrate, framerate, and resolution
- Mode 1 considers some frame information along with all the data from mode 0.
- Mode 2 considers 2% of the bitstream along with the data from mode 1.
- Mode 3 considers the whole media stream information along with data from mode 1.

The model scores the videos up to 5 points, with a higher score indicating better QoE.

TABLE 18: OVERALL QOE RESULTS FROM THE REGULAR P.1203 MODEL

Use Case	Video	ITU-T P.1203 Overall QoE Score		
		Mode 0	Mode 1	Modes 2 and 3
Multi-Source	MultiSource1	4.15	3.03	3.84
Robot	Robot1	4.82	4.74	4.48
Robot	Robot2	4.82	4.72	4.47
Robot	Robot3	4.82	4.72	4.57
Avatar	Avatar1	4.81	4.57	4.78
Avatar	Avatar2	4.57	3.87	4.31
Avatar	Avatar3	4.39	3.94	4.46
Avatar	Avatar4	4.38	4.01	4.47

TABLE 19: OVERALL QOE RESULTS FROM OUR FINE-TUNED P.1203 MODEL

Use Case	Video	Fine-Tuned ITU-T P.1203 Overall QoE Score		
		Mode 0	Mode 1	Modes 2 and 3
Multi-Source	MultiSource1	2.32	1.87	1.87
Robot	Robot1	3.18	2.15	1.94
Robot	Robot2	3.18	2.12	1.94
Robot	Robot3	3.18	2.11	1.96
Avatar	Avatar1	3.12	1.63	1.71
Avatar	Avatar2	3.07	1.54	2.32
Avatar	Avatar3	3.67	2.21	2.15
Avatar	Avatar4	3.08	1.48	2.33

Table 18 and Table 19 contain the overall QoE scores of the videos recorded by the use case partners from the regular and fine-tuned P.1203 model, respectively. These videos estimate





what a user of the use case would visually experience. The videos from the Multi-Source, Robot, and Avatar use cases are of 480p, 720p, and 1440p resolutions, respectively.

Notably, the Robot use case providers did not have a way to encode the recorded video directly to H.264/AVC, and the P.1203 tool does not support any codec other than H.264/AVC. Thus, the videos from the Robot use case were transcoded from VP9 to H.264/AVC using the following command:

ffmpeg -i inVideo.webm -c:v libx264 -c:a flac outVideo.mp4

The choice to not use a custom CRF value<sup>22</sup> was made to not influence the quality of the transcoded video beyond any default H.264/AVC parameters.

### **6.5.1 Interpreting Results**

A few conclusions can be made from observing the results of the models. The regular version of the model gives good to very good results for all the videos. For mode 0, this is due to the fact that the videos are inherently of good (or rather, "good enough") quality based on just their metadata. The lower result of the Multi-Source use case can still be noted here, due to it only being a 480p video.

Upon considering more video data in mode 1, the results for some of the videos become worse. This is expected behaviour, as upon viewing the videos, it is clear that these videos are not deserving of a near-perfect score as mode 0 gave.

Mode 3 is even harsher, as the bitstream data provides more insight into the picture quality of the video and the results from mode 3 show this plainly.

For the fine-tuned model, the results are worse in general compared to the regular model. This can be explained due to the fine-tuned model being "harsher" with the videos based on the quality of the point clouds present in them. The lower results for the Multi-Source use case are immediately apparent here as the metadata of the video suggests a lower quality PC content.

Similarly, the videos from the Avatar use case are also given lower scores, but not too low, as the avatar present in the video is of good quality.

Notably, only the results from mode 0 of the fine-tuned model should be worth considering here, since we only fine-tuned the model for the said mode. In this process, some, but not all, weights for modes 1, 2, and 3 were also modified. Thus, the results for those modes are also different from the regular model, but in the same vein, are not fully accurate. They have been included here for the sake of completion.

Additionally, the videos from the Robot use case are "simply" 2D videos without any point cloud data. These videos are evaluated more accurately with the regular version of the model.

Similarly, the videos from the Avatar use case do not contain a point cloud, but rather a mesh avatar. The fine-tuned model should be more accurate here, since the avatar is visually not dissimilar to a (good) point cloud of a person, at least when presented in a head-mounted display.

<sup>&</sup>lt;sup>22</sup> https://trac.ffmpeg.org/wiki/Encode/H.264







### 6.5.2 Limitations of the P.1203 Model

There are a few limitations of the P.1203 model that should be noted here. First, and perhaps the biggest one, is that the P.1203 model is built for 2D videos only. Thus, it does not understand what a point cloud is and, in its default state, will fail to take the quality of the point cloud into account when determining the QoE score. We get around this limitation by using the results of our subjective tests to fine-tune the mode 0 of the model, making it more accurately assess 2D videos with point cloud data, as described in the previous sub-section.

Moreover, the P.1203 model cannot take into account the user's interactions (changing view-point and viewport as well as moving and acting) and feeling of immersion into the environment; the model purely relies on immutable 2D visual information. It must be emphasised that the model's scores are therefore *QoE estimates* only. Getting fully sound QoE scores for the use cases would require well-designed, tedious, and time-consuming subjective QoE studies as reported in the previous sections. This was regarded as infeasible by the SPIRIT partners.

The final limitation of this model is that mode 2 is currently implemented to be the same as mode 3, as the tool developer could not come up with a feasible way to only utilise 2% of the video's bitstream for mode 2 (which 2% to use?). This issue has been discussed briefly in a GitHub issues post<sup>23</sup>. However, we believe this deficiency is tolerable since mode 3 encapsulates mode 2 already by utilising the whole of the video's bitstream.

#### 6.5.3 Future Work

UNI-KLU are working on fine-tuning another P.1203 model based on the results of the second round of subjective testing conducted by us.

<sup>23</sup> https://github.com/itu-p1203/itu-p1203/issues/39







# 7 CONCLUSIONS

As we anticipate forthcoming technical refinements and iterations, this conclusion serves as a checkpoint rather than the final destination. The document is the base for the next iteration of enhancements and updates, emphasizing the dynamic nature of the project.

The initial work consisted of integrating the partner components into a common testbed environment to enable third parties to use the various partner components and the testbeds for their use cases.

In the second phase, the two testbeds were connected so that the use cases and application frameworks can be deployed and validated across the testbeds. This interconnection was already successfully tested with the use case Avatar: "Real-Time Animation and Streaming of Realistic Avatars". Several additional components were identified, developed and presented in D3.1 [6] which are now being integrated into the platform testbeds. The innovations described in D3.2 [3] are ready for their integration into the final version of the SPIRIT platform, but we may need to prioritise the integration of those components that have the greatest impact on the evolution of the platform.

While the project team was working on the second iteration of the SPIRIT platform, the first Open Call was successfully launched inviting third parties to realise their telepresence use cases experimentally. The large number of applicants shows that the Scalable Platform for Innovation is of great interest to the Real-time Immersive Telepresence community. The results of these experiments will be used by the project to improve the existing partner components during the remainder of the project. In an ideal scenario, components from the OC results could even complement the platform if they are made available to the project.

There will be a final iteration of the SPIRIT platform, the results of which will be summarised in the report Deliverable D4.3 at the end of the project. Further enhancements and improvements, and their tests and validations will be documented in the D4.3 report in Q4/2025.





# 8 REFERENCES

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# **APPENDIX A: SECURITY**

# A.1 ESTABLISHING THE VPN CONNECTION WITH THE LAB INFRA-STRUCTURE

The lab infrastructure is protected by a Cisco ASA Firewall and VPN endpoint. This requires the use of the Cisco AnyConnect protocol to establish a VPN connection.

If you want to connect using the open source openconnect software issue the following command:

openconnect cloud-security-lab.de --servercert "pin-sha256:EpPc6Du8rBMM3B3KRe3QTlSk+rQ9zbL4KV1/zFAVnHE=" -u cloudtest --authgroup cloud vpn

Note on openconnect: We have found that the latest openconnect 9 software has a bug when connecting to our ASA with latest software version. Please use openconnect 8.20 to work around that problem.

Note 2: We are using a Let's encrypt certificate for our Cisco ASA VPN system. The "servercert" option of the openconnect command contains the fingerprint of this certificate and must be updated regularly – the given value is only an example.

Request a username (instead of the sample "cloudtest") and password from the lab admin.

Alternatively, if you want to use the graphical Cisco Secure Client software (available for Linux, MacOS, and Windows) open the ASA home page in web browser with the URL

https://cloud-security-lab.de

Since the certificate is self-signed, you must accept the untrusted web site.





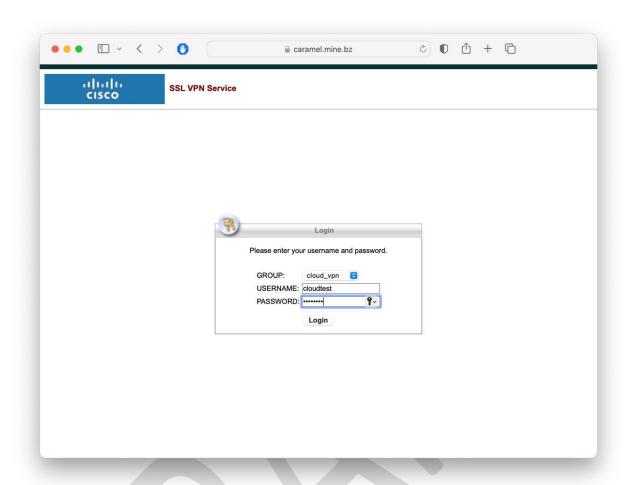


FIGURE 76: CISCO ASA LOGIN SCREEN

Figure 76 shows the Cisco ASA login screen: Login using your credentials as communicated by us.





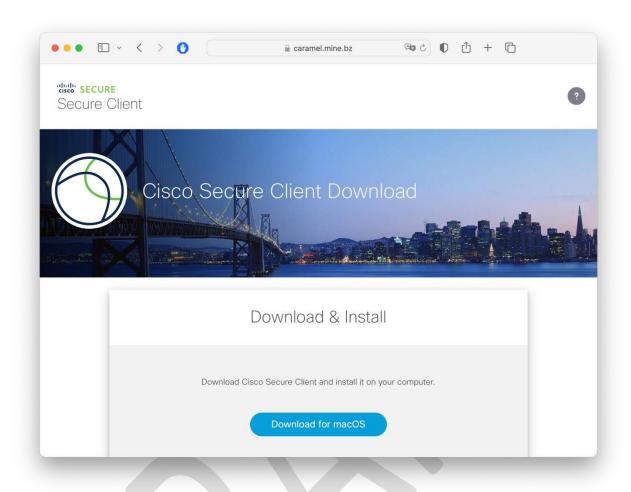


FIGURE 77: CISCO SECURE CLIENT DOWNLOAD PAGE

After login, you are brought to the Cisco Secure Client download screen (see Figure 77). Download the Secure Client for your operating system and install. Using the installed Cisco Client, you can establish the VPN connection (Figure 78).



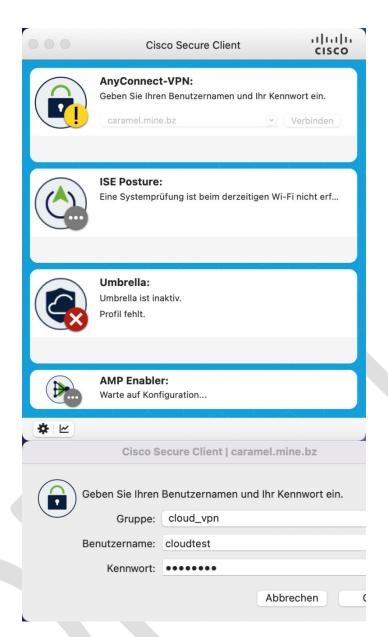


FIGURE 78: VPN CONNECTION WITH CISCO SECURE CLIENT



Notes on the Cisco AnyConnect VPN service in our lab environment:

- The VPN is required for download and upload to our NAS system.
- The VPN is also required for remote management of guest VMs. For this use case it is probably easiest to use the openconnect command line tool for automatic VPN setup.
- You will receive a dynamic IP address in the 10.0.12.0/24 subnet.
- All other traffic is routed normally to the Internet.
- The Cisco AnyConnect protocol also works behind firewalls through web proxies.
- UserIDs and passwords for VPN, NAS, and remote management (generally all the same if not otherwise requested) must be requested from a lab admin.
- We are able to open ports on our outside firewall interface (both IPv4 and IPv6) for application-specific purposes.

# A.2 ACCESS NAS DEVICE FOR SOFTWARE DOWNLOAD AND VM UPLOAD

The NAS (network attached storage) device is accessible over the VPN tunnel under the URL:

https://10.0.12.20:444/cgi-bin/

Login with your lab credentials (user ID and password).





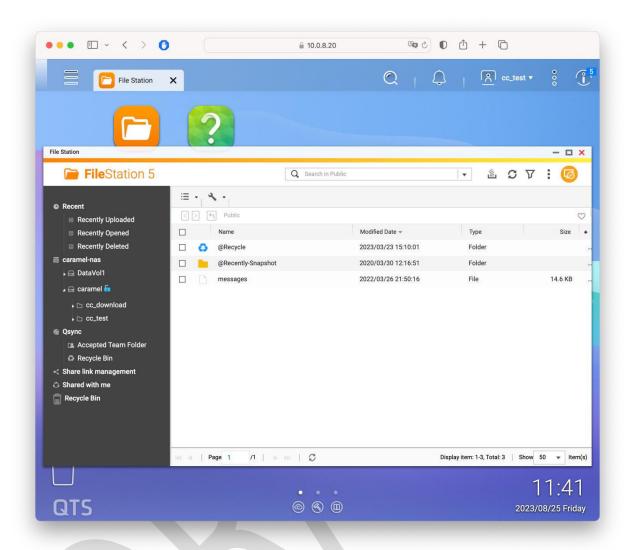


FIGURE 79: NAS GUI WITH FILESTATION APPLICATION

Figure 79 shows the NAS GUI in the web browser after clicking on the "FileStation" icon. Logged in with the sample user "cc\_test", the following two folders are of interest:

- cc\_test (will be different for your user): This folder is writable. Upload your completed and encrypted guest VM images here.
- cc\_download: This folder contains the tool downloads:
  - Readme.md: (optional) Markdown file with latest information on using the tool set that may not be in the latest version of this document.
  - remote-management.tar: TAR file of the exported Docker image
  - o remote-management-workdir.tar: Working directory to be used in conjunction with the Docker image
  - cc-setup-vm.ova: OVF/OVA file with the tool VM for import into your hypervisor.
  - cc-setup-workdir.tar.gz: TAR file with the workdir directory structure for setup tool VM.





# A.3 USING THE SUPPLIED VM OVA FILE

Partners will be given the Guest VM Setup tool VM's image in OVA (cc-setup-vm.ova) format to import in their VMM (Virtual Machine Manager) of choice. The following steps show how to import the OVA file into the Virtual VMM on Linux.

Note that these steps have been successful on our test system. The specific steps might vary depending on your host operating system or hypervisor software. Please contact our support team if there are any difficulties installing or accessing the tool VM.

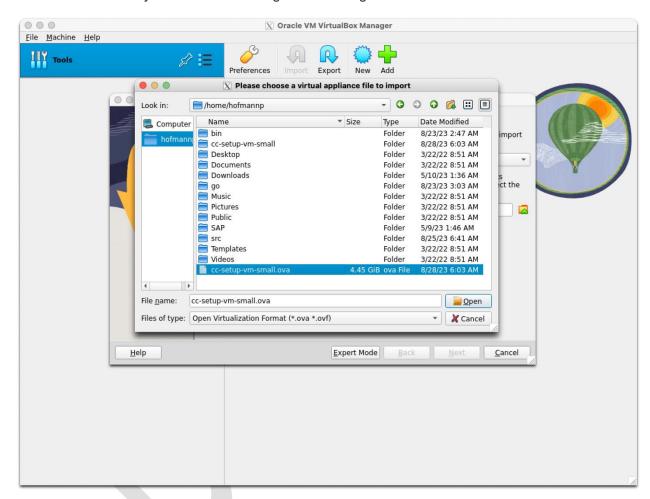


FIGURE 80: IMPORT OF OVA FILE

Figure 80 shows how to select and import the cc-setup-vm.ova file into VirtualBox.



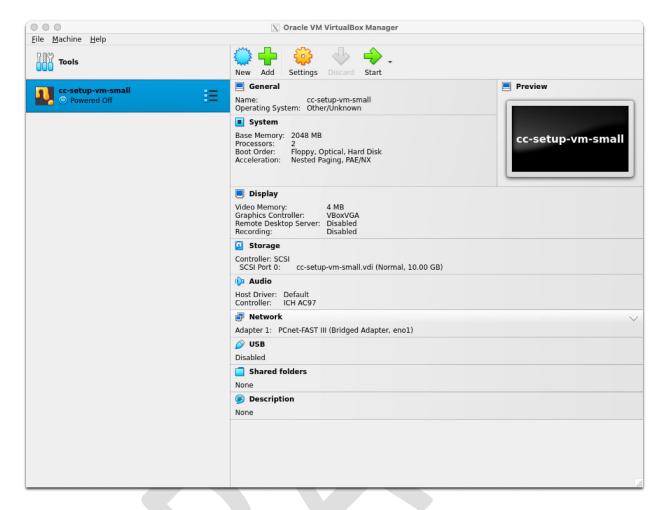


FIGURE 81: RESULT OF OVA IMPORT

Figure 81 shows the resulting screen in VirtualBox after the import.

Then "Tools" on the left and create a NAT network for VirtualBox (see Figure 82).



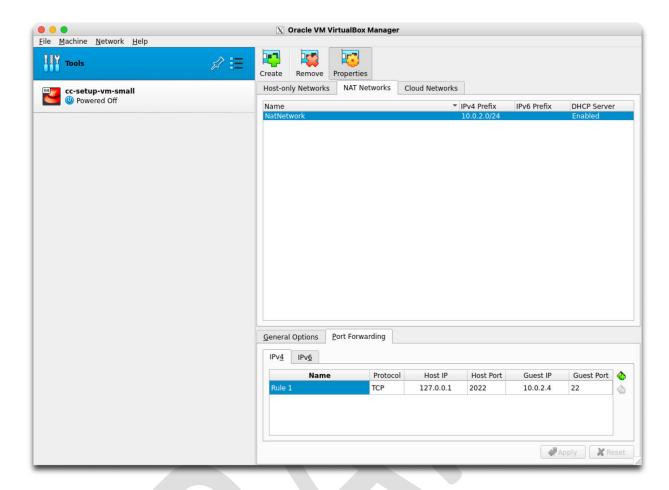


FIGURE 82: NAT NETWORK IN VIRTUALBOX

The NAT network should have the following parameters:

- Prefix 10.0.2.0/24
- DHCP enabled
- A port forwarding from host (127.0.0.1) port 2022 to port 22 on the guest system (10.0.2.4, IP address might vary in your setup look into the VM once booted).

Now select the VM "cc-setup-vm-small" on the left and press "Settings".





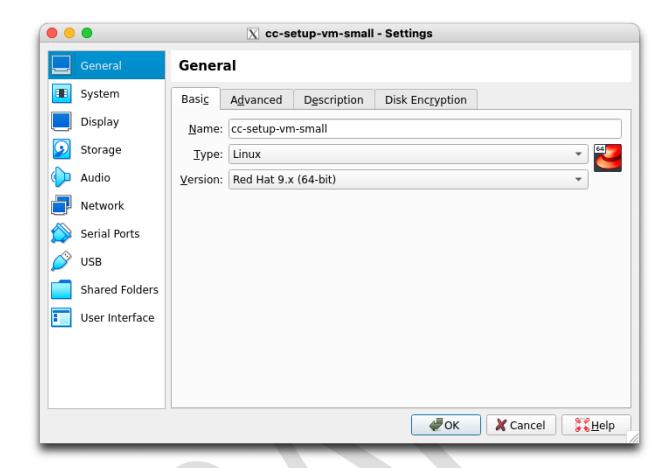


FIGURE 83: CHANGE VM OS AND VERSION

Figure 83 shows how to change the OS to "Linux" and the OS version to "Red Hat 9.x (64-bit)". This is necessary because our tool VM is a 64-bit Centos Linux system.

Now go to the Storage tab to create a large disk for the workdir.



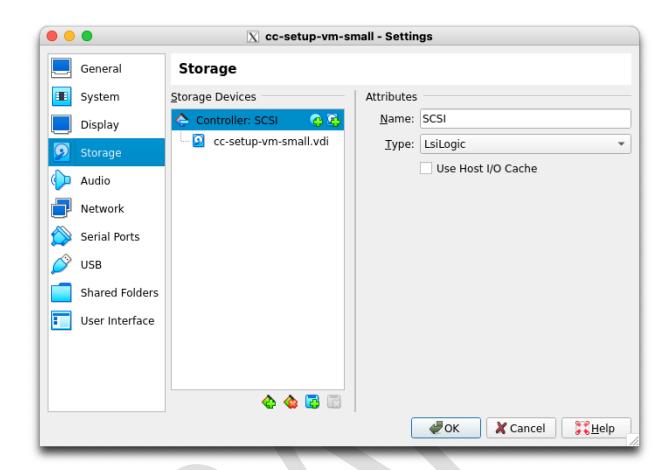


FIGURE 84: STORAGE TAB IN VM SETTINGS





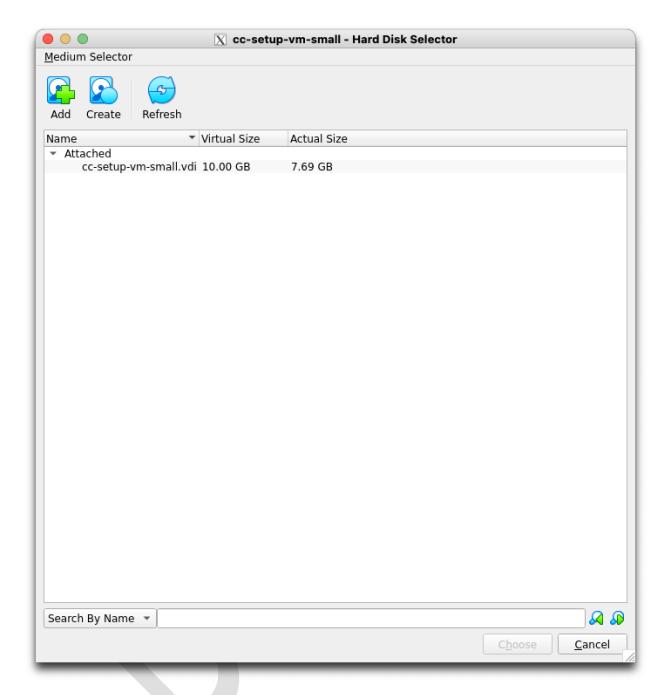


FIGURE 85: HARD DISK SELECTION IN VIRTUALBOX

Figure 85 shows the hard disk selection dialog after pressing "Add disk". Here, press "Create" to create a new disk that is later used as the working directory for creating new guest images.





FIGURE 86: VIRTUAL DISK FILE TYPE DIALOG





FIGURE 87: VIRTUAL DISK ALLOCATION DIALOG



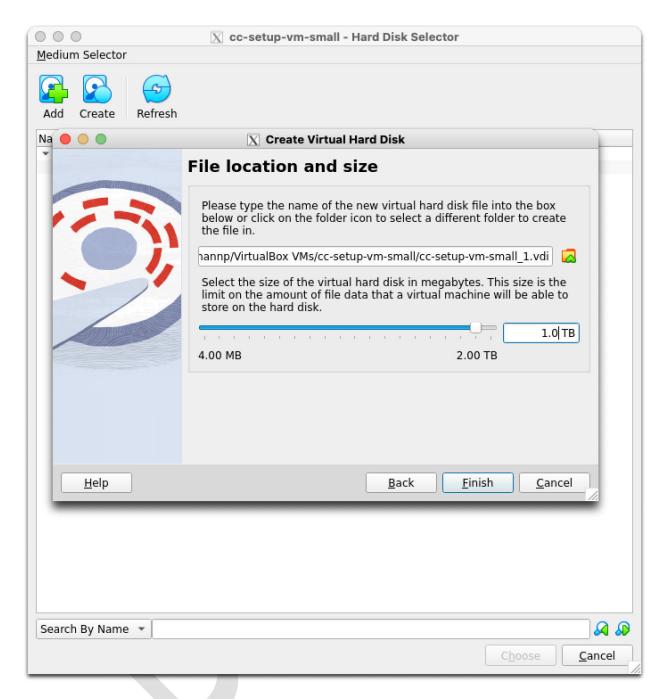


FIGURE 88: VIRTUAL DISK SIZE DIALOG



Figure 86, Figure 87, and Figure 88 show the different steps for the new virtual disk creation:

- Use the type "VDI"
- Do not pre-allocate all storage (saves disk space by using sparse files)
- Define a size large enough to hold the templates as well as any number of guest images you might want to create (in this case 1 TB).

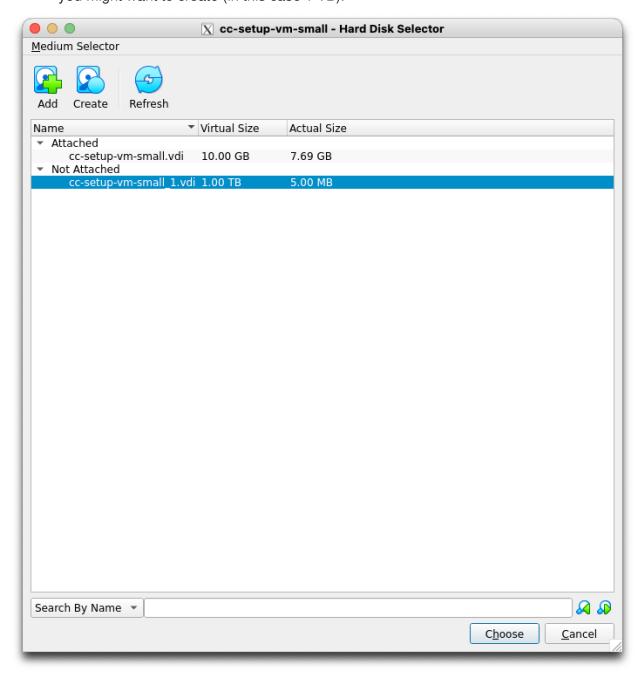


FIGURE 89: RESULT OF VIRTUAL DISK CREATION

Figure 89 shows the result of the disk creation: A new 1TB disk has been created, that only uses 5 MB of disk space at the moment.





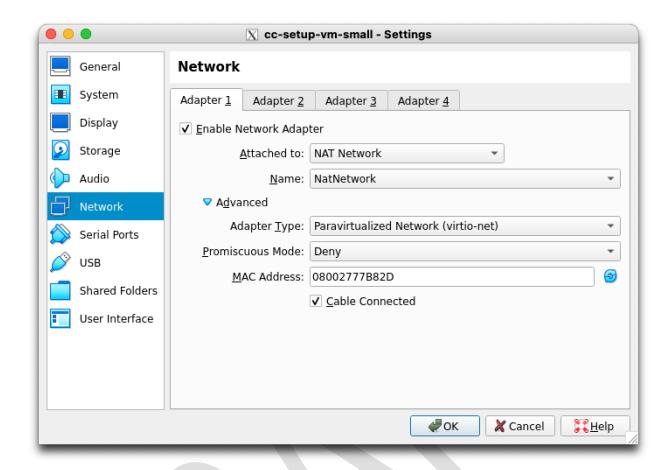


FIGURE 90: VIRTUALBOX NETWORK CONFIGURATION

Figure 90 show the final configuration of the network interface in the VirtualBox appliance:

- Select the NAT network "NatNetwork" define previously.
- Choose adapter type "virtio-net"
- Select "Cable Connected"

Now startup the virtual machine. Because we have created a port forwarding, you can log into the virtual machine like this:

```
ssh -p2022 -Y user@127.0.0.1 su -
```

Note that the password for user and root is "udel1718".

As root, check that the /dev/sdb is the new 1TB virtual disk:

```
$ fdisk -1 /dev/sdb
```

# Disk /dev/sdb: 1 TiB, 1099511627776 bytes, 2147483648 sectors

```
Disk model: VBOX HARDDISK
Units: sectors of 1 * 512 = 512 bytes
Sector size (logical/physical): 512 bytes / 512 bytes
```





# I/O size (minimum/optimal): 512 bytes / 512 bytes Then, create a file system on this disk:

# \$ fdisk /dev/sdb Welcome to fdisk (util-linux 2.37.4). Changes will remain in memory only, until you decide to write them. Be careful before using the write command. Device does not contain a recognized partition table. Created a new DOS disklabel with disk identifier 0xe9d0e0fa. Command (m for help): n Partition type p primary (0 primary, 0 extended, 4 free) extended (container for logical partitions) Select (default p): p Partition number (1-4, default 1): First sector (2048-2147483647, default 2048): Last sector, +/-sectors or +/-size{K,M,G,T,P} (2048-2147483647, default 2147483647): Created a new partition 1 of type 'Linux' and of size 1024 GiB. Command (m for help): w The partition table has been altered. Calling ioctl() to re-read partition table. Syncing disks. \$ mkfs.xfs /dev/sdb1

meta-data=/dev/sdb1		isize=512	agcount=4, agsize=67108800 blks
	=	sectsz=512	attr=2, projid32bit=1
	=	crc=1	<pre>finobt=1, sparse=1, rmapbt=0</pre>
	=	reflink=1	bigtime=1 inobtcount=1 nrext64=0
data	=	bsize=4096	blocks=268435200, imaxpct=25
	=	sunit=0	swidth=0 blks
naming	=version 2	bsize=4096	ascii-ci=0, ftype=1
log	=internal log	bsize=4096	blocks=131071, version=2
	=	sectsz=512	<pre>sunit=0 blks, lazy-count=1</pre>
realtime =none		extsz=4096	blocks=0, rtextents=0

\$ mkdir /data





# Add the new filesystem to /etc/fstab:

```
$ vi /etc/fstab
#
# /etc/fstab
# Created by anaconda on Mon Aug 28 09:24:49 2023
#
# Accessible filesystems, by reference, are maintained under '/dev/disk/'.
# See man pages fstab(5), findfs(8), mount(8) and/or blkid(8) for more info.
#
# After editing this file, run 'systemctl daemon-reload' to update systemd
# units generated from this file.
#
/dev/sda1 / xfs defaults 0 0
/dev/sdb1 /data xfs defaults 0 0

Mount the disk:
$ /bin/mount -a
mount: (hint) your fstab has been modified, but systemd still uses
the old version; use 'systemctl daemon-reload' to reload.
```

# Unpack the working dir tar (obtained from the NAS):

```
$ cd /data
$ tar xvf cc-setup-workdir.tar.gz
```

\$ systemctl daemon-reload

### And link the the new working dir to /home/user/workdir:

```
$ cd /home/user/
$ rm -rf workdir
$ ln -s /data/cc-setup-workdir workdir
```

The system is now ready to create guest images as described in main part of this document.

Note: Alternatively, guest owner can request a KVM-compatible QCOW2 image from us that can directly be used with the Linux KVM hypervisor. This file has already 1 TB of free space on the home partition.

### A.4 END-TO-END EXAMPLE





In this section we describe a complete end-to-end procedure for obtaining the necessary tools, preparing a Centos 9-based guest VM, and finally deploying it on our lab system. This section is meant to be a step-for-step guide for project partners for preparing their own workloads as protected images to run on our platform.

### Obtaining and Installing the Software

Download the following files from the NAS device in our lab (from the cc\_download shared folder, refer to the appendix):

- remote-management.tar: TAR file of the exported Docker image
- remote-management-workdir.tar: Working directory to be used in conjunction with the Docker image
- cc-setup-vm.ova: OVF/OVA file with the tool VM for import into your hypervisor.
- cc-setup-workdir.tar: Tool VM workdir with the prepared Centos 9 and Debian 11 templates (rename/move the included directory to /home/user/workdir).

Import the Docker image into your local repository and unpack the workdir for the Docker image:

```
docker load --input remote-management.tar
tar xvf remote-management-workdir.tar
```

The import of the OVF/OVA file cc-setup-vm.ova is described in the appendix.

### **Preparing the Guest VM**

The guest VM must be prepared in the tool VM provided by us. Start the guest VM in your hypervisor (eg. VirtualBox) and login as "root" with password "udel1718". Alternatively, you can use the user "user" with password "udel1718", but the commands must be run as root because they require special privileges.

Prepare your guest VM from the Centos 9 template with this command (please choose your own recovery password):

```
prepare --centos centos-test Luci-0815-deli
```

### The prepare script outputs the following:

```
Verifications...

Verifications OK

Password management...

33+0 records in

33+0 records out

33 bytes copied, 0.00022163 s, 149 kB/s

Password management OK

Partition is /dev/nbd9

Connected qemu-nbd device

Encrypting partition p2...

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```





Added user password

Added high-entropy password

User password and high entropy password correctly added, deleting standard password... AMD files...

PDH EP384 D256 60f50e13747224658c3d84bebc21a2e888525649185f62ea19d314d7bf7eb72b

- PEK EP384 E256 0a8e2bfd971103857c117e2b3b37bf8865a75441d945cbd9e46d7db764c5ef20

OCA EP384 E256 aed15dc56800252cc82114964cac98f47f42c068f19feaa189d14a2321800b06

CEK E256 537e69f2709c213aa69be03ae8be5307cf7963708de56a7a8658aed29117ab07

R4096 R384 ASK 95cba79ba3c77daea79f741bade8156a50b1c59f6d6fda104d16dd264729f5ee8989522f3711fc7c847 19921ceb31bc0

ARK R4096 R384 569da618dfe64015c343db6d975e77b72fdeacd16edd02d9d09b889b8f0f1d91ffa5dfbd86f7ac574a1 a7883b7a1e737

• = self signed, ← = signs, •/ = invalid self sign, ←/ = invalid signs

AMD files OK

Disabling Debian LVM...

umount: /dev/mapper/debian--vg-root: no mount point specified.

Unmounting partitions...

umount: /dev/mapper/encrypted-image: no mount point specified.

umount: /dev/mapper/encrypted-boot: no mount point specified.

Device encrypted-image is not active.

Device encrypted-boot is not active.

Disconnecting nbd devices...

/dev/nbd0 disconnected

/dev/nbd1 disconnected

/dev/nbd10 disconnected

/dev/nbd11 disconnected

/dev/nbd12 disconnected

/dev/nbd13 disconnected

/dev/nbd14 disconnected

/dev/nbd15 disconnected

/dev/nbd2 disconnected

/dev/nbd3 disconnected

/dev/nbd4 disconnected

/dev/nbd5 disconnected

/dev/nbd6 disconnected

/dev/nbd7 disconnected /dev/nbd8 disconnected

/dev/nbd9 disconnected





```
qemu-nbd: Cannot open /dev/nbd9p1: No such file or directory
qemu-nbd: Cannot open /dev/nbd9p2: No such file or directory
Prepare : DONE
```

Please check the output for any errors or problems and contact our support team if there are any issues. Sometimes the prepare process only terminates successfully after trying a second time.

As a result of this process, the transfer directory contains a file centos centos-test.gcow2:

```
[root@localhost workdir]# ls -lsk transfer/
total 51350352
51350352 -rw-r--r-. 1 root root 1099679662080 Aug 25 08:28 centos centos-test.qcow2
```

Note that this is a sparse file, ie. while the file size is shown as 1099679662080 (around 1TB), the actual number of 1024 sectors is only 51350352.

Additionally, this process created two key files in the workdir/keys subdirectory:

- recovery-password\_centos-test.txt: This file contains the low-entropy key (ie. short password) that was given on the command line: "Luci-0815-deli".
- high-entropy-password\_centos-test.txt: This file contains a high-entropy
  (ie. long password) that was generated during the prepare process. Because it is a
  high-entropy password, it was added with few PBKDF2 iterations in order to speed up
  the boot process of the guest VM later on.

Note: these two passwords must never be divulged to the cloud operator (our lab admin). If, in the course of debugging the process, we gain insight into these passwords, the guest owner should re-create the guest VM image with different passwords in private afterwards.

In a next step the guest owner might want to perform a number of customization steps, such as:

- Updating the underlying Linux distribution using apt or dnf. Warning: a blanket "dnf update" or "apt upgrade" might lead to a re-build of the initramfs filesystem which breaks the boot process because the initramfs is built on a different kernel than the final guest VM will boot. Any dnf or apt actions that might lead to a rebuild of the initramfs must be avoided. If unsure, it is better to postpone any dnf/apt commands until the VM is running in the lab environment.
- Installing additional software from the distribution or other repositories.
- Installation of own binaries or data needed for the application.
- Re-configuration of the Linux operating system.

### Issue

mount centos-test





to invoke a chroot environment with all necessary directories of the guest VM mounted. This environment can be used almost like a VM actually running on the target system. For example, try to install a sample hello world application:

```
[root@localhost /]# echo '#!/bin/bash' > /usr/local/bin/hello_world.sh
[root@localhost /]# echo 'echo "Hello World!"' >> /usr/local/bin/hello_world.sh
[root@localhost /]# chmod +x /usr/local/bin/hello_world.sh
[root@localhost /]#
```

Now you should perform further steps to personalize the guest VM:

- Change the root and user password from the default "Udel1718" to a secure password only known to you ("passwd root" and "passwd user" commands).
- Regenerate the host keys and make a copy of the public keys so that you have unique, trusted host keys for later SSH login and administration (see the appendix for details for Debian and Centos).

Follow this procedure to re-generate the host keys:

Delete the old host keys:

```
rm -f /etc/ssh/ssh host*
```

Regenerate the host keys:

```
ssh-keygen -f /etc/ssh/ssh_host_rsa_key -N '' -q -t rsa
ssh-keygen -f /etc/ssh/ssh_host_ecdsa_key -N '' -q -t ecdsa
ssh-keygen -f /etc/ssh/ssh_host_ed25519_key -N '' -q -t ed25519
```

Make a copy of the .pub files and enter them into your local .ssh/known\_hosts file.

Exit the chroot environment by typing "exit" or CTRL-D. The mounted directories from the guest image must be unmounted using:

```
unmount-images
```

Now the guest image is ready for transfer to the lab environment. In order to preserve the sparse file properties, use TAR to compress the image before transfer:

```
cd ~/workdir/transfer
tar -S -zcvf centos_centos-test.tar.gz centos_centos-test.qcow2
```

The resulting TAR file should then be uploaded to the designated directory on the lab NAS system (refer to the appendix for details).

As a final step, the launch bundle for the guest VM must prepared and some parameters be transmitted to the host system. This requires a working VPN connection to our lab environment.

Now prepare a new subdirectory for our new VM centos centos-test:

```
mkdir workdir/centos_centos-test
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```





#### Then create the launch bundle and send it to our host system:

```
$ docker run -v /home/hofmannp/bare metal cc/workdir:/workdir:z remote-management
create-launch-bundle /workdir/centos_centos-test
Writing to file: /workdir/centos centos-test/godh.cert
Writing to file: /workdir/centos centos-test/tmp tk.bin
Writing to file: /workdir/centos centos-test/launch blob.bin
Command Successful
16+0 records in
16+0 records out
16 bytes copied, 0.000166162 s, 96.3 kB/s
16+0 records in
16+0 records out
16 bytes copied, 0.000161821 s, 98.9 kB/s
$ docker run -v /home/hofmannp/bare metal cc/workdir:/workdir:z remote-management
send http://10.0.12.96:5000 centos_centos-test user /workdir/passwords/user_pwd.txt
/workdir/centos_centos-test
Files were successfully sent
[hofmannp@r370-2 bare metal cc]$
```

Note: This command requires that the user "user" (sample user name used for documentation purposes) is registered for the guest owner and the password has been written into the work-dir/passwords/user\_pwd.txt file. Of course, instead of "user" you would need to use your VPN user ID and password for this.

Note on SE Linux: If the host system is Linux and implements SE Linux mandatory access control, the Docker container might not be able to access the files in the host's working directory. You might need to issue commands like "chcon unconfined\_u:object\_r:container\_file\_t:s0 workdir/passwords/user\_pwd.txt" to adapt SE Linux file labels.

Now the lab admin must be notified about the upload of the TAR.GZ file and the sending of the certificates. After confirmation, the VM can be started using the remote management commands described in the next section.

### **Running the Guest VM**

The following command starts the remote VM in paused mode:

```
\ docker run -ti --network="host" -v /home/hofmannp/bare_metal_cc/workdir:/workdir:z remote-management start http://10.0.12.96:5000 centos_centos-test user /workdir/pass-words/user pwd.txt
```

VM centos centos-test was successfully started in paused mode





Now that the VM is started in paused mode, we must perform the remote attestation/measurement and inject the high entropy disk key/password:

 $\$  docker run -v /home/hofmannp/bare\_metal\_cc/workdir:/workdir:z remote-management launch /workdir/centos\_centos-test/high-entropy-password\_centos-test.txt /workdir/centos\_centos-test 10.0.12.96:5551

SEV query found API=1.53 build=5 policy=3

Getting Launch Measurement

Measure: 9a311c81d8e8078485f005c207a7519d2e971c9288dd906658dfc25269d1fc5a

Measure (b64): b'mjEcgdjoB4SF8AXCB6dRnS6XHJKI3ZBmWN/CUmnR/Fo='

should be: 9a311c81d8e8078485f005c207a7519d2e971c9288dd906658dfc25269d1fc5a

Measurement matches, Injecting Secret

Secret Injection Successful, starting VM

Since the measurements match, the VM is started. Note the QMP protocol host and port "10.0.12.96:5551". While the host IP address is fixed in our test environment, the QMP port 5551 must be defined for each VM. The port number is allocated by the lab admin during setup and communicated via email to the guest owner.

When looking at the GUI screen in our test environment, we can see that the hello word application is present (Figure 91):





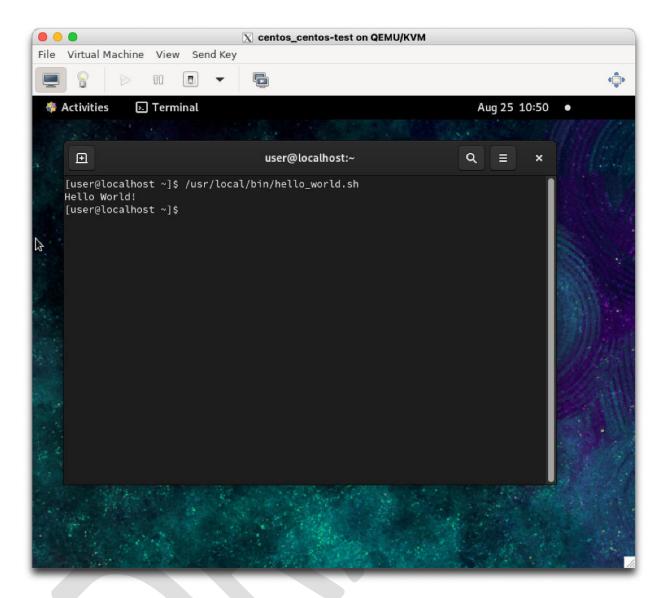


FIGURE 91: HELLO WORD APPLICATION IN THE TRUSTED GUEST VM

In order to stop the VM issue the shutdown and destroy commands:

```
\ docker run -v /home/hofmannp/bare_metal_cc/workdir:/workdir:z remote-management shutdown http://10.0.12.96:5000 centos_centos-test user /workdir/pass-words/user_pwd.txt
```

Command was sent successfully but VM encountered a problem. Current VM status is  $\{"status":"running"\}$ 

\$ sleep 30

\$ docker run -v /home/hofmannp/bare\_metal\_cc/workdir:/workdir:z remote-management destroy http://10.0.12.96:5000 centos\_centos-test user /workdir/passwords/user\_pwd.txt VM centos centos-test was successfully shutdown





#### **Security Checklist**

To make sure that your VM really is really protected when running in the host environment, make sure:

- To not divulge the recovery and high-entropy passwords to anyone (not even the DT support team) and store them on your guest system in a safe location.
- Re-generate the SSH host keys and import them into your local SSH client configuration.
- Do not connect to a remote VM if the host keys seem to have changed!
- Change the default user and root passwords to something long and unique. The default passwords are mentioned in this document are not secure!

For added security, you could check the integrity of the template VMs by comparing files to the original Centos 9 and Debian distributions. You could also re-compile the OVMF/grub bundle and provide your own OVMF image to us.

# A.5 FORMAL DEFINITION OF REMOTE MANAGEMENT WEB SER-VICE (YAML)

For reference purposes we reproduce the YAML file for remote management REST service here:

```
openapi: 3.0.0
info:
  title: API for remote VM management
  version: 1.0.0
paths:
  /vm/start:
    post:
      summary: Start a VM in pause mode
      operationId: "vm.start"
      requestBody:
        required: true
        content:
          application/json:
            schema:
              type: object
              properties:
                vm name:
                  type: string
                userid:
                   type: string
                password:
```





```
type: string
            required:
              - vm_name
              - userid
              - password
    responses:
      '200':
        description: OK
      '500':
        description: Internal server error
/vm/destroy:
  post:
    summary: Destroy VM
    operationId: "vm.destroy"
    requestBody:
      required: true
      content:
        application/json:
          schema:
            type: object
            properties:
              vm_name:
               type: string
              userid:
                type: string
              password:
                type: string
            required:
              - vm name
               - userid
                password
    responses:
      '200':
        description: OK
      '500':
        description: Internal server error
/vm/shutdown:
  post:
    summary: Shutdown VM
    operationId: "vm.shutdown"
    requestBody:
      required: true
```





```
content:
        application/json:
          schema:
            type: object
            properties:
              vm name:
                type: string
              userid:
                type: string
              password:
                type: string
            required:
              - vm_name
              - userid
              - password
    responses:
      '200':
        description: OK
      '500':
        description: Internal server error
/vm/status:
 post:
    summary: Return status of the host
    operationId: "vm.status"
    requestBody:
      required: true
      content:
        application/json:
          schema:
            type: object
            properties:
              vm name:
                type: string
              userid:
                type: string
              password:
                type: string
            required:
              - vm_name
              - userid
              - password
    responses:
```





```
'200':
   description: OK
'500':
   description: Internal server error
```

### A.6 UPDATING THE TEMPLATE FILES

The Debian 11 and Centos 9 templates must be regularly updated to fix bugs and security problems. This activity needs to be carried out by the provider of the confidential computing infrastructure on a regular basis, so this section is not relevant to partners wanting to use the provided templates to create guest VMs.

Before the update, the template images should be saved to have a fallback position if there are problems with the updated images:

```
tar --hole-detection=seek -S -cvf template-backup.tar centos9-en-crypted-template.qcow2 debian11-encrypted-template.qcow2
```

This command preserves the sparse file properties of the templates. The resulting template-backup.tar can also be used to transfer the updated images later to the tool VM.

After saving the images, the Debian 11 and Centos 9 images should be started in turn.

On Debian 11, as root, the following commands update the packages:

```
apt update
apt upgrade
```

For Debian 11, the following lines have been added to /etc/apt/apt.conf.d/50unat-tended-upgrades to avoid kernel upgrades:

```
Unattended-Upgrade::Package-Blacklist {
"linux-generic";
"linux-image-generic";
"linux-headers-generic";
};
```

On Centos 9, as root, the following command updates the packages:

```
dnf update
```

For Centos 9, the following line has been added to /etc/dnf/dnf.conf to avoid kernel upgrades:

```
exclude=kernel* redhat-release* kmod-kvdo
```





After both templates are checked to still work with measured start and basic OS operation is possible, re-create the TAR file as outlined above and use it to update the templates in the tool VM.

Note on Kernel upgrades: Kernel upgrades requires manual changes to the grub.cfg because both templates have been modified to be "Confidential Computing-enlightened". The procedures for kernel upgrades will be documented in later versions of this document.

In the tool VM, the TAR file must be unpacked. The template QCOW2 files must be renamed to centos9-template.qcow2 and debian-template.qcow2, respectively. Afterwards, issue the following commands as root to reclaim space for optimal download size of the tool VM:

```
rm -f /home/user/workdir/keys/* /home/user/workdir/transfer/*
fstrim -av
```

The tool VM must then be exported as OVF/OVA file using the following command:

The ovf-export is available as open source [OVFEXPORT]. The following changes were necessary to make the software run on our system:

```
diff -ruw ovf-export.orig/qemu-utils/qemu-img-convert.go ovf-export/qemu-utils/qemu-img-convert.go
--- ovf-export.orig/qemu-utils/qemu-img-convert.go 2023-08-25 06:40:51.604966171 -
0400
+++ ovf-export/qemu-utils/qemu-img-convert.go 2023-08-25 06:41:21.531023780 -0400
@@ -3,7 +3,7 @@
import (
    "fmt"
    "os/exec"
-    "runtime"
+//    "runtime"
```





The software must be recompiled with

```
go install -a ./cmd/ovf-export
```

### A.7 SETUP ON THE HOST SYSTEM FOR NEW GUEST VMS

The host admin (i.e. the infrastructure or cloud provider) must perform the following steps for setting up a new guest VM after having been notified by the guest owner that a new VM has been uploaded:

- 1. Copy any existing .xml file from an existing example (for Centos or Debian)
- 2. In the .xml file, rename the domain (global replace of name, should be 3 times)
- 3. In the .xml file, change the UUID so that it is unique
- 4. Adjust the path to the .qcow2 file
- 5. Copy the /var/lib/libvirt/qemu/nvram/old\_template\_VARS.fd file to /var/lib/lib-virt/qemu/nvram/new-name VARS.fd.
- 6. Change the QMP port to some unique value (will be used later for measured launch).
- 7. Open new QMP port on host firewall: firewall-cmd --zone=public --add-port=XXXX/tcp -permanent; systemctl reload firewalld
- 8. Make the domain known to libvirt: virsh define new-name.xml

After the Guest Owner provided the certificates for measured launch:





- 1. Paste the contents of the user-provided "session.b64" file into the <session> clause of the .xml file
- 2. Paste the contents of the user-provided "godh.b64" file into the <dhCert> clause of the .xml file.
- 3. Test that the new domain can be started in paused mode: virsh start --paused new-name.

# A.8 CONFIGURE THE REST SERVER AS SYSTEMD SERVICE

This activity needs to be carried out by the cloud or infrastructure provider to establish the remote management service for guest owners.

Create a file /etc/systemd/system/remote-management.service with the following contents:

```
[Unit]
Description=remote management daemon
After=network.target
[Service]
Type=notify
# the specific user that our service will run as
User=root
Group=root
WorkingDirectory=/usr/local/remote-management/rest server
ExecStart=/usr/local/remote-management/env/bin/gunicorn
                                                                     -b
0.0.0.0:5000 app:app
ExecReload=/bin/kill -s HUP $MAINPID
KillMode=mixed
TimeoutStopSec=5
PrivateTmp=true
[Install]
WantedBy=multi-user.target
```

This requires the following:





- A chroot environemnt with the necessary python modules and the gunicorn server under /usr/local/remote-management/env.
- The prepared rest server installed under /usr/local/remote-manage-ment/rest server.
- If there are problems with SE linux permissions issue:
  - o restorecon /usr/local/remote-management
  - o chcon unconfined\_u:object\_r:bin\_t:s0 /usr/local/remotemanagement/env/bin/\*

### Enable and start the service like this:

systemctl enable remote-management
systemctl start remote-management

If any changes to the user.py configuration are made, restart the service:

systemctl restart remote-management





# A.9 API DESCRIPTION

Guest owners need a remote access API to manage their VMs themselves. The interface features:

- Endpoints to execute the basic libvirt-based commands on a specified VM (start, shutoff, status...).
- Basic authentication for each endpoint: guest owner ID and password (assigned by DT), assigned VMs names.
- QMP protocol for measured launch.

The API is made using Python Flask framework for REST APIs (second most used Python framework for REST APIs and compatible with OpenAPI Generator). It needs to be run as root in order to execute libvirt commands.

The API structure is as follows:

rest_server/		
app.py (main file containing the A	PI code)	
— certificates.json (file containing celaunch)	ertificates contents for each	VM, required for measured
flask_api.yaml (file to generate Al	PI structure using OpenAPI	Generator)
	er's usernames, password a	and assigned VMs names)
files generated by OpenAPI Ge	nerator (not necessary)	

#### Authentication

The API uses the HTTPBasicAuth library from Flask to authenticate users. Users' id, password and their assigned VMs names are defined in the "users.py" file. If the user doesn't have the right IDs or VM is not attributed to them when attempting to access to an endpoint, server will send an error 401 Unauthorized.

#### Generate API structure from YAML

Base structure of the REST API can be generated from the "flask\_api.yaml" file using OpenAPI Generator. Endpoints and authentication are defined in the yaml file.

openapi-generator-cli generate -i home/cp/rest\_api/flask\_api.yaml -g python-flask -o rest\_server

/!\ This only generate the API base structure, not the code for each endpoint





#### Launch API

cd /home/cp/rest-server/

flask run

/!\It is recommended to use a python virtual env to use Flask (source /home/cp/env/bin/activate) + and start the API as root.

Refer to appendix 0 for instructions to establish the service as a systemd unit.

### **Endpoints specifications**

Every endpoint can be accessed with HTTP requests following this format:

- POST method
- "Authorization" header with user:password (can be done with the --user option of curl command)
  - "Content-Type" header : 'application/json'
  - VM name in request's body as json : {"vm\_name": "<vm\_name>"}

Template using curl command:

```
curl -X POST <host>/vm/status --user "<username>:<password>" -H 'Con-
tent-Type: application/json' -d "{"vm_name": <vm_name>"}"
```

#### Available Endpoints:

- /vm/start: start VM in paused mode (uses virsh start command).
- /vm/shutdown: shutdown VM (uses virsh shutdown command).
- /vm/destroy: destroy VM (uses virsh destroy command).
- /vm/status: return VM status as {"<vm\_name>": "status" } (uses virsh dominfo command).
- /vm/certificates: print given certificates content in the "certificate.json" file.

## Obtaining and using the Docker Image for VM Operations

The Docker image is available from our download server as a TAR file "remote-management.tar". It can be imported into a local Docker or Podman installation like this:

```
docker load -input remote-management.tar
```





Guest owners can use the provided Docker image for remote management to manage their VM. The Docker container runs on CentOS 9 and has all the required software to run the personalized commands (described below). Most commands require username and password of the guest to authenticate to API.

Necessary files (password file for API authentication, OVMF file...) for measured launch procedure are contained in the directory provided by DT. Please unpack the remote-management-workdir.tar in an appropriate location. The resulting workdir directory needs to be mounted to the Docker container as "/workdir" volume (using: docker -v local dir:/workdir ...).

The following commands are available on the container (in the "/usr/local/bin" directory):

```
create-launch-bundle <launch-bundle-dir>
```

This command is used to create a launch bundle containing the required files for measured start of the encrypted VM. Requires the presence of the pdh.cert file provided by the remote host/cloud provider. It works as follow:

- Arguments:
  - o <launch-bundle-dir>: the path to the directory where the files will be generated (directory will be created if not existing).
- Calls the sevtool -generate-launch-blob which "generate launch\_blob.bin" and "godh.cert" certificates in the launch-bundle-dir. The files are then converted to "session.b64" and "godh.b64" files.

```
start <host> <vmname> <userid> <passwordfile>
```

This command is used to start the specified VM in paused mode (state required for measured launch). It works as follow:

- Arguments:
  - o <host>: the address of the host.
  - o <vmname>: the name of the VM to start in paused mode.
  - o <userid>: the username used to connect to DT API.
  - o <passwordfile>: the path to the passwordfile used to connect to DT API.
- Sends a call to API's endpoint "/vm/start" with VM name in the request's body.

```
launch <passwordfile> <create-launch-bundle directory> <qmp-socket>
```

This command is used to do a measured launch of the specified VM. It requires that the VM has been previously started in paused mode, and certificates were sent to the provider. It works as follow:





# Arguments:

- o <passwordfile>: A file containing the high-entropy password of the encrypted VM, as a String.
- o <create-launch-bundle directory>: the path to the launch-bundle-directory previously created.
- o <pmp-socket>: the qmp-socket port of the VM (given by DT).
- Does a measured launch of the VM through QMP protocol (does not call the REST API).

send <host> <vmname> <userid> <passwordfile> <create-launch-bundle-directory>

This command is used to send the content of the documents necessary to do a measured launch to the host (godh.b24 and session.b64 file). It works as follow:

### Arguments:

- o <host>: the address of the host.
- o <vmname>: the name of the VM to start in paused mode.
- o <userid>: the username used to connect to DT API.
- o o connect to DT API.
- Sends a call to API's endpoint /vm/certificates with VM name and files' content in the request's body.

```
destroy <host> <vmname> <userid> <passwordfile>
```

This command is used to destroy the specified VM (forceful shutdown). It works as follow:

#### Arguments:

- o <host>: the address of the host.
- o <wmname>: the name of the VM to start in paused mode.
- o <userid>: the username used to connect to DT API.
- o <passwordfile>: the path to the passwordfile used to connect to DT API.
- Sends a call to API's endpoint /vm/destroy with VM name in the request's body.

shutdown <host> <vmname> <userid> <passwordfile>

This command is used to shut down the specified VM. It works as follow:





# • Arguments:

- o <host>: the address of the host.
- o <vmname>: the name of the VM to start in paused mode.
- o <userid>: the username used to connect to DT API.
- o <passwordfile>: the path to the passwordfile used to connect to DT API.
- Sends a call to API's endpoint /vm/destroy with VM name in the request's body.

status <host> <vmname> <userid> <passwordfile>

This command is used to retrieve the current status of the specified VM. It works as follow:

# Arguments:

- o <host>: the address of the host.
- o <vmname>: the name of the VM to retrieve status from.
- o <userid>: the username used to connect to DT API.
- o connect to DT API.
- Sends a call to API's endpoint /vm/status with VM name in the request's body.





# APPENDIX B: SUBJECTIVE TEST PLATFORM

### **B.1 PLATFORM INSTALLATION**

# **B.1.1 System Requirements**

This platform was developed on a system with an i9-13900K CPU, 64 GB of DDR5-4800 MHz memory and an NVIDIA RTX 4070 Ti. This is a high-end system (as of mid-2023), but the single most important requirement here is the memory size: at least 32 GB of main memory is recommended. Having a GPU with ≥ 8 GB of memory will also be beneficial.

# **B.1.2 Software Pre-requisites**

The project has been tested with Unity version 2021.3.19f1. Please only use the same, as using newer versions can introduce bugs.

The project uses MRTK2 to work with the HoloLens 2. Check the tools needed to use MRTK 2 here<sup>24</sup> and install them.

# **B.1.3 Preparing the Data**

The point clouds PLY files need to be in binary little-endian format. This platform uses "Pcx - Point Cloud Importer/Renderer for Unity" and it only works with that format.

The meshes need to be generated offline. Ensure the meshes have the correct materials associated with them in Unity! The platform was developed and tested with OBJ files and works well with them.

One PLY or OBJ file per frame is utilised to animate the object on the screen.

# **B.1.4 Setting Up the Project**

- 1. Download this repository and extract it.
- 2. Place the prepared point cloud files in the Assets\Resources\Point-Clouds\<Name\_of\_point\_cloud>\<Name\_of\_quality>\PointClouds.
- 3. Similarly, place the prepared mesh files in the Assets\Resources\Point-Clouds\<Name of point cloud>\<Name of quality>\Mesh.
- 4. Select to PointClouds->Update Point Clouds From Assets in the menu bar to let the project configure itself using the added objects.
- 5. MRTK should already be set up correctly but double-check the XR settings according to the MRTK documentation.



<sup>&</sup>lt;sup>24</sup> https://learn.microsoft.com/en-us/windows/mixed-reality/develop/install-the-tools?tabs=unity. Accessed 02 November 2023.

<sup>&</sup>lt;sup>25</sup> https://github.com/keijiro/Pcx. Accessed 02 November 2023.



6. Enable/disable Holographic Remoting<sup>26</sup> as you desire.

### **B.1.5 Point Clouds Loader**

For both functionalities of this platform, there will be a Manager object in their respective scene. It contains a Point Clouds Loader (Script) component. It is responsible for loading the point clouds/meshes into memory.

Add new elements to the Pc Objects array in this component and provide information regarding the object name and quality levels present in the project. Only the objects and qualities mentioned here will be loaded into memory and are the only ones that can be used/interacted with.

The Load Meshes toggle controls whether the mesh files for the specified objects will be loaded.

Other values in this script should not be changed.

### **B.2 PLATFORM USAGE**

#### **B.2.1 Point Clouds Preview**

The Point Cloud Preview scene can be found in Assets\\_ConfigurationScene\ConfigurationScene.unity.

- 1. Configure the Point Clouds Loader as desired.
- 2. The distance slider min and max values can be changed by updating the associated values in the Distance Changer (Script) component.
- 3. Run the scene.

The user can see and control the objects appearing on the screen. Up to 4 objects can be displayed and configured individually. The animation and interaction can be toggled.

#### **B.2.2 Subjective Testing**

The Subjective Testing scene can be found in Assets\\_SubjectiveTesting\SubjectiveTesting\SubjectiveTestingScene.unity.

Configure the Point Clouds Loader as desired.

#### **B.2.2.1 Configuring the Test**

The SubjectiveTest object in the scene has an ST Manager (Script) component. It is used to configure the tasks.

Open the Tasks array in the component and add task elements. Select the desired point clouds, representations, distances and qualities for each task. Refer to our paper [27] for more details.

<sup>&</sup>lt;sup>26</sup> https://learn.microsoft.com/en-gb/windows/mixed-reality/mrtk-unity/mrtk2/features/tools/holographic-remoting?view=mrtkunity-2022-05. Accessed 02 November 2023.





The Randomise Tasks toggle controls whether the tasks are randomised. The sequences within the tasks are always randomised.

The Use Fixed Y Offset For Distance will add a height offset equal to Y Offset (in meters) to all objects displayed. You can use this to make your objects appear as if they are standing on the ground.

### **B.2.2.2 Running the Test**

A Start button will be displayed before the start of each task. After every sequence, the test participant will be asked to give feedback between 1 and 10 using an immersive slider. The feedback is stored in the Assets\CSV\ratings.csv file.

